

# CISCO SYSTEMS, INC.'S MOTION TO COMPEL RESPONSES FROM NONPARTY ROBERT CHIAVIELLO, JR.

# TO THE HONORABLE COURT:

Defendant Cisco Systems, Inc. ("Cisco") hereby files this Motion to Compel pursuant to Rules 26, 30, 37, and 45 of the Federal Rules of Civil Procedure and would show the Court the following:

### I. FACTUAL BACKGROUND

Plaintiff John Ward, Jr. is an attorney who, along with attorney Eric M. Albritton, filed a patent infringement lawsuit against Cisco on behalf of ESN, LLC, ESN, LLC v. Cisco Systems, Inc. and Cisco-Lynksys, LLC, Civil Action No. 5:07-cv-156-DF-CMC, in the United States District Court for the Eastern District of Texas-Texarkana Division (the "ESN Litigation"). Ward then sued Cisco in the United States District Court for the Western District of Arkansas, Civil Action No. 08-4022, for defamation, alleging that a Cisco employee, Richard Frenkel, published articles on the Patent Troll Tracker blog that falsely accused Ward of conspiring with a court clerk to alter the filing date of the complaint in the ESN Litigation. In the Arkansas federal lawsuit, Ward claims that the alleged defamation damaged his reputation. Specifically, he alleges that Frenkel's statements "expose Plaintiff to public hatred, shame, and ridicule, have harmed his reputation, and have caused Plaintiff mental anguish." Plaintiff's First Amended

Complaint (Doc. #66), ¶ 35, at 9. Plaintiff also alleges that he "has and will in the future be seriously injured in his personal reputation[.]" Id. ¶ 99, at 23.

In his First Supplemental Disclosures in the Arkansas federal lawsuit (attached here as Exhibit 1), Plaintiff identified Robert Chiaviello of the Dallas, Texas, office of the law firm Fulbright & Jaworski, L.L.P. as a person with knowledge of "damage done to Plaintiff's reputation by Defendant's statements" and "Plaintiff's reputation in the legal community." Exhibit 1, at 7. In addition, in his deposition in the Arkansas federal lawsuit, Mr. Ward testified about Mr. Chiaviello as follows:

- Q. . . . You say there was another instance . . . where somebody was reporting what somebody else had said?
  - A. Right.
  - Q. And who was that?
  - A. Bob Chiaviello at Fulbright & Jaworski.
  - Q. And what did Mr. Chiaviello say?
- A. Same general type of thing. They were enrolled in a beauty contest, and he brought up that: Hey, we use Johnny Ward as local counsel. We could help you out.

And whoever his contact -- and he said it happened on more than one occasion. He didn't give a client name to me. He just said that people have said: We've -- we've heard about that guy; we've read about him; we're not going to use him.

And he attributed it to the Patent Troll Tracker specifically.

- Q. Okay.
- A. So I assume that these folks raised the articles to him.
- Q. Okay. And Chiaviello said that he heard about it from how many clients?

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- A. I don't know if it was one or several. It seems like it was on more -more than one occasion that he had been trying to land some business and had referenced me by name and --
  - O. Okav.
  - A. He did not specify a client, though.
  - Q. Okay.
- A. And I kind of had the same conversation: Bob, I hate to put you in this situation, but can I give your name to my lawyers? Would you be willing to talk to them about what's happened?
  - Q. Uh-huh. And he -- he said yes?
  - A. He said --
  - O. Okav.
  - A. -- whatever you need to do.

Exhibit 2 (Ward Deposition), at 84:13-86:5.

Accordingly, Cisco took Mr. Chiaviello's deposition in Dallas, Texas, on September 23, 2009. The subpoena for that deposition issued from the Northern District of Texas. See Exhibit 3 (Chiaviello Deposition Notice and Subpoena). In his deposition, Mr. Chiaviello repeated the statements he claims that clients made to him about Mr. Ward, but, having revealed the substance of those clients' statements, refused to disclose the identity of the clients or otherwise answer any other questions about them:

- Q. Mr. Ward has testified that you told him that there were clients or potential clients, and I believe he's testified that -- that there were -- in his recollection, that this happened more than once, but in any event, that there was at least one client who - who refused to hire Mr. Ward because of the patent troll --Patent Troll Tracker blogs. Is that true?
  - A. Yes, sir.
- Q. And do you recall how many -- first, how many clients or potential clients there were?
  - A. By my recollection, there were three instances.

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- O. Okay. And who were those clients?
- MS. COLLINS: Objection, privileged.
- A. Yeah, I'm not going to reveal the names.
- O. (BY MR. SCHWARZ) Okay. When did these -- when did these events occur?
  - A. My recollection is it was at the end of 2007, early part of 2008.
- O. Without naming the clients -- let me back up for a moment. Let's just make sure our record's clear.

Would you please name those clients for us?

- MS. COLLINS: Objection, privileged.
- A. I will not name the clients.
- Q. (BY MR. SCHWARZ) Okay.
- MR. SCHWARZ: Are you instructing your client not to answer the question?
  - MS. COLLINS: Yes, sir.
  - MR. SCHWARZ: Okay.
- (BY MR. SCHWARZ) Did these clients -- if -- did any of these clients say that they did not want to retain Mr. Ward solely because of the Patent Troll Tracker blogs?
  - A. In all three instances, that was identified as the reason, yes, sir.
  - Q. When you said "the reason," was it the only reason?
  - A. To the best as I recall, that was the stated reason.
  - Q. Okay. Did you try to convince them otherwise?
  - A. Yes, sir.
- Q. Okay. And could I ask you first what it was that they said was their reason for not wanting to retain Mr. Ward?

- O. Okay. And what did you tell them, to the extent that you can, to disabuse them of the notion that there was a problem with Mr. Ward's integrity?
- A. Well, again, in all three cases, I was outraged and tried to defend Mr. -- Mr. Ward's integrity. But in those cases, I was unsuccessful.
  - Q. Okay. Did you say that the blogs were untrue?
  - A. Yes.

Exhibit 4 (Chiaviello Deposition), at 58:1-60:13. Later in his deposition, Mr. Chiaviello testified as follows:

- Q. ... And could I ask you to describe any other instances where you heard of something or otherwise received information that suggested that Mr. Ward's reputation had been – had been injured or diminished in any way?
- A. You know, in -- as a result of your questions, I do recall another instance where we gave a presentation to a client and had recommended Mr. Ward and received a very harsh response. And it was very -- it was a troubling meeting because one of my colleagues mentioned to me later that I was probably too aggressive in trying to defend him in that meeting.
- Q. Did anyone in -- well, first, let's run through this. Would you please identify the folks that you were speaking with?
  - A. I will not. It's a client of the firm.
  - Q. Okay. And so you're invoking privilege?
  - A. Yes, sir.
- Q. Okay. You said there was a harsh response and that it was -- it was a troubling meeting. Did anyone in that meeting make reference to the Patent Troll Tracker blog?
  - A. As I'm recalling it now, yes, sir.
- Q. And could you tell us what was said about the Patent Troll Tracker blog?

- Again, without disclosing a privileged communication, it was cited again as an authority for -- a reason for not wanting Mr. Ward to be on the trial team.
- O. And I believe you said that you defended Mr. Ward's reputation in that meeting?
  - A. I was -- I was, again, truly outraged by it.
  - Q. I take it Mr. Ward was not retained in that case?
  - A. That's correct.
- Q. Okay. I just want to make sure. I don't think we had covered that -that small detail.

So that makes a total of four clients who have declined to retain Mr. Ward?

- A. Yeah. And just to be specific, one of them is not a client. It was a -it was another lawyer who would -- who we were investigating co-counsel together.
- Q. Okay. But someone had come to you with the intention of at least possibly retaining your services and those of Mr. Ward?
  - A. That's correct, yes, sir.
- O. Okay. Can you think of any other instances, now that we've gone through those four, where anyone has declined to retain Mr. Ward?
  - A. No. sir.

Exhibit 4 (Chiaviello Deposition), at 76:22-78:21.

The only stated grounds for Mr. Chiaviello's refusal to answer these question is attorneyclient privilege. Mr. Chiaviello's refusal to answer questions about conversations with clients (or a potential client) is improper and without legal basis, in light of the fact that he has voluntarily disclosed the substance of his clients' statements, and his responses to those statements. The information sought by Cisco is not privileged, so Mr. Chiaviello should be compelled to answer Cisco's legitimate questions. Furthermore, having voluntarily waived any privilege which could have existed, Mr. Chiaviello cannot refuse to answer questions about the conversations he voluntarily disclosed.

# II. ARGUMENT AND AUTHORITIES

### A. The Information Sought is Relevant and Discoverable.

Under the Federal Rules of Civil Procedure, a party may obtain discovery from a non-party through a subpoena. FED. R. CIV. P. 45(a)(1)(D). The scope of discovery from a non-party is the same as the scope of discovery from a party under Rule 26(b)(1) of the Federal Rules of Civil Procedure. *Hussey v. State Farm Lloyds Ins. Co.*, 216 F.R.D. 591, 596 (E.D. Tex. 2003). Thus, a non-party is subject to discovery "regarding any matter, not privileged, that is relevant to the claim or defense of any party," and the information sought need not be admissible at trial as long as "the discovery appears reasonably calculated to lead to the discovery of admissible evidence." FED. R. CIV. P. 26(b)(1); *see Hussey*, 216 F.R.D. at 594 (applying Rule 26(b)(1)'s discovery standard to a non-party subpoena).

The Federal Rules of Civil Procedure create a "broad right to discovery" because "wide access to relevant facts serves the integrity and fairness of the judicial process by promoting the search for truth." *Shoen v. Shoen*, 5 F.3d 1289, 1292 (9th Cir. 1993). The question of relevancy is construed "liberally and with common sense," and discovery should be allowed unless the information sought has absolutely no conceivable bearing on the case. *Soto v. City of Concord*, 162 F.R.D. 603, 610 (C.D. Cal. 1995). Indeed, a relevant matter is "any matter that bears on, or that reasonably could lead to other matters that could bear on, any issue that is or may be in the case." *Oppenheimer Fund, Inc. v. Sanders*, 437 U.S. 340, 351 (1978).

Under the Federal Rules of Civil Procedure, the information sought by Cisco's questions to Mr. Chiaviello is relevant and discoverable. Mr. Ward has put his reputation at issue in this

case. Mr. Chiaviello has on at least two occasions – first when he conveyed the information to Mr. Ward, then in his deposition – disclosed the substance of his conversations with clients (and potential client). Mr. Chiaviello has also disclosed that he told these clients (and potential client) that Mr. Ward is an honorable person and that the statements made about him by Mr. Frenkel were untrue, as well as other matters about litigating cases in the Eastern District of Texas. Cisco is entitled to depose those clients, and the potential client, to further explore what they said and whether they, in fact, declined to hire Mr. Ward because of anything Mr. Frenkel said on the patent Troll Tracker blog. Mr. Chiaviello cannot hide the identity of his clients (and potential client) by invoking an attorney-client privilege which does not cover the information sought by

# B. The Information Sought is Not Privileged.

Cisco and which Mr. Chiaviello voluntarily waived.

The attorney-client privilege does not cover all communications between a client and his or her attorney; rather, it extends only to *confidential* communications from a client to his or her attorney. The United States Supreme Court has defined "confidential communications" as encompassing "only those disclosures necessary to obtain informed legal advice which might not have been made absent the privilege." *See Fisher v. United States*, 425 U.S. 391, 403, 96 S.Ct. 1569, 1577. Accordingly, the identity of a client falls outside the privilege: "There is generally no attorney-client privilege as to the identity of the client, conditions of employment or matters involving receipt of fees." *Klein v. Henry S. Miller Residential Services, Inc.*, 82 F.R.D. 6, 9 (N.D. Tex. 1978), *citing, inter alia, United States v. Ponder*, 475 F.2d 37, 39 (5th Cir. 1973); *see also In re Grand Jury Proceedings (85 Misc. 140)*, 791 F.2d 663, 665 (8th Cir.1986) (same). Furthermore, none of the questions asked of Mr. Chiaviello by Cisco concerned confidential information, or information which would not have been disclosed but for the privilege – as

evidenced by Mr. Chiaviello's voluntary disclosures of the substance of his conversations with his client and potential client. In addition, the information sought by Cisco cannot seriously be deemed to be privileged - all Cisco wants to know is whether the undisclosed entities actually read Mr. Frenkel's blog and, if they did, whether it impacted their view of Mr. Ward or willingness to hire him; and their response to Mr. Chiaviello's defense of Mr. Ward.

Because the information sought by Cisco is not privileged and is highly relevant to the allegations in this case, the Court should grant Cisco's Motion to Compel, overrule Mr. Chiaviello's assertion of the attorney-client privilege, and compel him to answer Cisco's questions about Mr. Ward, his reputation, and litigating cases in the Eastern District of Texas.

#### Mr. Chiaviello Waived Any Privilege Which May Have Existed. C.

Mr. Ward put his reputation at issue in this case by claiming that Cisco damaged his reputation. Mr. Chiaviello voluntarily disclosed information obtained from his clients which is relevant to Mr. Ward's claim that his reputation has been damaged, but he refused to disclose the identity of his clients (and potential client), notwithstanding the fact that by disclosing the substance of the attorney-client conversations, he waived any privilege which may have attached to them and to any related matters. As this Court has held,

Generally, a party waives the attorney-client privilege when it voluntarily discloses the privileged communication to a third party. See Alldread v. City of Grenada, 988 F.2d 1425, 1434 (5th Cir.1993). When a party waives the attorneyclient privilege, it waives the privilege as to all communications that pertain to the same subject matter of the waived communication. See S.E.C. v. Brady, 238 F.R.D. 429, 441 (N.D. Tex. 2006) (Ramirez, J.).

S.E.C. v. Microtune, Inc., 258 F.R.D. 310, 317 (N.D. Tex. 2009) (Kaplan, J.); see also PaineWebber Group, Inc. v. Zinsmeyer Trusts Partnership, 187 F.3d 988, 992 (8th Cir. 1999) ("The attorney/client privilege is waived by the voluntary disclosure of privileged communications, and courts typically apply such a waiver to all communications on the same subject matter.").

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Here, Mr. Chiaviello volunteered allegedly privileged information from discussions he had with his clients and a potential client about Mr. Ward, his reputation, and litigating cases in the Eastern District of Texas. Any privilege which may have attached to communications Mr. Chiaviello had with his clients about Mr. Ward, his reputation, and litigating cases in the Eastern District of Texas, has been waived by Mr. Chiaviello's voluntary disclosures.

Because Mr. Chiaviello waived any privilege which may have attached to the information sought by Cisco, that information is no longer privileged, and the Court should grant Cisco's Motion to Compel, overrule Mr. Chiaviello's assertion of the attorney-client privilege, and compel him to answer Cisco's questions about Mr. Ward, his reputation, and litigating cases in the Eastern District of Texas.

#### III. CONCLUSION

For the reasons set forth above, Cisco requests that the Court grant Cisco's Motion to Compel, overrule Mr. Chiaviello's assertion of the attorney-client privilege, and compel Mr. Chiaviello to answer Cisco's questions about Mr. Ward, his reputation, and litigating cases in the Eastern District of Texas, including the identities of the clients and potential client to which he alluded in his deposition. Cisco also requests such further relief to which it may be justly entitled.

Respectfully submitted,

JACKSON WALKER L.L.P.

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ATTORNEYS FOR DEFENDANT CISCO SYSTEMS, INC.

# **CERTIFICATE OF CONFERENCE**

Counsel for Cisco has complied with the meet and confer requirement in Local Rule 7.1(b) as of the date of filing this Motion. On September 28 and 29, 2009, Kurt Schwarz and Crystal Parker, counsel for Cisco, Conferred with counsel for Mr. Chiaviello, who opposes this motion because he maintains that the undisclosed information is privileged, and counsel for Plaintiff, who neither supports nor opposes the motion. Accordingly, Cisco now seeks the Court's assistance.

Certified this 2<sup>nd</sup> day of October, 2008.

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# **CERTIFICATE OF SERVICE**

This is to certify that on this 2<sup>nd</sup> day of October, 2009, a true and correct copy of the foregoing was served, pursuant to the parties' agreement, via electronic mail upon:

Law Offices of Patricia L. Peden 5901 Christie Avenue Suite 201 Emeryville, CA 94608

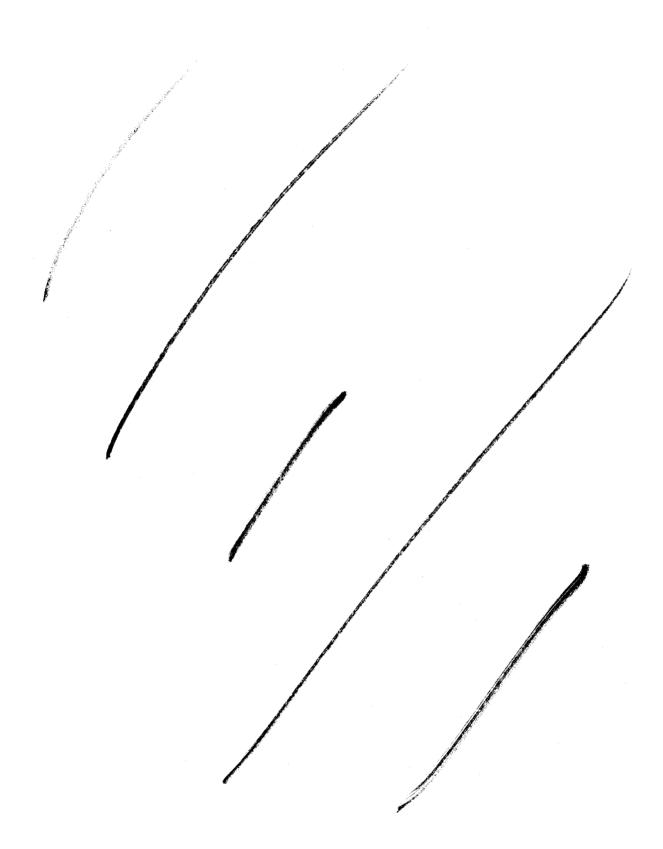
Patricia L. Peden

Attorney for Plaintiff John Ward, Jr.

Nicholas H. Patton Patton, Tidwell & Schroeder, LLP 4605 Texas Boulevard P.O. Box 5398 Texarkana, TX 75505-5398 Attorney for Plaintiff John Ward, Jr.

In addition, this motion was served on counsel for Robert Chiaviello by certified mail, return receipt requested, and electronic mail:

Joni Collins Fulbright & Jaworski, LLP 2200 Ross Avenue **Suite 2800** Dallas, TX 75201-2784



# Exhibit 1

# IN THE UNITED STATES DISTRICT COURT WESTERN DISTRICT OF ARKANSAS TEXARKANA DIVISION

JOHN WARD, JR.	§	
	§	
<b>v.</b>	§	
	§	C.A. NO. 08-4022
CISCO SYSTEMS, INC. AND RICK	§	JURY TRIAL DEMANDED
FRENKEL	8	

#### PLAINTIFF'S FIRST SUPPLEMENTAL DISCLOSURES

Pursuant to Fed. R. Civ. P. 26(a)(1), Plaintiff John Ward, Jr. ("Ward"), through counsel, provides the following Initial Disclosures to Defendant Cisco Systems, Inc. ("Cisco").

#### PRELIMINARY STATEMENT AND RESERVATION OF RIGHTS

Ward makes these disclosures based on his current knowledge, based on information that is reasonably available to him at this time, and upon information that is within his possession, custody or control. Ward is under no obligation to produce documents that are within the possession, custody or control of third parties. Ward's investigation and analysis is ongoing and Ward reserves the right to amend or supplement his disclosures consistent with Fed. R. Civ. P. 26(e).

By making these disclosures, Ward does not represent that he is identifying every document, tangible thing, or witness possibly relevant to this lawsuit. Ward's initial disclosure are further made without waiving in any way: (i) the right to object on the grounds of competency, privilege, the work product doctrine, relevancy, materiality, hearsay, undue burden, or any other proper ground, to the use of any such information for any purpose, in whole or in part, in this action or any other action or proceeding; and (ii) the right to object on any grounds, at any time, or any other discovery request or

Rule 26(a)(1)(A): "[T]he name and, if known, the address and telephone number of each individual likely to have discoverable information that the disclosing party may use to support its claims or defenses, unless solely for impeachment, identifying the subject of information."

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<u>Disclosure</u>: The following individuals may have potentially discoverable information Ward may use to support his claims.

John Ward, Jr.
 111 W. Tyler Street
 Longview, Texas 75601
 (903) 757-6400

Mr. Ward is the Plaintiff.

2. Richard Frenkel 3229 Morris Drive Palo Alto, CA 94303

Rick Frenkel wrote the blog which contained the defamatory comments causing damage to Defendant's reputation and all matters relating to the causes of action in this case.

 Corporate Representative of Google, Inc. 1600 Amphitheatre Pkwy Mountain View, California 95051

Google has knowledge of the filing of Plaintiff's original proceeding against John Doe in Gregg County, Texas. Based upon information and belief, it would have informed Rick Frenkel that Plaintiff sought to discover his identity. Google may also have knowledge about the dissemination of the articles at issue.

4. Corporate Representative of Cisco Systems, Inc. San Jose, California

Cisco is the Defendant.

5. Mark Chandler c/o Jackson Walker, LLP 1401 McKinney Suite 1900 Houston, TX 77010 713.752.4200

General Counsel to Cisco Systems Inc.

6. Eric M. Albritton
111 W. Tyler Street
Longview, Texas 75601
(903) 757-8449

Mr. Eric M. Albritton also is local counsel on Civil Action No. 5:07cv156, the civil action that resulted in the defamatory comments by Defendant. Mr. Albritton likely has knowledge of all issues in this case. He has knowledge of Plaintiff's reputation in the legal community. He also has knowledge of Plaintiff's damages.

7. Amie J. Mathis 1903 Inglewood Street Henderson, Texas 75654

Mrs. Mathis filed the Complaint in Civil Action No. 5:07cv156 on behalf of Eric M. Albritton.

David Maland
 United States District Clerk's Office
 211 West Ferguson Street, Room 106
 Tyler, Texas 75702

David Maland has knowledge of the circumstances surrounding the filing of the Complaint in Civil Action No. 5:07cv156. Mr. Maland has knowledge of the Local Rules, the court's software system, the Notice of Electronic Filing, local filing procedures and several facts concerning the issues in this case.

Rhonda Lafitte
 United States District Clerk's Office
 500 N State Line Ave
 Texarkana, Texas 75504

Rhonda Lafitte has knowledge of the circumstances surrounding the filing of the Complaint in Civil Action No. 5:07cv156. Ms. Lafitte has knowledge

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about her conversation with Jillian Powell, who called the clerk's office on behalf of Cisco.

#### 10. Shelly Moore United States District Clerk's Office 500 N State Line Ave Texarkana, Texas 75504

Shelly Moore has knowledge of the circumstances surrounding the filing of the Complaint in Civil Action No. 5:07cv156.

#### 11. Peggy Thompson United States District Clerk's Office 211 West Ferguson Street, Room 106 Tyler, Texas 75702

Peggy Thompson has knowledge of the circumstances surrounding the filing of the Complaint in Civil Action No. 5:07cv156.

#### 12. Faye Thompson United States District Clerk's Office 211 West Ferguson Street, Room 106 Tyler, Texas 75702

Faye Thompson has knowledge of the circumstances surrounding the filing of the Complaint in Civil Action No. 5:07cv156.

#### 13. **David Provines** United States District Clerk's Office 211 West Ferguson Street, Room 106 Tyler, Texas 75702

David Provines has knowledge of the circumstances surrounding the filing of the Complaint in Civil Action No. 5:07cv156. Mr. Provines has knowledge of the Eastern District of Texas' ECF software.

#### 14. Rachel Wilson United States District Clerk's Office 211 West Ferguson Street, Room 106 Tyler, Texas 75702

Rachel Wilson has knowledge of the circumstances surrounding the filing of the Complaint in Civil Action No. 5:07cv156.

15. Cindy PaarUnited States District Clerk's Office211 West Ferguson Street, Room 106Tyler, Texas 75702

Cindy Parr has knowledge of the circumstances surrounding the filing of the Complaint in Civil Action No. 5:07cv156.

16. Peter McAndrews
McAndrews Held & Malloy
500 W Madison Street
Suite 3400
Chicago, IL 60661
(312) 775-8000

Peter McAndrews is an attorney and represents the Plaintiff in Civil Action No. 5:07cv156. Mr. McAndrews has knowledge of the facts concerning multiple issues in this case, including communication ESN had with Cisco, the filing of the ESN complaint, Cisco's declaratory judgment action, various motions in the ESN v. Cisco case, the issuance of the ESN patent, and the falsity of Defendant's statements. Mr. McAndrews also has information regarding Cisco's conduct, including negligence, actual malice, recklessness and common law malice. Additionally, Mr. McAndrews may have knowledge of Plaintiff's damages.

17. Paul McAndrews
McAndrews Held & Malloy
500 W Madison Street
Suite 3400
Chicago, IL 60661
(312) 775-8000

Paul McAndrews is an attorney and represents the Plaintiff in Civil Action No. 5:07cv156. Mr. McAndrews has knowledge of the facts concerning multiple issues in this case, including communication ESN had with Cisco, the filing of the ESN complaint, Cisco's Declaratory Judgment action, various motions in the ESN v. Cisco case, the issuance of the ESN patent, and the falsity of Defendant's statements. Mr. McAndrews may have information regarding Cisco's conduct, including negligence, actual malice, recklessness and common law malice. Additionally, Mr. McAndrews may have knowledge of Plaintiff's damages.

18. George P. McAndrews McAndrews Held & Malloy 500 W Madison Street Suite 3400 Chicago, IL 60661 (312) 775-8000

George P. McAndrews is an attorney and represents the Plaintiff in Civil Action No. 5:07cv156. Mr. McAndrews has knowledge of the facts concerning multiple issues in this case, including communication ESN had with Cisco, the filing of the ESN complaint, Cisco's Declaratory Judgment action, various motions in the ESN v. Cisco case, the issuance of the ESN patent, and the falsity of Defendant's statements. Mr. McAndrews may have information regarding Cisco's conduct, including negligence, actual malice, recklessness and common law malice. Additionally, Mr. McAndrews may have knowledge of Plaintiff's damages.

Gerald C. Willis
 McAndrews Held & Malloy
 500 W Madison Street
 Suite 3400
 Chicago, IL 60661
 (312) 775-8000

Gerald C. Willis is an attorney and he represents the Plaintiff in Civil Action No. 5:07cv156. Mr. Willis may have knowledge of the facts concerning multiple issues in this case, including communication ESN had with Cisco, the filing the ESN complaint, Cisco's Declaratory Judgment action, various motions in the ESN v. Cisco case, the issuance of the ESN patent, and the falsity of Defendant's statements. Mr. Willis may have information regarding Cisco's conduct, including negligence, actual malice, recklessness and common law malice. Additionally, Mr. Willis may have knowledge of Plaintiff's damages.

Michael C. Smith
 Siebman, Reynolds, Burg, Phillips & Smith, LLP
 713 South Washington Avenue
 Marshall, Texas 75671
 (903) 938-8900

Michael C. Smith had communications with Rick Frenkel about the libelous statements and he allegedly investigated the facts surrounding the filing of Civil Action No. 5:07cv156. Mr. Smith has knowledge about the Local Rules, and the electronic filing procedures in place at the time the ESN complaint was filed. Mr. Smith has knowledge regarding the falsity of Defendant's statements.

21. Nell Cooley Ward 101 Fountain Valley Ct. Longview, Texas 75601 (903) 757-6701

Nell Cooley Ward is Plaintiff's spouse. She has knowledge of Plaintiff's damages.

22. The Honorable T. John Ward 100 East Houston Street Marshall, Texas 75670 (903) 935-3868

The Honorable T. John Ward is Plaintiff's father. He has knowledge of Plaintiff's damages.

23. Bob Chiavello Fulbright & Jaworski - Dallas 2200 Ross Avenue, Suite 2800 Dallas, Texas 75201 (214) 855-8000

Bob Chiavello has knowledge of damage done to Plaintiff's reputation by Defendant's statements. He also has knowledge of Plaintiff's reputation in the legal community. Mr. Chiavello may have additional info regarding the facts of this case.

24. Raymond P. Niro Niro, Scavone, Haller & Niro 181 West Madison, Suite 4600 Chicago, Illinois 60602 -4635 (312) 236-0733

Ray Niro may have knowledge of damage done to Plaintiff's reputation by Defendant's statements. He may have knowledge of Plaintiff's reputation in the legal community. Mr. Niro also has knowledge of false statements made by the Troll Tracker. Mr. Niro has knowledge about the reasons the Troll Tracker publically disclosed his identity. Mr. Niro may have additional information regarding facts in this case.

Danny Williams
Williams, Morgan & Amerson, P.C.
10333 Richmond, Suite 1100
Houston, Texas 77042
(713) 934-4060

Danny Williams has knowledge of damage done to Plaintiff's reputation by Defendant's statements. He also has knowledge of Plaintiff's reputation in the legal community. Mr. Williams may have additional information regarding the facts underlying this lawsuit.

Sam Baxter
McKool Smith, P.C.
104 E. Houston Street, Suite 300
Marshall, Texas 75670
(903) 923-9000

Sam Baxter has knowledge about the facts surrounding the filing of the ESN complaint, knowledge that ESN did not violate any local rule, custom or practice in the filing of the ESN complaint. Mr. Baxter has knowledge of the filing procedures in place on October 2007. Mr. Babcock may have knowledge about the date the ESN patent issued. Mr. Baxter has knowledge regarding Defendant's negligence, recklessness and malice in defaming by Troll Tracker. Mr. Baxter may have knowledge regarding the falsity of Defendant's accusations. Sam Baxter has knowledge of Plaintiff's reputation in the legal community. Mr. Baxter may also have information regarding Plaintiff's damages.

The Honorable Robert Parker
 100 E. Ferguson St., Ste 1114
 Tyler, Texas 75702
 (903) 531-3535

The Honorable Robert Parker has knowledge of Plaintiff's reputation in the legal community.

28. The Honorable Lauren Parish 405 North Titus Gilmer, Texas 75644 (903) 843-2836

The Honorable Lauren Parish has knowledge of Plaintiff's reputation in the legal community.

29. Larry Carlson
Baker Botts, LLP
2001 Ross Avenue
Dallas, Texas 75201-2980
(214) 953-6525

Larry Carlson has knowledge of Plaintiff's reputation in the legal community.

30. Jim Knowles 909 ESE Loop 323, Suite 410 Tyler, Texas 75701 (903) 534-3800

Jim Knowles has knowledge of Plaintiff's reputation in the legal community.

31. Greg Love Love Law Firm 109 W. Tyler Street Longview, Texas 75601 (903) 230-5683

Greg Love has knowledge of Plaintiff's reputation in the legal community.

32. Rich Norman
Three Riverway, Suite 1775
Houston, TX 77056
(713) 651-1771

Rich Norman has knowledge of Plaintiff's reputation in the legal community.

John Noh
 3663 S. Bascom Avenue
 Campbell, CA 95008
 408.558.9573

Mr. Noh participated in the dissemination of the accused articles. Mr. Noh has factual information regarding many of the issues in this case.

34. Matthew Tanielian 900 7<sup>th</sup> Street NW Suite 750 Washington, DC 20001

Troll Tracker believes Mr. Tanielian has information concerning its motive to defame by Troll Tracker. Mr. Tanielian likely has additional relevant information.

35. Kurt Pankraz
Bart Showalter
Kevin Meek
Steve Shortgen
Baker Botts, LLP
2001 Ross Avenue
Dallas, Texas 75201
214.953.6500

Counsel to defendant. The individuals have knowledge about the facts surrounding the filing of the ESN complaint, knowledge that ESN did not violate any local rule, custom or practice in the filing of the ESN complaint. They have knowledge of the filing procedures in place on October 2007 and knowledge that Defendant's statements were false. They have knowledge about the date the ESN patent issued. On information and belief, they have knowledge regarding Defendant's negligence, recklessness and malice in defaming by Troll Tracker.

36. Jillian Powell
Baker Botts, LLP
2001 Ross Avenue
Dallas, Texas 75201
214.953.6500

Ms. Powell acting as Cisco's agent, contacted the clerk's office and learned information bearing on the falsity of Defendant's statements, Defendant's negligence, recklessness, malice and intent to defame.

37. J. Anthony Downs
Goodwin Procter, LLP
Exchange Place
53 State Street
Boston, MA 01209

Mr. Downs has knowledge about the Hertz v. Enterprise case.

38. Mallun Yen Marta Beckwith Michael Timmeny John Corcoran John Earnhardt Dan Lang Mark Michels Neal Rubin Terry Anderson Robyn Nicole Blum Heather Dickinson Lisa Domingo William Friedman Mary Ooley Jennifer Greeson Dan Lang Kenneth M. Lotich Mark Michels Marc Musgrove

Paul Redifer Richard Renfree Michael Ritter Neal Rubin Anita Kirsten Weeks Cisco Systems, Inc. c/o Jackson Walker LLP 1401 McKinney Suite 1900 Houston, Texas 77010 713.752.4200

All employees of Defendant who may have factual information regarding the filing of the ESN complaint, the Troll Tracker blog posts, and Cisco's conduct.

Rule 26(a)(1)(B): "[A] copy of, or a description by category and location of, all documents, data compilations, and tangible things that are in the possession, custody, or control of the party and that the disclosing party may use to support is claims or defenses, unless solely for impeachment."

**Disclosure**: See documents bearing Bates Numbers Ward 000001 – 000386, previously produced. Ward reserves the right to identify additional documents as discovery proceeds.

Rule 26(a)(1)(C): "[A] computation of any category of damages claimed by the disclosing party, making available for inspection and copying as under Rule 34 the documents or other evidentiary material, not privileged or protected from disclosure, on which such computation is based, including materials bearing on the nature and extent of injuries suffered."

**Disclosure:** Ward does not seek economic damages. The damages sought by

Ward, as set forth in his Complaint, are within the discretion of the jury.

Rule 26(a)(1)(D): "[Produce] for inspection and copying as under Rule 34 any insurance agreement under which any person carrying on an insurance business may be liable to satisfy part or all of a judgment which may be entered in the action or to indemnify or reimburse for payments made to satisfy the judgment."

Disclosure: Not Applicable.

Respectfully Submitted,

/s/ Nicholas H. Patton

Nicholas H. Patton (SBN 63035) Geoffrey Culbertson Courtney Towle Patton, Tidwell & Schroeder, LLP 4605 Texas Boulevard Texarkana, Texas 75503 903.792.7080 / 903.792.8233 (Fax)

Patricia L. Peden LAW OFFICES OF PATRICIA L. PEDEN 1316 67th Street Suite 6 Emeryville, CA 94608 Telephone: 510-268-8033

ATTORNEYS FOR PLAINTIFF

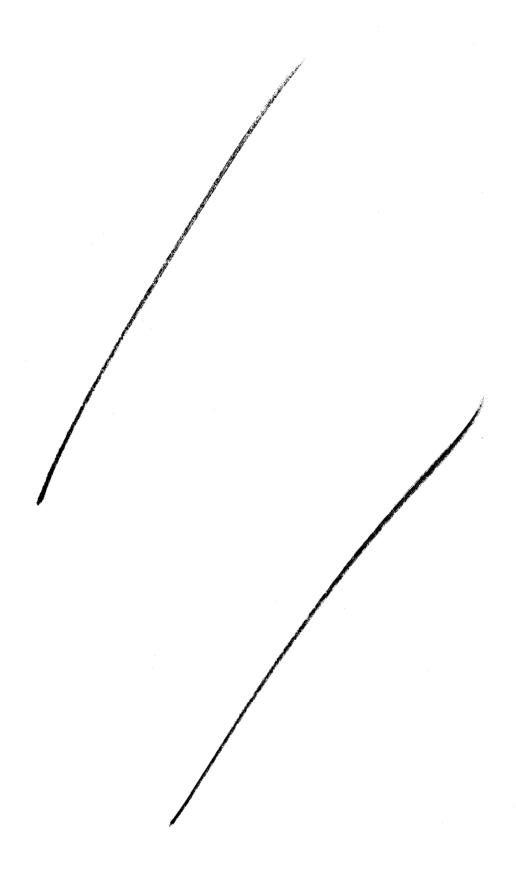
# **CERTIFICATE OF SERVICE**

This is to certify that on this 11th day of September, 2009, a true and correct copy of Plaintiff's Initial Disclosures was served via electronic mail upon counsel below:

> Richard E. Griffin Charles Babcock Crystal Parker JACKSON WALKER, LLP 1401 McKinney Suite 1900 Houston, Texas 77010

Attorneys for Defendant Cisco Systems, Inc.

/s/ Nicholas H. Patton	
Nicholas H. Patton	



# Exhibit 2

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	1			3
1	IN THE UNITED STATES DISTRICT COURT	1	INDEX	
	WESTERN DISTRICT OF ARKANSAS	2	Appearances	
2	TEXARKANA DIVISION	3.	THOMAS JOHN WARD, JR. Examination by Mr. Babcock	
3	JOHN WARD, JR.,	5	Changes and Signature	
		6		
4	V. C.A. NO. 08-4022	7	VIDEOTAPES	
_	* JURY TRIAL DEMANDED	8		
5	CISCO SYSTEMS, INC.	9	BEGINNING OF TAPE 1	
6		10		
7 8		11	EXHIBITS	
9		12		
10	ORAL AND VIDEOTAPED DEPOSITION OF	13	NO. DESCRIPTION MARKED	
11		13	Exhibit 2 Deposition Notice 5	
	THOMAS JOHN WARD, JR.	14	F. I-1-10 - 40/47/07 40/40/07 Debest Testi - 24	
12	AUGUST 10, 2009	15	Exhibit 3 10/17/07 and 10/18/07 Patent Troll 34 Tracker Articles	
13			(Bates Ward 000003 to 06)	
14 15		16	Exhibit 4 11/5/07 Olivo e-mail string to Ward 52	
16	OPAL AND VIDEOTABED DEPOSITION ASTUDIAGE JOUR MADD	17	(Bates Ward 000011 to 12)	
	ORAL AND VIDEOTAPED DEPOSITION of THOMAS JOHN WARD,	18	Exhibit 5 12/4/07 Pridham e-mail to Ward 52	
17	JR., produced as a witness at the instance of the	19	(Bates Ward 000200)	
18	Defendant, and duly sworn, was taken in the above-styled		Exhibit 6 2/29/08 Niro e-mail string to Ward, 52	
19	and -numbered cause on the 10th day of August, 2009,	20	with attachment (Bates Ward 000077 to 78)	
20	from 9:44 a.m. to 1:21 p.m., before Stacy L. Jordan, CSR	- 21		
21	in and for the State of Texas, reported by machine	າາ	Exhibit 7 3/8/08 Crouch e-mail to Ward 52 (Bates Ward 000080)	
22	shorthand, taken in the law offices of John Ward, Jr.,	22 23	Exhibit 8 3/12/08 Fokas e-mail to Ward, et al. 52	
23	111 West Tyler Street, City of Longview, County of		(Bates Ward 000369)	
24	Gregg, State of Texas, pursuant to the Federal Rules of	24	Exhibit 9 3/12/08 Fokas e-mail string to Ward 52	
25	Civil Procedure.	25	(Bates Ward 000370 to 371)	
1	2° APPEARANCES	1	EXHIBITS (Continued)	4
2	FOR THE PLAINTIFF:	2 3	NO. DESCRIPTION MARKED Exhibit 10 3/14/08 Smith e-mail to Ward and 52	
4	Patricia L. Peden, Esq.		Albritton, with attachments	
_	LAW OFFICES OF PATRICIA L. PEDEN	4 5	(Bates Ward 000088, Ward 000247 to 255) Exhibit 11 Law.com article: Patent Attorneys Sue 52	
5	5901 Christie Avenue, Suite 201 Emeryville, California 94608	3	Cisco and Blogging In-House Lawyer for	
6	Phone: 510.268.8033 Fax: 510.547.2446	6	Defamation	
	E-mail: ppeden@pedenlawfirm.com	7	(Bates Ward 000228 to 232)	
7	Nicholog H. Datton Co.	•	Exhibit 12 3/17/08 Ward e-mail string to Fenner 52	
8	Nicholas H. Patton, Esq. PATTON, TIDWELL & SCHROEDER, LLP	8	(Bales 000346)	
	4605 Texas Boulevard	9	Exhibit 13 3/17/08 Gilstrap e-mail to Ward, 52 with attachment	
9	Texarkana, Texas 75503	10	(Bates Ward 000092 to 96)	
10	Phone: 903.792.7080 Fax: 903.792.8233 E-mail: nickpatton@texarkanalaw.com	11	Exhibit 14 3/28/08 Ward e-mail string to Fokas, 52 with attachment	
11	E-mail: moxpattori@texarkanalaw.com	12	(Bates Ward 000348 to 352)	
12	FOR THE DEFENDANT:	13	Exhibit 15 4/7/08 McAndrews letter to Chandler 52	
13	Charles L. Babcock, Esq.	4.4	(Bates Ward 000359 to 368)	
14	Cryslal J. Parker, Esq. JACKSON WALKER, LLP	14	Exhibit 16 4/18/08 Strachan e-mail to Ward, 52	
	1401 McKinney Street, Suite 1900	15	with attachment	
15	Houston, Texas 77010	16	(Bates Ward 000098 and Ward 000256)	
16	Phone: 713.752.4200 Fax; 713.752.4221	10	Exhibit 17 10/17/07 and 10/18/07 Patent Troll 52	
16	E-mail: cbabcock@jw.com E-mail: cparker@jw.com	17	Tracker Articles	
17	· · · · · · · · · · · · · · · · · · ·	18	(Bates Ward 000009 to 10)	
8	ALCO DEFOCALT	10	Exhibit 18 11/7/07 Patent Troll Tracker Article 52	
9	ALSO PRESENT: Thad Strobach, Videographer	19	(Bates Ward 000015 to 29)	
20	mad omobacii, vidaogi apriai	20	Exhibit 19 Web site Printouts 52 (no Bates)	
21		21	· · · · · · · · · · · · · · · · · · ·	
2		22		
!3 !4		23 24		
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		5	
1	PROCEEDINGS	1	Q. Okay. And what's his relationship, if any, to
2	(Exhibit 2 marked.)	2	the T. Ward [sic], Jr., P.C.?
3	(Videotape 1.)	3	A. He is a shareholder in my professional
4	THE VIDEOGRAPHER: Here begins the	4	corporation.
5	videotaped deposition of John Ward, Jr., Tape 1, Volume	5	Q. Are there any shareholders besides yourself
6	1, in the matter of John Ward, Jr. versus Cisco Systems,	. 6	and Mr. Smith?
7	Inc., in the U.S. District Court, Western District of	7	A. Yes, one more, Thomas Reardon. That happened
8	Arkansas, Texarkana Division, Case Number 08-4022.	8	about two months ago.
9	Today's date is August the 10th, 2009.	9	Q. Okay. And the current percentage ownership is
10	The time on the video monitor is 9:44 a.m.	10	what?
11	The video operator today is Thad Strobach;	11	A. There's 2,000 shares, and Mr. Smith and
12	the court reporter is Stacy Jordan, both of them	12	Mr. Reardon each have one share. So whatever that
13	representing West Reporting.	13	percentage is.
14	Will counsel please state their agreements	14	Q. Okay.
15	and appearances.	15	A. A benevolent dictatorship.
16	MR. BABCOCK: Mr. Patton will be in the	16	Q. So you own 1,998 of the 2,000 shares of
17	camera shortly.	17	T. John Ward, Jr., P.C.?
18	MS PEDEN: Yeah.	18	A. I do.
19	Come come across.	19	Q. All right. T. John Ward, Jr., P.C. is not a
20	Patricia Peden, representing plaintiff.	20	plaintiff in this case, correct?
21	MR. PATTON: Nick Patton, representing	21	A. Correct.
22	the plaintiff.	. 22	Q. Is are you claiming damages to T. John
23	MR. BABCOCK: Charles Babcock and Crystal	23	Ward, Jr., P.C. indirectly in this case?
24	Parker, representing the defendant.	24	A. No.
25	THOMAS JOHN WARD, JR.,	25	Q. Have you practiced law since 2007 since
		6	
1	having been first duly sworn, testified as follows:	1	October 2007 in any with any entity or in any way
2	EXAMINATION	2	other than through the T. John Ward, Jr., P.C.?
3	BY MR. BABCOCK:	3	A. No.
4	Q. Will you state your name, sir.	4	Q. Okay. How are you compensated by the by
5	A. Thomas John Ward, Jr.	5	the law practice?
6	Q. Mr. Ward, right in front of you is is the	6	A. I take a paycheck when there's money to be
7	deposition notice for your wife, which I forgot to	7	taken.
8	introduce.	8	Q. Since October of 2007, have your paychecks
9	A. Okay.	9	decreased?
10	Q. But here's Exhibit 2. That's your notice.	10	A. No. I made more in 2008 than I did in 2007.
11	A. Okay.	11	Q. Okay.
12	Q. The only reason I do this is so I can keep	12	<ul> <li>A. Probably less in 2009 than I made in 2007.</li> </ul>
13	track of depositions by numbers.	13	Q. Although, there's still hope for 2009.
14	Would you tell us how you're employed?	14	A. We've still got some we've still got some
15	A. I'm an attorney working for Ward & Smith law	15	time left.
16	firm, which is an assumed name.	16	Q. It it looks, to me, from looking at the
17	Q. Okay.	17	new-case filings in the Eastern District of Texas, that
18	A. T. John Ward, Jr., P.C. is the business entity	18	you are a very active litigant there. Is that fair to
40			

19 say?

21

22

24

20 A. I --

MS. PEDEN: Objection to form.

A. I'm not an active litigant. I --

Q. -- represent people. Yeah.

A. I represent --

Q. (BY MR. BABCOCK) I mean --

19 that I'm employed by.

A. Jr. Yes.

A. There is:

Q. And T. John Ward, P.C. is the owner of the

Ward & Smith law business; is that right?

I take it there is a Smith?

Q. T. Ward [sic], Jr., P.C.

20

22

23

24

20 Judge Parker in the Fifth Circuit, I think?

Q. And then you went to work for McKool & Smith,

Q. And what did you do for McKool & Smith?

21

22

24

A. I did.

A. Correct.

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		9		11
1	A. I represent a number of individuals and	1	A. I looked at documents all day, most of the	
2	entities.	2	time. That's what ran me out of there in nine months.	
3	<ol> <li>I should say you have an active practice.</li> </ol>	3	Q. Okay. McKool & Smith is, as I understand it,	
4	A. I have an active practice, yes. I'd agree	4	a Dallas-based litigation firm, correct?	
5	with that characterization.	5	A. Correct.	
6	Q. And has do you think your practice has	6	Q. Commercial litigation?	
7	suffered since October 17th and 18th of 2007?	7	A. Primarily, yes.	
8	A. Now, what do you mean when you say "suffered"?	8 -	Q. Okay. And then - and then after nine months	
9	Q. Well, are you sitting in sitting in your	9	with McKool & Smith, you came out to Longview and set	
10	office, twiddling your thumbs, waiting for the next case	10	set up your practice?	
11	to come in?	11	A. No. 1 I moved to Longview, but I worked in	
12	A. No. I've stayed very busy.	12	Marshall for Mike Miller.	
13	Q. Yeah. And I'm just guessing, based on my own	13	Q. All right. And how long did you work for	
14	practice, that you probably work more than 2,000	14	Mr. Miller?	
15	billable hours a year?	15	A. Two years. Right about two years.	
16	A. I have a feeling that I do. I think I work	16	Q. Okay. And were you an employee or a partner,	
17	pretty hard.	17	or what was your	
18	Q. Yeah. And one of my client - one of my	18	A. I was an employee.	
19	partners, Richard Griffin, says: Great lawyers are made	19	Q. Okay, And after that two-year period with	
20	by great clients. Do you agree with that?	20	Mr. Miller, what did you do?	
21	A. And hard work, yeah.	21	A. I became a partner in Holmes, Albritton &	
22	Q. Hard work and great	22	Ward. I moved my practice back to Longview.	
23	A. And some luck.	23	Q. Okay, And the "Holmes" is which Holmes?	
24	Q. And luck.	24	<ul> <li>A. Clifton, better known as Scrappy.</li> </ul>	
25	I've heard it said about you that you're a	25	Q. Scrappy. Okay. Not Jam Jamie, who is	
		10		12
1	great lawyer. Do you agree with that?	1	A. Not Jamie Holmes, no.	
2	A. You know, I'm not going to toot my own horn.	2	Q. Okay. And the "Albritton" was Eric Albritton,	
3	I'll let other people make that determination.	3	correct?	
4	Q. Okay. Let me see if I can see a description	4	A. Correct	
5	that I saw. We'll get to it in a minute.	5	Q. Okay. And how long did that partnership last?	
6	How about giving me your educational	6	A. A little less than two years.	
7	background?	7	Q. Why did it why did it dissolve?	
8	A. Where do you want me to start? High school?	8	A. Oh, we were going different directions. I had	
9	Q. You can start	9	gone to work with Scrappy and Eric because Scrappy had	
10	A. College?	10	said he had a lot of personal injury business. And t	
11	Q. You can start with high school, sure.	11	think he had some, but it was I was probably carrying	
12	A. I graduated from Longview High School in 1988.	12	as much of my own business as I was getting from	
13	went to the University of Oklahoma and graduated from	13	Scrappy. Their practice was really criminal. And what	
14	there in 1992 with a bachelor of arts in economics.	14	sounded like a good idea probably wasn't the best	
15	Q. Phi Beta Kappa, I might add.	15	business mave. And so we split up. Eric went out on	
16	A. Yes, sir. And went directly to law school at	16	his own, and I went in with Glenn Perry and Tim Womack.	
17	Texas Tech University School of Law. Graduated from	17	Q. Okay. And how long did the Womack, Perry &	
18	there in 1995.	18	Ward practice last?	
19	Q. Okay. And after law school, you clerked for	19	A. Until the tort deform [sic] hit and I went out	

20 on my own. So a couple of years,

22 A. But it was an amicable split.

Q. Okay. And then your current practice started

A. I'm not positive, but I'm thinking it was

21 Q. Okay.

24 what year?

25 devote to practice, yes.

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		13	
1	2002.	1	Q. Okay, And what about the revenue derived from
2	Q. Has your practice changed since 2002? Is it	2	patent litigation; is that the is that the same
3	still the same mix of cases, or is it different?	3	percentage, or is it more or less?
4	A. It's changed a lot since 2002.	4	A. I haven't looked at that. I'd be guessing.
5	Q. What was it in 2002?	5	I I would guess it's well, I know it's more. I've
6	A. Personal injury.	6	generated more revenue from intellectual property than I
7	Q. Okay,	7	have from personal injury.
8	A. 90 95 percent of my my practice was.	8	Q. Okay.
9	Q. And what how did what did it morph into?	9	A. How much more, I'd have to go pull the books.
. 10	A. Well, we still have Bruce Smith and Tom	10	Q. Okay. Here's the here's the comment that i
11	Reardon still maintain that personal injury docket. But	11	was had been searching for earlier. Quote: Many
12	my personal docket has really transformed into probably	12	lawyers talk about their ability to try cases, but few
13	90 percent patent litigation.	13	can match the results obtained by Johnny Ward. He has
14	Q. Okay.	14	"first chair" (the attorney with ultimate responsibility
15	A. It started as a small percentage and grew and	15	at trial) trial experience in over 30 jury jury
16	grew and grew until that's all I've got time for.	16	trials, covering a variety of challenging cases.
17	Q. All right. And when did it when did it	17	Is that an accurate description of you?
18	change from personal injury to 90 percent patent	18	A. I told you I wasn't going to toot my own horn,
19	litigation for you, personally?	19	but I was tooting my own horn there. Yes, I had kind
20	A. It's taken place over the years. So it's over	20	words to say about myself.
21	five or six years. Each year, the intellectual	21	Q. Yeah, this is from your from your Web site.
22	property/patent litigation for me grew.	22	A. Correct.
23	Q. All right, sir.	23	Q. It goes on to say, quote: Not only has he
24	A. Became a larger and larger portion of my	24	tried a wide variety of cases, he has done so with
25	personal practice.	25	success. He obtained his first verdict in excess of a

		17	
1	Q. Okay. In in 2007, what percentage of your	1	million dollars at the age of 34.
2	work was patent litigation? I know roughly. I mean,	2	True?
3	you can't do it precisely.	3	A. True.
4	A. No. I'm guessing it was probably 70,	4	Q. Mr. Ward turned down a settlement offer, took
5	75 percent.	5	the case to trial, and the jury awarded his client
6	Q. Okay, And 2008?	6	\$1,010,000.
7	A. It it's hard to break it down in percentage	7	Also true?
8	because I still have my hand in the personal injury	8	A. Absolutely.
9	business. I still	9	Q. Okay,
10	Q. Sure.	10	In the years that followed, Mr. Ward
11	<ul> <li>A get those clients and go to mediations.</li> </ul>	11	obtained several multimillion-dollar verdicts, including
12	And if we go to trial, I go to trial. So, you know,	12	a \$133 million verdict in a patent infringement case
13	I've still got a per I don't know if we're talking	13	against Microsoft.
14	about a percentage of income or a percentage of my time	14	Also true?
15	that I spend on cases.	15	A. True.
16	Q. Sure.	16	Q. Okay.
17	A. So I don't know how you want to break that	17	A. I had some help doing it, but yes.
18	out.	18	Q. Okay. It also talks about a case where you
19	Q. Well, it's it's good enough. I mean, it's	19	were appointed as a special prosecutor in Cass County
20	hard to I mean, I'd be hard-pressed if anybody asked	20	and that maybe the criminal trial is going to go forward
21	me the question.	21	like right about now?
22	But in 2007 to to today, it's it's	22	A. It keeps getting bumped, but we're trying to
23	grown from 70 or 75 percent to 90 percent?	23	get it done in the fall of this year.
24	A. As far as the day-in, day-out time that I	24	Q. Okay. And in that case on the civil side

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25 of that case, you recovered a verdict in excess of 13

17

20

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		17	
1,	million for the family?	1	reading them, and so yes, it was something that had me
2	A. I did. Probably my favorite case, most	2	very agitated
3	rewarding case I've ever	3	Q. Okay.
4	Q. And the reason for that is because the	4	A angry, frustrated, upset about.
5	district attorney had refused to prosecute somebody that	5	Q. Okay. And focusing on the on the
6	you believed was responsible for the death of a family	6	sleeplessness, your wife estimated that it was three to
7	member that you were representing?	7	four times a week that you would wake up, and she
8	A. The family initially believed, and they	8	attributed it to the Patent Troll Tracker.
9	convinced me, that that's what had had happened to them.	9	My question to you, since you're the only
10	The deeper I dug, the more convinced I was.	10	one that would know: Was it three to four times a week
11	Q. And you convinced a civil jury of that, I take	11	that you were waken up for that four-month period?
12	it?	12	A. It's hard to pinpoint. I think it's gotten
13	A. The facts did. The facts	13	better over time. I think she's a better judge, because
14	Q. The facts did.	14	I I have a bad habit of waking her up when I'm having
15	A speak pretty loud.	15	trouble sleeping. I can't disagree that it was three or
16	Q. And and are you aware of any other time a	16	four nights because I don't I don't have a good feel
17	special prosecutor has been appointed like that?	17	for it.
18	A. I I it's not common, but I know it has	18	Q. And in that you say "it's gotten better."
19	happened.	19	When did it get better?
20	Q. Okay.	20	A. Well, she forgot that I take Tylenol PM every
21	A. I know a private lawyer has been appointed as	21	night, and I didn't take that before. It's gotten
22	special prosecutor.	22	better since I take Tylenol PM. I've got a friend who's
23	Q. Okay. So the criminal trial is going to go	23	an ER doctor, and I said: I'm not sleeping.
24	forward sometime in in the near future, I take it?	24	And I didn't attribute it to - it to
25	A. He's not going to confess, so I think we're	25	anything but I'm having trouble sleeping.

1 going to have a trial. He said: Try taking Tylenol PM before you Q. Okay. Great. 2 get to something harder. Your -- you just sat through the Q. What's the name of the doctor? deposition of your wife, correct? A. Brian Mendenhall, a good friend of mine, ER Q. And she said that -- that after these Troit Q. And when did you talk to Dr. -- Mendenhall, 7 Tracker articles that are at issue in this case, she believed that your sleepless -- sleep problem -- or A. Mendenhall. I know it was when we lived at inability to sleep through the night increased from one 9 101 Fountain Valley Court. I want to say it was over a to two times a week to three to four times a week. Is 11 Q. Have you been taking Tylenol PM for a year 12 A. I had more sleepless nights, I believe, after 12 every night? 13 A. Yeah, one. 14 Q. Okav. Q. One -- one tablet? 15 A. -- these articles were published, yes. A. One tablet. Q. Okay. And do you relate your sleep problems 16 Q. Okay. And now are you sleeping through the 17 to the articles? 17 night every night? 18 A. The increase in sleepless nights initially, A. I didn't last night, but pretty much, yeah. 19 19 I've had --20 Q. Okay. And -- and is that because you'd be 20 Q. You were worried about me, right? lying in bed asleep and then you'd wake up thinking A. Well, I was worried about my wife, what -- how 22 about the Patent Troll Tracker? 22 my wife was going to --A. During the four months that we were trying to 23 Q. She seemed --24 find out who the Patent Troll Tracker was, yes, because 24 A. -- hold up.

18

She seemed okay.

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25 I knew these articles were out there; I knew people were

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A. She did great that helps keep things in perspective, but you worry Q. Okay. So excluding last night, have you been about your friends and family. I think normal stresses sleeping through the night every night? that people deal with. Maybe I internalize them more A. You know, if -- if I've got something going to Maybe I should let them out. I don't know. trial, I'll have some sleepless nights. That's just Q. Would you agree with your wife that your kids part of the business. I think. are doing fine? Q. I was going to say if -- if you sleep before a A. They're doing great. big trial, you're -- you're unusual Q. Okay. So it's nothing about your children A. No q that are causing stress in your life? Q. But - but other than - you know, other than 11 the normal, you know wear and tear of practice, have 11 O. Okav. I know for some people children can be 12 you been sleeping through the night --12 a huge --A. Yeah 13 A. They're --Q. - since you've been taking Tylenol PM? Q. -- stress problem. A. Yes A. They're not to that age yet. They still want Q. Okav. Now --16 to hang out with Mom and Dad and generally want to do A. I say that. I mean, there'll be times when. 17 right you know, I'm answering this discovery and it gets me Q. I don't know. When they -- mine were five and worked up again. I try not to read these articles. 19 six, they were some of the most stressful times, but 20 When I get back into them, it gets me worked up 20 they evened out. Okay. 21 O. Sure A. They're - they're what I enjoy going home to A. It gets me worked up right now thinking about 22 every night 23 them Q. Okay. Can you recall, when you're waking up 24 Q. Okay. And that's as a result of your at night, any specific instances where you wake up and 25 litigation against Cisco; that's why you're rethink about something about the Patent Troll Tracker? rereading them? A. Initially, absolutely. A. No. It's a result of what they accused me of, Q. Yesh, Okay. Tell me about initially. 3 I think A. I just would wake up wondering who's doing

- Q. Well -- but you don't have a ritual where you
- sit down every couple of weeks and read these articles,
- 6 do vou?
- A. Oh, no. I put them -- I try to put them out
- of my mind
- Q. Okay. And the only reason that you have to
- answer discovery is because of this lawsuit, right?
- 11 A. That's right
- 12 Q. Okay. But prior to your taking the Tylenol
- PM -- which you said, I think, was maybe a year ago?
- A I think so 14
- Q. Okay. Prior to that, was the only thing
- 16 causing your sleepless nights the Troll Tracker
- 17 articles?
- 18
- Q. Okay. What -- what else? 19
- 20 A. Stresses of practice, stresses of life.
- Q. What -- what stressful events in your life do
- 22 you have other than the stress of your practice?
- 23 A. I've got kids. I've got three kids. I worry
- 24 about my kids. I worry about my family. The people at
- 25 church, they have tough things going on in their lives

- Q. And, in fact, you filed a lawsuit to try to
- find out who was the author of the Patent Troll Tracker
- 8 A. As fast as I could, I did, yes.
- Q. You filed it quickly, but nothing much
- happened in that case for a while, did it? 10
- 11 A. The wheels of justice turn slowly. We were
- doing everything we could to get a deposition of Google
- I don't think I'd ever filed a -- or been involved in a 13
- 14 filing of a 202 petition.
- Q. Right.
- A. But it sure seemed like it crawled. Although 16
- 17 I understand that from Google, he was made aware of
- 19 Q. Who at Google told you that?
- 20 A. I think we got a letter or -- I don't know
- 21 how -- how we found out that Google had sent some type
- 22 of notification to the account holder that there had
- been a request for information on the -- the identity of
- 24 the account holder and that they would reveal that
- 25 information to us within 10 days without an objection --

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		25	
. 1	Q. Okay.	1	packed, but I've got it. I'll keep it.
2	A from the account holder. And we never got	2	Q. Anything else that you recall from that dinner
3	any information. So I assume that Mr. Frenkel objected.	3	with Mr. Niro?
4	But, again, that's all speculation.	4	A. I think we were just - unbelievable that
5	Q. Uh-huh. And you're aware that Mr. Niro had	5	Cisco was behind this. I mean, I remember having
6	offered some money to for anybody who would unmask	6	that that's usually everyone's reaction:
7	the Troll Tracker?	7	Unbelievable that a company like Cisco would do this.
8	A. We talked about it several times, yes.	8	Q. And what is the "this"? The fact that the
9	Q. Did you offer to help contribute to to that	9	patent the the Troll Tracker blog?
10	fund?	10	A. The fact that they would accuse a lawyer of
11	A. No.	11	engaging in criminal activity and do it anonymously in a
12	Q. Okay. What did you what did you say to	12	case where they were my the opposing party, and
13	Mr. Niro about trying to unmask the Troll Tracker?	13	the the person writing it was involved in the
14	<ol> <li>We had been involved in some cases together,</li> </ol>	14	negotiations, was an attorney, and that it goes all the
15	so I knew Mr. Niro before this event. And I just said:	15	way to the top of their legal department, in my opinion,
16	If you find out who it is, let me know, and if I find	16	if not further.
17	out who it is, I'll let you know.	17	Q. The - there were two, maybe three, articles
18	Q. Did you know why Mr. Niro was trying to find	18	that are at issue in the lawsuit: the 17th, 18th, and
19	out about who he was?	19	then the revised 18th one the next day. Is that your
20	A. My recollection is that they were posting	20	understanding of what
21	information about his family and where he lived, and he	21	A. I~
22	had gotten some kind of threats or something. I mean,	22	Q is at issue here?
23	there was pretty serious what he viewed, and I	23	A. Oh, I think there's more at issue, but those
24	agreed was potential harm to him, that he wanted to	24	are the ones that I think accuse me of a crime. And

26

practice that he was engaged in? 3 A. They didn't accuse him of a crime or anything, Q. Okay. So Mr. Niro never told you that it was -- he was trying to get the identity of the Troll Tracker because of -- he was critical of Niro's practice or the type of clients that he had? A. Well, he was going after Mr. Niro's clients, like he was going after mine. That's why we were all --I say "we." That's why I was reading it, because it was interesting to see what he was going to write about 13 which client next. I don't -- you'd have to talk to 14 Mr. Niro about what his motivation was. Q. Okay. Your wife testified about a dinner that 16 you had with Mr. Niro in Chicago. Do you recall 17 anything else about that dinner? 18 A. He -- he gave me a gift, a -- a cartoon of Niro hopping out of the bush with Frenkel reading a Cisco book. I'm sure you've seen it. 20 21 Q. I have, 22 A. He framed it, and I think he wrote on it "we got him," which, you know --24 O. Do you still have that?

A. I do. It's in a - it's in a moving box,

Q. It -- it had nothing to do with the type of

25 get to the bottom of it.

1 could have corrected things and he chose not to. O. Okav. But in terms of what you're suing for 3 defamation, it's the October 17th, 18th, and whatever was revised the next day? I mean, that's what your 5 pleadings say, but --A. Right, that's what the pleadings say. I'd 7 just say, when you say it's "at issue," there are some subsequent statements that have been made that I think will be at issue if we try the case. 10 O That's --11 A. As far as the -- the allegations that I 12 engaged in criminal activity, it's the 17th and 18th. Q. Right. Yeah, Liust -- when you defend a 13 14 defamation case, you want to know what the -- what the 15 language is that's being claimed to be defamatory. And 16 it's the 17th -- the articles on the 17th and the 18th 17 that's what you're claiming are -- is defamatory of you, 18 correct? 19 MS. PEDEN: Objection, form. 20 A. I've never defended a defamation case. You're 21 the expert there. And I've never been a plaintiff: I've 22 never represented a plaintiff in a defamation case, so ! 23 don't know. I know there's are a lot of documents 24 that -- that we've produced that, I think, has some

25 then I think there were some subsequent ones where he

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A. - in the Eastern District. So I -- that

A. I think it -- it factors in the entire picture

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25 Yes, because I knew that those weren't the facts. Of

25 the Court -- that this was a baseless lawsuit, and the

		29	
1	rise to the level of defamation, I'm going to let you	1 of this false impression that Mr. Frenkel w	as leaving
2	Q. (BY MR. BABCOCK) I'm not not trying to	2 with his readers. So is it actionable? I do	n't know.
3	make you make a legal conclusion.	3 It's my personal opinion, I think it was false	е.
4	A. Okay.	<ol> <li>Q. And what about the November pos</li> </ol>	st was false?
5	Q. But but if we if we lined the the	<ol> <li>A. If you've got it, I'll take a look at it.</li> </ol>	
6	documents up in front of us and and listed what	6 There's he wrote several things.	
7	you what you want to go to the jury on for what's	7 Q. Well, it's not it's not it's not ple	d, ł
8	caused you damage, we would put the October 17th article	8 don't believe. Maybe it is, but i've never to	hought
9	in the in the pile, for sure, right?	9 until this moment that the November the	November
10	A. Right.	10 post, whatever it is, is part of this lawsuit.	So
11	Q. And the October 18th article in the pile, for	11 A. Okay.	
12	sure, right?	12 Q you're going to have to give me s	some more
13	A. Absolutely.	13 specificity about what it is.	
14	Q. Okay. What else?	14 A. Without reading it, I can't tell you	
15	A. Now, again, I don't	15 precisely; but essentially, Mr. Frenkel wrot	e that he
16	MS. PEDEN: Objection, form.	16 was checking the docket and ESN had dis	missed its case
17	A. I the articles that I've personally	17 and Cisco had dismissed its case in Conne	ecticut and that
18	other things that have been written, I think, are	18 somehow the ESN case had been filed age	ain in Texarkana.
19	offensive. There was a post in November where Frenkel	19 That's all he knew about it, was the way he	wrote, which
20	acted like he didn't know why these cases were being	20 is a lie. He knew exactly what was going of	on, and he
21	dismissed; and he knew all along why they were being	21 didn't write about exactly what was going or	on.
22	dismissed and that Cisco had admitted that jurisdiction	22 Q. Okay. And you think that that that	at that
23	was proper	23 caused you damage?	
24	Q. (BY MR. BABCOCK) Okay.	24 A. Did it cause me damage? No. Did	it upset me?

leaves a false impression with the -- a reader course, I didn't know it was Cisco at the time. I was, 2 Q. So you're claiming defamation, or falselike, like: Wait, there's a lot more going on here. If these as to that November post? people knew, they'd know what he'd written on the 17th MS. PEDEN: Objection, form. and the 18th, or whatever those two days were -- they'd A. I don't know what I'm claiming. I'm telling know that, you know, this happened -- that Cisco had 6 you which documents I think are --6 admitted that there was no problem, in my mind. 7 Q. (BY MR. BABCOCK) Do you think --Q. Okay. All right. So you got the October 17th A. -- inaccurate, article, the October 18th article, this November post Q. Okay. Do you think the November post of 9 that you just talked about. Anything else in the stack 10 Frenkel has caused you harm, damage? 10 that we should talk about has caused you damage? A. I don't know. 11 MS. PEDEN: Objection to form. 12 Q. Okav. 12 A. Again, I think you've -- you've had some A. It's -- it's hard for me to know who's -comments in the press that I think are inaccurate -won't come hire me because of what they read. Those O (BY MR BARCOCK) Okay 14 15 folks won't call me and say: Hey, we're not hiring you 15 A. -- or that have been attributed to you. because we think you're a criminal. MS. PEDEN: 1 -- I just need to clarify 16 17 Q. Well, I can -- I can appreciate whether you 17 something, Chip. When you say "the October 18th 18 don't know if you're caus- -- if it's caused you damage article," are you talking about both versions of it? or not. Are you going to claim that it caused you MR. BABCOCK: I'm not talking about 19 20 damage? Are you claiming that the November post caused 20 anything; I'm just asking questions. you damage? 21 21 Q. (BY MR. BABCOCK) Yeah, there was one - one A. I'm going to leave that up to my lawyers. 22 thing that, I think, snuck into the pleadings that was 23 I -- I don't know 23 attributed to me about - something about your motives. 24 Q. Well --24 A. Yeah, that I was trying to curry favor with

30

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33 only thing you could think of was that I was trying to by your lawyers. And I want to ask you first about the curry favor with the Court. You tell me: Did they bottom notation. It says did you say that? http://trolltracker.blogspot.com/2007, archive, paren, 15 of 47, paren, 11/5/2007, 2:28:33 p.m. Q. No, not the way it was reported. But is 5 that -- is that one of the things that you're seeking Do you see what I -- where I was reading 5 6 damages for? 6 from? A. I - I don't know that I'm seeking damages for 8 it. I think it continues this perception that there's O Does that represent when you accessed the some truth behind the allegations that were made against 9 Patent Troll Tracker to get -- to get the document, this 10 me -11 11 A Maybe I'm not -- I'm not sure Tremember I 12 A - that my lawsuit's baseless and that I've 12 started, you know, capturing them, Adobe, PDFs, I think, 13 got some other motive. at -- at some point. I was -- I remember reading it as 14 Q. Okay. they were coming out because I was getting phone calls 15 A. There's another one, too. I think you've 15 from folks saying: Have you seen what he's written written in some of these pleadings that I -- this case 16 about you? is just an attempt to get documents for ESN, which --17 Q. Okay. And the -- the first page here is the 18 untrue But --18 October 18th one, I believe. And then the one behind it 19 Q. Okay. is the October 17th. Would that be right? 19 20 A. - you can say that in the lawsuit: I 20 A. That's what it -- it purports to be. I don't 21 understand that know if these are the ones that are revised or 22 Q. You -- you've said things in lawsuits about --22 unrevised. I'd -- I'd have to lay them right next to

34 36 Q. You would agree that sometimes your litigation

opponents don't agree with -- with what you say about them? A. I'm sure they don't Q. But I'm trying to get to the bottom of what -what you're - you're suing over. The 17th and the 18th. And Ms. Peden reminds everybody that you've amended to allege another article that's the same as the 18th, although revised, that was posted the following day. And you've got the November post. Is there anything else? A. That's all I can think of, you know --A. -- as we -- as we sit here. There's a lot

about your litigation opponents, I assume, from time to

A. I try to support them with facts, but --

12

15 more documents and things that have been written. But

16

23

24

25

17 Q. Lunderstand

18 A. - what stands out to me, those things stand

out to me. Those things -

Q. Okav. 20

21 A. -- irritate me

(Exhibit 3 marked.)

23 Q. (BY MR. BABCOCK) Here's Exhibit 3.

24

Q. And this is a document that was produced to us

Q. - track of it, too. You can usually tell by

whether the "banana republic" thing is in there.

A Yeah I think this is the revised one herause

he edits: You can't change history, but you can change

a blog -

23

each other --

O. Yeah, Hose --

A. -- to figure out

Q. Yeah

A. -- entry.

8 Q. Okav.

A. So that would indicate that this --

Q. Okay. So this would be the one that was

11 the -- the 19th, or whatever.

12 Let me -- let me ask you about the Oct- --

13 October 17th one.

A. Okav.

Q. Do you believe that the October 17th article,

or post, accuses you of a crime?

A. No. I think you have to read them together.

Q. Okav.

19 A. I think you have to read the 17th and 18th

20 together to get there

21 Q. The -- the 17th, in and of itself, doesn't --

22 doesn't accuse you of a crime?

23 A. My recollection is no. I've been through

24 these line by line, obviously, in preparation for my

25 deposition. I think there's a lot of things that are

Q. Okay. The next sentence: I looked, and ESN

24 appears to be a shell entity managed by the president

25 and CEO of DirectAdvice, an online financial Web site

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			, , , , , , , , , , , , , , , , , , , ,	
		37		39
1	inaccurate. But as far as accusing me of a crime, I	1	ts that inaccurate in any way?	
2	think you have to put them right next to each other.	2	A. I believe so, because I think he already knew	
3	Q. Okay. Let's - let's go through it and see	3	who ESN was, because I think ESN had been in contact	
4	what's what's inaccurate.	4	with Cisco before filing the lawsuit. So he knew who	
5	A. Okay.	5	ESN was without looking anywhere.	
6	Q. The headline, "Troll Jumps the Gun, Sues Cisco	6	Q. Okay. So it he should have dropped the	
7	Too Early," what is if anything, is inaccurate about	7	the "I looked." He should have just said: ESN appears	
8	the headline?	8	to be a shell entity managed by the president and CEO of	
9	We didn't sue Cisco too early.	9	DirectAdvice, an online financial Web site?	
10	Q. Okay. Anything else?	10	A. He knew. I mean, it should say: I'm a lawyer	
11	A. I think — I don't think ESN meets the	11	representing Cisco, and	
12	definition of what folks think of as a troll, since it's	12	Q. Okay.	
13	the inventor, as a part of ESN, but	13	A I know who ESN is. So	
14	Q. Okay.	14	Q. If he	
15	A. I think that's inaccurate, as well.	15	A I think that's false.	
16	Q. All right. The first — it starts out: Well,	16	Q. Okay. But if he'd said that, if he said,	
17	knew the day would come. I'm getting my troll news	17	"Hey, I'm Rick Frenkel, and I'm here to tell you that	
18	from Dennis Crouch now. According to Dennis, a company	18	ESN is a shell entity managed by the president and CEO	
19	called ESN sued Cisco for patent infringement on	19	of DirectAdvice, an online financial Web site," would	
20	October 15th, while the patent did not issue until	20	that be accurate or not?	
21	until October 16th.	21	A. I don't know I don't know enough about ESN.	
22	is there anything inaccurate about that?	. 22	I know they're my client, but I I don't know exactly	
23	A. Yeah. I don't think he was getting his news	23	who it is. I've met one of the principals, but I don't	
24	from Dennis Crouch. I think he was getting his news	24	know how it's set up.	
25	from his lawyers.	25	Q. Okay. Have you ever heard of DirectAdvice?	
		38		40
1	Q. Okay,	1	A. No.	
2	A. He might have been getting some from Dennis	2	Q. Do you know who the president and CEO of	
3	Crouch, but he was in charge of the litigation, so I	. 3	DirectAdvice is?	
4	think hé knew about it.	4	A. No.	
5	Q. Okay.	5	Q. Do you know whether the principal of ESN that	
6	MS. PEDEN: What about the next sentence?	6	you've met is the president and CEO of DirectAdvice?	
7	MR. BABCOCK: Yeah, I'm I'm going to	7	A. I don't know.	
8	make sure we cover everything.	8	Q. Okay. Who is the principal of ESN you've met?	
9	THE WITNESS: Okay.	9	A. I knew you were going to ask me that. He was	
10	MS. PEDEN: Thank you.	10	at the the hearing on venue, and I can't I can't	
11	Q. (BY MR. BABCOCK) And it says: According to	11	remember his name, sitting here. If	
12	Dennis, a company called ESN sued Cisco for patent	12	Q. Okay.	
13	infringement on October 15th	-13	A. If it's important, we can leave a blank and I	
14	A. That that is untrue. That statement's	14	can find it, but I don't remember.	
15	untrue.	15	Q. And it goes on to say in the in the	
16	Q. Okay. And and that's because you think	16	article: And, yes, he's a lawyer.	
17	that ESN didn't sue until the 16th, correct?	17	And I take it, since you don't know who	
18	A. I know for a fact we didn't sue until the	18	the president and CEO is, you don't know if that's true	
19	16th.	19	or not?	
20	Q. Okay. "While the patent did not issue until	20	A. I don't.	
21	October 16th," that part's true?	21	Q. Okay.	
22	A. I believe that's true.	22	He clerked for a federal judge in	

23 Connecticut and was an attorney at Day, Berry &

25 nonpracticing entity.

24 Howard - Howard. Now he's suing Cisco on behalf of a

13 wrong, that would be wrong. But other than that?

16 file a lawsuit before midnight. So I don't know if

25 it. 1 -- I don't put anything past him, but I haven't

tell you that that's correct.

A. I don't know - I - I, maybe embarrassingly,

15 have not researched whether or not you've got a right to

17 that's an accurate statement. I know he states that as

fact. I haven't done the legal research to be able to

Q. Okay. And then he -- there's a block quote

14

19

20

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		41		43
1	The part about clerked for a federal judge	1	gone to look at it.	
2	and was an attorney, you don't know whether that's true	2	Q. Okay.	
3	or not?	3	One other one other interesting tidbit:	
4	A. I don't.	4	Cisco appeared to pick up on this very quickly. Cisco	
5	Q. Okay. And then: Now he's suing Cisco on	5	filed a declaratory judgment action (in Connecticut)	
6	behalf of a nonpracticing entity.	6	yesterday, the day after ESN filed its its null	
7	You think that maybe ESN is not a	7	complaint. Since Cisco's lawsuit was filed after the	
8	nonpracticing entity?	8	patent issued, it should stick in Connecticut.	
9	A. I think they're a nonpracticing entity, but I	9	Anything false about that?	
10	believe that the two principals there's a the	10	A. Absolutely. I mean	
11	inventor, and then there's someone there's another	11	Q. Okay. What's false about that?	
12	gentleman, the one that came to the hearing, that	12	A. The the very first sentence: Cisco	
13	Q. Okay.	13	appeared to pick up on this very quickly.	
14	A are the two involved in ESN. I think of	14	Q. Okay.	
15	nonpracticing entities as folks that acquire patents and	15	A. I mean, again, he's he's acting like, gee,	
16	prosecute them against	16	I'm just looking at the dockets, and I don't know what	
17	Q. Okay.	17	they're picking up on or what they're doing. He knew	
18	A companies.	18	exactly what they were doing.	
19	Q. "I asked myself, can ESN do this?"	19	Q. Okay. It is true that Cisco filed a	
20	Anything false about that? You don't	20	declaratory judgment action in Connecticut?	
21	think he asked himself that?	21	A. I believe that's correct. The same day we	
22	A. Well, I mean, he's - he's setting it up: Can	22	filed in in Marshall, they filed in Connecticut	
23	we file it on the 15th, when the patent doesn't issue	23	Q. Okay.	
24	until the 16th? So	24	A later.	
25	Q. Right.	25	Q. And then he says it ought to stick in	
		42		44
1	A I think that's inaccurate.	1	Connecticut. And you think that's wrong because it	
2	Q. Because the premise the whole premise of it	2	shouldn't have stuck?	
3	is that you had filed on the 15th?	3	A. Well, he said it was a null complaint, which I	
4	A. Correct	. 4	think is inaccurate.	
5	Q. Okay.	5	Q. Okay.	
6	A which is not accurate.	6	A. And then, again, he's got a conclusion of law,	
7	Q. Okay. And then he says: I would think that	7	kind of, where he's saying what he thinks	
8	the court would lack subject matter jurisdiction since	8	Q. Right.	
9	ESN owned no property right at the time of the lawsuit,	9	A thinks should happen.	
10	and the passage of time should not cure that. And, in	10	Q. Okay.	
11	fact, I was right, underlined.	11	Perhaps realizing their fatal flaw (as a	
12	Again, you'd say because his premise is	12	couple of other bloggers, comma [sic], news items have	

21 from a case called the GAF Building Materials Corp. 21 complaint in Texas today - amending to change absolutely 22 versus Elk Corp. of Texas, Federal Circuit. Any reason 22 nothing at all, by the way, except the filing date of 23 to believe he miscited that case? 23 the complaint. A. I don't know. I haven't -- I haven't compared 24 MS. PEDEN: Objection to form.

13 pointed out), comma --

15 bloggers or news items about that?

A. I don't know.

Q. Okay.

Do you know whether there were other

- ESN (represented by Chicago firm

MR. BABCOCK: What's the objection?

19 McAndrews, Held & Malloy and local counsel Eric 20 Albritton and T. Johnny Ward) filed an amended claim -

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16

17

18

25

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		45		4,
1	MS. PEDEN: You said "Texas" instead of	1	Q. Okay. Let me ask you just a couple of things	
2	"Texarkana." You just	2	about that last paragraph again. It says, "represented	
3	MR. BABCOCK: I'm sorry.	3	by Chicago firm McAndrews, Held & Malloy." ESN was	
4	MS. PEDEN: misread it.	4	represented by them, correct?	
5	MR. BABCOCK: All right. Let me try	5	A. Correct.	
6	again.	6	Q. And it says, "local counsel Eric Albritton and	
7	Q. (BY MR. BABCOCK) - ESN (represented by	7	T. Johnny Ward." You-all were the local counsel,	
8	Chicago firm McAndrews, Held & Malloy and local counsel	8	correct?	
9	Eric Albritton and T. Johnny Ward) filed an amended	9	A. Correct.	
10	complaint in Texarkana today - amending to change	. 10	Q. What does it mean in the con I know I	
11	absolutely nothing at all, by the way, except the filing	11	know "local counsel" means different things to different	
12	date of the complaint.	12	people. But in this case, what did it mean to be local	
13	Did I read it correctly	13	counsel?	
14	A. I think you	14	A. I can tell you generally what it means to me.	
15	Q. ~ that time?	15	Q. Sure.	
16	A. I think you read it correctly. Untrue.	16	A. Because I I had not had any interaction	
17	Q. Okay. What's untrue about it?	17	with McAndrews, Held & Malloy up until after the fact.	
18	A. We didn't file to change the filing date; we	18	Q. Okay. That that was Albritton that was	
19	filed it to attach the patent.	19	doing that?	
20	Q. The patent. Okay. Were there any changes	20	A. Correct.	
21	other than attaching the patent?	21	Q. Okay.	
22	A. There might have been a reference to the	22	A. Generally, "local counsel," we're in we	
23	patent number in the complaint, I don't I don't	23	make sure that everything complies with the local rules	
24	know. I didn't actually file it. I remember that	24	and kind of give advice on what local custom and	
25	was probably the some of my first involvement in this	25	practice are and a little bit different than what I	
		46		48
1	case, was after the initial complaint had been filed.	1	think of as general local counsel. Eric and I try these	
2	So I remember from reviewing the e-mail, that we filed	2	cases very actively and are actively involved in them;	
3	to attach the patent.			
4	Q. Okay.	3	jury selection, opening statements, taking witnesses.	
5	·	, 4	Q. Were you had it been decided whether you	
6	Survey says? XXXXXXX (insert "Family	5	and Eric were going to be actively involved in trying	
	Feud" sound here). Sorry, ESN. You're on your way to	6	this ENS ESN case at this October 17th point?	
7	New Haven. I wonder how Johnny Ward will play there?	7	A. Again, I don't know what they discussed. I	
8	Did I read that correctly?	8	can tell you what our the general practice was. We	
9	A. I think you did.	9	don't get involved unless we're going to be active	
10	Q. All right. And is — is there anything false	10	and and so I would assume that that was understood	
11	about that?	11	going in.	
12	A. No, I don't think so	12	Q. Were were you was your involvement in	
13	Q. Do you ever	13	this case through Eric or did McAndrews call you up or	

Q. Ever litigated in -- in Connecticut? A. Have not. 23 Q. Okay. One of your friends said you thought 24 that -- he thought you'd play fine there. A. I -- I do recall someone saying that.

A. - other than we weren't on our way to New

15 Haven. Cisco wanted us to be on our way to New Haven,

16

17

20

Q. Yeah.

A. - we beat them.

A. No. Never been there.

Q. Ever played in New Haven?

A. Correct. Still - still have separate 24 businesses.

22 at that time; you weren't partners then, right?

14 did somebody from ESN call you up?

exactly how it went down.

A. It was through Eric. Now, whether they called

A. But Eric - Eric was kind of in charge of ESN

Q. Okay. But you had separate bus- - law firms

16 and said, "We want to hire you guys" -- I don't know

Q. Yeah. So the first contact that was made to

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1	you was	bγ	Eric	Albritton.	correct?

- 2 A. I believe so.
- Q. Okay. And -- and Mr. Albritton and his staff
- were responsible for filing the -- the pleadings. And
- you didn't have anything to do with that, right?
- A. That's correct
- Q. Okay.
- A The -- the original complaint --
- Q. The original --
- 10 A. -- ves
- 11 Q. -- complaint which there is some
- 12 documentation on the 15th, some stuff on the docket
- 14 MS. PEDEN: Objection to form
- 15 Q. (BY MR. BABCOCK) Do you agree that there's a
- 16 docket sheet that shows something was filed on the 15th?
- 17 MS. PEDEN: Objection to form.
- A. This -- again, embarrassingly, I -- I haven't 18
- 19 gone back and looked through all the docket sheets. I
- 20 don't know that I've ever looked at the docket sheet
- 21 I've looked at the notice of electronic filing well
- after the fact to see it was filed --
- 23 Q. (BY MR. BABCOCK) Okay.
- 24 A. -- when we say it was.

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14 the patent, and file it.

Q. There's -- certainly, within a short period of

time, within a few days, Cisco filed a declaratory

were you pretty much on the sidelines?

judgment as you -- as you indicated. And -- and then

Judge Folsom. Did you have any involvement in that, or

there was some filings in -- in Texarkana in front of

MS. PEDEN: Objection to form.

you know, consulting me about should we burn our

A. My involvement was pretty limited. It was,

amendment, or something to that effect, and I think I

said "do it." I mean, we're -- the rules here are you

period. You don't have to seek leave. That'll be in

the docket control order. So I think I said: Attach

A. But beyond that, I -- I -- I was not very

Q. Mr. Albritton's assistant -- I'm not sure if

Q. Did you know her before this case was filed?

Q. Okay. Before she filed it, did you talk to

she's a paralegal or -- I think she's a paralegal.

Anyway, Amy Mathis, do you know her?

Q. (BY MR. BABCOCK) Okay.

17 hands-on on this case at that time.

A. Yes, I know her.

can amend for just about any reason up to a certain time

- A. No
- Q. All right. After -- after it was filed and
- she had certain conversations with the clerk's office
- in in both Texarkana and Tyler, did you have any
- conversation with her during that time period?
- A None that I can recall
  - O All right Lasked Mr Albritton a question
- 8 about whether or not he fully supported what Amy Mathis
- did in her contacts with the clerk's office, and he said
- 10 he did Lasked him if you did and he said: You
- better ask him at his deposition. So -
- A. All right.
- O. So here we are 13
- A. 1 -- I had no problem with what she did.
- 15 Q. Okay.
- 16 A. I would have done it the same way.
- 17 Q. All right.
- 18 A. I mean, if it was my -- if we were in charge
- 19 of filing it and this issue popped up, I'd Alecia
- Kaiser is my primary assistant, and I would say: Call 20
- 21 them and find out what's going on.
- 22 Q. You would -- do you know what she says she
- did, and do you know what the clerk says she did? In
- 24 other words, have you reviewed the depositions in the
- 25 Albritton case?

- A. No.
- Q. Okay. Every --
- A. I know generally, from talking to Eric, what
- she says she did. So --
  - O Okay
- 6 A. -- that's really where my knowledge comes
- 7 from.

5

- 8 O. Okay. And at least as you sit here today.
- you -- you support what Amy Mathis did in her contacts
- 10 with the clerk's office?
- 11 A. Absolutely
- 12 Q. Okay.
- MS. PEDEN: Are we at a good breaking 13
- 14 point? Can we take a break?
- MR. BABCOCK: Sure. We can take a break 15
- 16 anytime you want to take a break, Patty.
- 17 MS. PEDEN: Sorry. I just have to go to
- 18 the ladies' room.
- 19 THE VIDEOGRAPHER: Off the record, 10:35
- 20 (Off the record 10:35-10:42.)
- 21 (Exhibits 4-19 marked.)
- 22 THE VIDEOGRAPHER: Back on the record,
- 23 10:42
- Q. (BY MR. BABCOCK) Okay. Did Ms. Peden take
- 25 you to the woodshed? I told her you were doing fine.

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25 her at all about it?

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A.	She didn't have to woodshed me.	

- 2 Q. Okay. Well, that's good.
- 3 Let me hand you Exhibit 17.
- A. Okay.
- 5 Q. And this, I think, is the original first-day
- Patent Troll Tracker and not the revised version that we
- see in Exhibit 3.
- A Okav
  - Q. And the the October 18th, 2007 version that
- 10 is Exhibit 17 I want to go over with you. It says.
- ES- -- the headline is "ESN Convinces EDTX Court Clerk
- To Alter Documents To Try To Manufacture Subject Matter
- Jurisdiction Where None Existed." Did I read that 13
- 14 correctly?
- - Q. All right. And I take it you think that's
- 17 false?

16

6 it, but ~

10

21

24

25 happened.

- 18 A. That lit my fire.
- Q. And why -- and -- and why do you say it lit 19
- 20 your fire?
- A. Just when I read it, I was like, oh, my gosh,
- 22 you know. I mean, I was called, saying: Have you seen

there's -- there's three names, and I can't remember

Spangenberg, or David Pridham, who worked for

Spangenberg. It was either one or two of those

individuals called me. I -- I talked to them all about

A. - I - I don't remember who alerted me to it

Q. All right. And they -- and this was all by

O Okay And was this on the 18th?

that were doing patent litigation in the Eastern

19 So I don't know if someone said "did you see what he

22 going: You really ought to see what -- what's been

wrote about you" on the -- the 17th?

phone: Fokas, Spangenberg, and David Pridus [sic]?

A. I don't remember, because I remember that

District, it had kind of become required reading to read 18 what the Patent Trolf Tracker was writing about folks.

And then the 18th, I got another call.

But I don't remember exactly how it

we -- you know, everyone was -- I say "everyone." Folks

- what they've written about you?
- Q. Okay. Who -- who called you?
- 25 A. It was either one or two clients and --

2 exactly who it was Fither Terry Fokas Frich

- Q. Okay.
- A. I was -- I was reading it when I -- I think
- this was the first time my name popped up. It popped up
- several times, but I think that was the first I had been
- called out by name
- Q. Okay. The -- actually, the October 18th, 2007
- 7 article, or post, doesn't mention you by name, correct?
- я A That's correct
  - Q. Okay. It was the October 17th one that
- 10 mentioned your name and wondered how you'd play in -- in
- 11 New Haven, right?
- 12 A. It calls me by name in the --
- Q. Okay. 13
- A. -- 17th, correct. 14
- Q. Your relationship with Fokas, Spangenberg, and 15
- 16 Pridus [sic] has not been affected by this article, has
- 17 it?
- 18
- O. Okay. In fact, Fokas sent you an e-mail and 19
- said he you were his hero. Do you remember that? 20
- 21 A. I do.
- 22 O. Okav. So the headline, you think, is false.
- and it lit your fire because neither E- ESN, nor its
- 24 counsel, in your view, tried to convince the Eastern
- District of Texas court clerk to alter documents for any

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- reason?
  - 2 A. For any reason.
  - Q. Okay.
  - A. Nothing -- nothing was altered.
  - 5 Q. Okay. Well, that last part, that's not true.
  - 6 I mean, the -- the docket sheet was altered, wasn't it?
  - MS. PEDEN: Objection to form.
  - A. No.
  - Q. (BY MR. BABCOCK) You don't think it changed
  - 10 at all?
  - 11 A. I think it changed. You know, you use the
  - 12 word "alter," and I think that's in the criminal
  - 13 statutes; and that's what jumps out to me. Altering
  - something, you're doing some- -- something
  - 15 surreptitiously, is what it connotes to me.
  - 16 Q. Okay. What criminal statute is the word

  - 18 A. I don't know.
  - 19 Q. Well, you just said it was in the criminal
  - 20
  - 21 A. I've read it in a criminal statute that either
  - 22 Mr. Patton or Ms. Peden had sent to me. So I know I've
  - 23 seen "alter" in some criminal statute. Whether it's the
  - 24 Arkansas statute or the Texas statute or the Federal
  - statute, that's not my cup of tea. But I know I've seen

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		57			
1	it somewhere.		1	A. I don't.	
2	Q. You would admit that that the docket		2	Q. Okay. And here the word "changed" is used as	
3	changed, if that's the word you prefer?		3	opposed to "altered." Do you know whether	
4	The docket was corrected.		4	Mr. Albritton's paralegal, Ms. Mathis, called the	
5	Q. It it changed from one thing to another,		5	Eastern District court clerk?	
6	correct?		6	A. I - I know that that's who contacted the	
7	MS. PEDEN: Objection to form.		7	clerk's office to say: There's a problem. How do we	
8	A. Like I told you earlier, I haven't been and		8	correct it?	
9	even looked at the docket to see what changed. I		9	Q. Okay. Do you have any information one way or	
10	understand that the there was a correcting entry made		10	the other whether Ms. Mathis convinced the court clerk	
11	by the clerk.		11	to change the docket to reflect the October 16th filling	
12	Q. (BY MR. BABCOCK) Okay. And whether you call		12	date rather than the October 15th filing filing date?	
13	it "correction" or whether you call it something else,	•	13	A. Well, that supposes that there was an	
14	the fact is that it was different one day than it was	1	14	October 15th filing date, which there was not.	
15	the day before or the minute before, really?	1	15	Q. You admit that the docket sheet had the	
16	A. I think	1	16	the - October 15th as the filing date, don't you?	
17	MS. PEDEN: Objection to form.	1	17	A. You'd have to show it to me. But I understand	
18	Q. (BY MR. BABCOCK) Correct?	1	8	there's something that showed a filing date of	
19	A. I believe that to be correct.	1	9	October 15th	
20	Q. Okay. This says: I got a couple of anonymous	2	20	Q. Okay.	
21	e-mails this morning pointing out that the docket in ESN	2	11	A and that at some point, it reflected a	
22	versus Cisco (the Texas docket, not the Connecticut	2	2	filing date of October 16th. But the the notice of	
23	docket) had been altered.	2	3	electronic filing	
24	Other than the word "altered," which we've	2	4	Q. Yeah, I	
25	just talked about, do you have any information as to	2	5	A has not been changed.	
1	anything class in that accepts the Market acc	58			
	anything else in that sentence that's false?	1		Q. I've been I've been through this a lot,	
2	A. Again, I think he's being false when he's	2	?	so -	

1	anything else in that sentence that's false?	1
2	A. Again, I think he's being false when he's	2
3	saying he's getting anonymous e-mails. He's the lawyer	3
4	in charge of the case, so he - I assume that he's	4
5	communicating with people about what's going on in the	5
6	case.	6
7	Q. Okay.	7
8	A. So I think that's false.	8
9	Q. Okay. Do you know whether he got anonymous	9
10	e-mails or not?	10
11	A. In addition to monitoring the case?	11
12	Q: Right.	12
13	A. No.	13
14	Q. Okay.	14
15	A. No, I don't.	15
16	Q. It says: One e-mail suggested that ESN's	16
17	local counsel called the Eastern District of Texas court	17
18	clerk and convinced him/her to change the docket to	18
19	reflect an October 16th filing date rather than the	19
20	October 15th filing date.	20
21	First of all, did I read that correctly?	21
22	A. I believe you did.	22
?3	Q. And I assume you don't have any information	23
4	one way or the other about whether he received an e-mail	24

	Q. 170 Docts 170 Docts all bogst also a lot,
2	so
3	A. All right.
4	Q. I think I know what you're saying.
5	A. Okay.
6	Q. But but my point is: Do you have any
7	information about the interaction between
8	Mr. Albritton's paralegal, Ms. Methis, and the court
9	clerk one way or the other? Do you know?
10	A. Only what Eric's told me.
11	Q. Okay. So
12	<ul> <li>And we were discussing it at that time, and,</li> </ul>
13	obviously, we've discussed it since that time.
14	Q. Okay. What has Eric told you about it?
15	A. At that time or, you know, after this
16	Q. Let's start with at that time.
17	A. Okay. It's we had a mediation going that
18	day where we were on opposite sides of the case. And
19	seemed like he told me that we'd had a there was
20	something that happened on the the filing, that we
21	had filed it after midnight and the clerk's office had
22	screwed up, not to worry about it; they were taking care
22	-6 th

A. And, I mean, I knew it was against Cisco. I

25 of this type?

61			

1	knew we were filing I knew we had a client named ESN
---	--

- 2 and we were suing Cisco.
- Q. Okay. So he said: Don't worry about it;
- 4 we're taking care of it?
- 5 A. Pretty much. That's --
- Q. Okay.
- A. That's what I recall.
- 8 Q. Okay. And I take it, then, since then, you've
- had discussions with him about this subject?
- 10 A. We had more discussions that day at the
- mediation, because I was local counsel with Baker Botts
- 12 for Terry Fokas' company. Mr. Patton was the mediator.
- 13 So we --
- 14 O. Kind of a small world?
- 15 A. It is a small world. You know, you never --
- you look back on it, and it's funny that it worked out
- 17 that way.
- 18 Q. Uh-huh.
- 19 A. But we had additional discussions there, so
- 20 there were additional discussions.
- 21 Q. Okay. Tell me about the additional
- 22 discussions

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- 23 A. I made the bad mistake of popping off to Baker
- 24 Botts, my -- my cohorts, that I had gotten some more
- 25 business for them; we had sued Cisco and -- just making

small talk. I knew they represented them and -- I knew

And Kevin Meek, I believe, said: Yeah,

And I made some comment back to him that

And then I remember talking to Eric during

a break, going, you know: Cisco thinks we've got a

And he relayed to me: They think the docket shows we filed early. We didn't. We filed it

Q. Okay. Anything else -- else you remember

A. No. We were more focused -- focused on that

Q. Sure. How about subsequent to that; have you

talked to Mr. Albritton about -- about this issue of

A. I -- it seems like after this came out -- I

problem. You know, what -- what happened?

And I didn't worry about it.

discussing with any of the players: Mr. Patton,

after midnight. Don't worry about it.

Mr. Meek, Mr. Albritton, Terry Fokas?

A. At that - at that time?

24 Ms. - Ms. Mathis contacting the clerk?

Q. Yes.

21 mediation.

those guys; I knew they represented them.

but y'all've got -- you've got a problem there.

Y'all've -- you -- you filed too early.

We've got that taken care of.

1	don't think	l knew	about t	his at	the -	when we	were
---	-------------	--------	---------	--------	-------	---------	------

- 2 talking
- Q. Okay
- A. That's my recollection. Then I asked for more
- 5 detail, you know, when -- when I saw what was written.
- 6 1 I said: Now, tell me exactly what happened.
  - Q. Okay. And what did he tell you?
- A. Now we're going -- you know, that's -- this
- has been almost two years ago.
- 10 Q. Sure.
- 11 A. Generally, I remember him telling me that
- 12 Amy had to wait up here until after midnight to file it
- 13 because we had to file it on -- now I know it was the
- 14 16th, just from me knowing this. But whatever day, we
- 15 had to wait until that day, at midnight. That she had
- 16 done that, and that he had had to open a shell case the
- 17 day before; because back then, you had to have the a
- cause number before you could file, and you'd have to open a -- you couldn't wait -- if you want to file at
- 20 12:01 on the 16th, you have to get it on the 15th;
- 21 otherwise, you've got to wait until the clerk's office
- otherwise, you've got to wait until the clerk's office
  opens at 8:00 a.m. on the day that you're going to file
- 23 And you lose your -- that time period; someone on the
- 24 East Coast can beat you to the courthouse.
- 25 So he opened up the -- the shell case on

- 1 the 15th. Somehow they were showing that's the date it
  - 2 got filed, but that we had the notice of electronic
  - 3 filing. I don't know if he used those words, but we
  - 4 have the file stamp that shows when it was filed.
    - Q. Okay. And this was -- it was a few days
  - 6 later, probably after the Patent Troll Tracker articles
  - 7 came out?
  - A. It would have been -- I would have asked for
  - 9 that much detail when I saw this, you know: What has
  - 10 this guy written? And tell me exactly what happened,
  - 11 because I want to know exactly what happened.
  - 12 Q. Okay
  - 13 A. I never went and looked at the docket and
  - 14 looked at the notice of electronic filing. I've
  - 15 never -- you know, at that time. I have since then. I
  - 16 did not look at it at the time.
  - 17 Q. You just relied on what Eric told you?
  - 18 A. Absolutely.
  - 19 Q. Okay. Any other conversations you've had with
  - 20 Eric about -- about the issue of Ms. Mathis
  - 21 contacting -- calling the -- the Eastern District of
  - 22 Texas court clerk about the -- about the docket sheet
  - 23 changing, altering, whatever word you want to use?
  - 24 MS. PEDEN: Objection to form
    - A. You know, at some point, he -- I think he's

asked me, "Would you have done it any differently," you	1	I A.	The complaint the filing date on the

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- know. I said: Absolutely not. That's -- that's what
- 3 my assistant does when there's a --
- A. an error in the clerk's office.
- Q. Okay. Have you talked to David Maland about
- this, the the clerk himself, the -
- A Never
- ۵ Q. Okay. Have you talked to any of the deputy
- 10 clerks or assistant clerks about it?
- 11 A Never
- 12 Q. Okay. Have we exhausted everything you and
- Mr. Albritton talked about the issue of Ms. Mathis
- calling the Eastern District of Texas court clerk 14
- 15 regarding the change of the docket?
- 16 A. I believe so.
- 17 Q. Okay. Let's keep going on this article.
- A. Okay. 18
- 19 Q. Quote: I checked, and sure enough, that's
- 20 exactly what happened the docket was altered to
- 21 reflect an October 16th filing date, and the complaint
- 22 was altered to change the filing-date stamp from October
- 23 15th to October 16th
- 24 Did I read that correctly?
- 25 A. I believe you did.

- 2 complaint was never altered. It's incapable of being
- 3 altered. So that is categorically false
  - Q. Okay. The -- did the header on the complaint,
- the thing at the top, did that change? 5
- A I don't know.
- A I know now that that's an allegation that the R
- 9 header -- I have never gone and printed the header and
- 10 looked at the different headers and --
- 11 O Okav
- 12 A. That -- that would not surprise me if the
- 13 header is -- is different
- 14 Q. Okay. The last sentence of this paragraph
- says: Only the Eastern District of Texas court clerk --
- court clerk could have made such changes. 16
- 17 Do you think that's false?
  - A. Again, if a filing-date stamp is being
- altered. I don't know who could make that. Since it's 19
- 20 computer -- computerized and you can do it
- electronically. I don't know who else is capable of
- 22 doing that.
- 23 Q. Okay. Do you think that -- that this article.
- the October 18th, 2007 article, is accusing the -- the
- district court clerk of anything?

- Q. Is there anything false about that?
- A. Yes.
- O What is it?
- A. He says "that's exactly what happened," that
- we had convinced the clerk to change the filing date.
- We know that to be untrue. Whether or not the docket was changed, again, that - I -- I ascribe a different
- definition to "altered," which implies criminal conduct.
- So I don't think that's true. If you want to say it was
- "corrected," I think that would be more accurate. So I
- 11 don't think -
- 12 Q. If - if he had said, "The docket was
- 13 corrected to reflect an October 16th filing date, and
- the complaint was corrected to change the filing-date
- stamp from October 15th to October 16th," that would be
- accurate? 16
- A. No 17
- Q. Okay. What would be inaccurate about that?
- A. If he said, "The docket was corrected to 19
- 20 reflect an October 16th filing date" --
- 21 Q. Okav
- 22 A. -- I think that would be --
- 23 Q. That would be accurate?
- A. That would be accurate.
- O. Okav 25

- A. Being -- yeah. I think it's accusing the
- 2 court clerk of being a -- a party to a crime. I think
- it later says, wittingly or unwittingly, they conspired
- with us to alter the filing date or we hoodwinked them
- and -- and tricked her in -- or him/her into altering --5
- Q. Okay.
- Q. Yeah, the next sentence, actually, says: Of
- 9 course, there are a couple of flaws in this conspiracy.
- And you would say there's no conspiracy? 10
- 11 A Yeah No.
- Q. Okay.
- First, ESN counsel Eric Albritton signed 13
- 14 the civil cover sheet stating that the complaint had
- 15 been filed on October 15th.
- Anything false about that, to your 16
- 17 knowledge?
- A. I believe so. 18
- 19 Q. What is that?
- 20 A. The civil cover sheet actually gets attached
- 21 to the complaint on the date that it's filed. So I
- 22 don't I don't think the civil cover sheet savs: I'm
- 23 filing on the 15th. So I think that's --
- 24 Q. Do you know whether he signed it on the 15th?
- 25 A. I believe he did, so he could open the shell.

Q. Okay. And then: (n.b.: Don't be surprised

25 if the docket changes back once the higher-ups in the

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		69		71
1	Q. Okay.		anust get wind of this, making this part completely	,,
2	Second, there's tons of proof that ESN	1 2	court get wind of this, making this post completely	
3	filed on October 15th. Heck, Dennis Crouch may be	3	irrelevant.)  Do you even know what that's talking	
4	subpoenaed as a witness, exclamation point.	4	about?	
5	Anything false about that?	5	A. We didn't finish the rest of that. I don't	
6	A. Absolutely.	. 6	it's true that this is a banana republic.	
7	Q. And what's that?	7	Q. Oh, okay.	
8	A. That there's tons of proof that ESN filed on	. 8	A. But, you know	
9	October 15th. It's the exact opposite of that.	9	Q. I could have	
10	Q. You think there's no proof of that?	10	A. I'm sorry.	
11	No, the definitive document for filing is that	11	Q. I could have guessed that, but thanks.	
12	notice of electronic filing, and that's what you look	12	A. Right.	
13	at.	13	Q. The next one, quote: (n.b.: Don't be	
14	Q. Okay. So	14	surprised if the docket changes back once the higher-ups	
15	A. So that's untrue.	15	in the court get wind of this, making this post	
16	Q. So the notice of electronic filing is what	16	completely irrelevant.)	
17	controls?	17	Any what is that saying to you?	
18	A. That's my understanding.	18	A. It's implying that once we're caught, and	
19	Q. Okay. And	19	we're going to be caught, that the the judges will	
20	A. I say the when it says it's filed is what	20	correct this criminal activity.	
21	controls.	21	Q. Okay. Do you know I asked you this a	
22	Q. Dennis Crouch subpoenaed as a witness, you	22	second ago, but in a slightly different way: Do you	
23	know, that's, I assume	23	know what criminal activity you think this this	
24	A. I I don't know what	24	article is accusing you of?	
25	Q superfluous?	25	A. I think I do.	
		70		72
1	A. Right.	1	Q. Okay. What's	
2	Q. Paragraph: You can't change history, and it's	2	A. The way I read it, when I when I saw it,	
3	outrageous that the Eastern District of Texas is	3	was that I would think it'd be a crime to go in and	
4	apparently, wittingly or unwittingly, conspiring with a	4	change a court filing, scratch out a date and put a new	
5	nonpracticing entity to try to manufacture subject	5	date on it to try and create subject matter	
6	matter jurisdiction.	6	jurisdiction. I think that'd be illegal	
7	I read that correctly?	7	Q. Okay.	
8	A. You did.	8	A and and unethical.	
9	Q. And you disagree with that?	9	Q. Okay. And you your lawyers have shown you	
10	A. I think it's all untrue.	10	some statutes, but you can't cite us anything?	
11	Q. Okay.	11 .	A. If you pull out my interrogatory you've	
12	This is yet another example of the abusive	12	got you sent a lot of discovery to me, and I would	
13	nature of litigating patent cases in the Banana Republic	13	have looked at it in conjunction with answering my	
14	of Texas.	14	interrogatories. So that's	
15	Did I read that correctly?	15	Q. You you cited some state bar rules. 1	
16	A. You did.	16	don't think you cited cited a statute, but	
17	Q. Okay. Do you think that relates to you? Do	17	A. I think we cite	
18	you think these	18	MS. PEDEN: Objection to form.	
19	A. In part. "Abusive nature of litigating" here.	19	A. I think we cited some statutes.	
20	They know – people know I file a lot of cases on behalf	20	Q. (BY MR. BABCOCK) Did you? Okay.	
21	of plain plaintiffs, and absolutely untrue that this	21	A. But	
22	is an abusive district. I've been on both sides of the	22	Q. If you did, we won't bother to go over this	
23	docket, and just absolutely untrue.	23	again.	

Let's go back to 3 now. And this thing

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And I don't which one?	The 18th changes?	

- 2 Q. The 18th changes. I don't -- I don't believe
- the 17th changed.
- A. Okay. I thought there was somewhere where he
- 5 wrote that he'd gotten a couple of e-mails that were
- critical of what he'd written about us, and I don't
- remember which article that's --
- O. Yeah. I think that's the November thing that 8
- ۵ you're talking about.
- A. Okay. Okay
- O But --11
- 12 A. I don't know what made him change it
- Q. Okay.
- 14 A. That's -- that's my speculation, but --
- 15 Q. Okav. It looks to me -- but confirm that I'm
- right -- that it's in the third paragraph. The first
- 17 sentence is the same in both Exhibit 3 and Exhibit 17
- But then the sentence, "This is yet another example of
- 19 the abusive nature of litigating patent cases in the
- 20 Banana Republic of East Texas," that is deleted --
- 21 A. Well, there's some -- there were changes
- 22 before that

1

3

- 23 Q. Oh, there were? Okay. I'm sorry.
- 24 A. He dropped the word "conspiring."
- 25 Q. From -- from what paragraph?

- 1 clerk's office: file a motion to correct or call Tyler.
- 2 in the computer department, and raise your complaint
- Q. Okay. And you -- you agree with the way
- Mr. Albritton and his paralegal handled it, by rejecting
- the first option of filing a motion, and calling Tyler
- to talk to the clerk right?
- MS. PEDEN: Objection to form.
- 10 A. I don't know that they rejected it. They
- 11 said: Here's the two things you can do. And they said:
- Well, the most expeditious one is to make a phone call
- 13 and say: Can you correct it on your end before we have
- to file another pleading?
- 15 So I don't know that they rejected it. If
- 16 the clerk's office in Tyler had said, "We can't correct
- it here; you're going to have to file a motion," they 17
- 18 would have filed a motion.
- Q. (BY MR\_BABCOCK) Okay But -- but you said 19
- they were given two aptions?
- A. Right. 21
- 22 Q. And you know for a fact that they didn't
- pursue Option Number 1. Whether they rejected it or
- 24 not they didn't --
- 25 MS. PEDEN: Objection to form.

A. In that third paragraph, he says "conspiring."

- He drops "conspiring" and says "helped."
- Q. Oh, okay. I'm with you. You're right. So he
- dropped "conspiring" and put "helped."
- A. Right. And then he adds: Even if this was a
- "mistake," which I can't see how it could be, given that
- someone e-mailed me a printout of the docket from Monday
- showing the case, the proper course of action should be a motion to correct the docket. 9
- Q. Okav.
- A. That's -- that's all new. And then he dropped 11
- that last sentence 12
- 13 Q. Okay. And then he's still got the "don't be
- 14 surprised" part?
- A. Right. But, again, I think he's leaving
- 16 that -- he knows or can easily find out exactly what
- 17 happened at that point. He can -- any number of ways,
- 19 Q. Okav. He says: The proper course of action
- should be a motion to correct the docket. 20
- 21 Do you see where he -- he wrote that?
- 22
- 23 Q. You think that that is not correct, that
- that that's not the proper way to proceed?
- A. I think that we were given two options by the

- Q. (BY MR. BABCOCK) -- pursue that, right?
  - A. Well, your -- your question made it sound like
  - they said: Well, we're not going to do that.
  - 4 I think they did what - what we all do.
  - 5 as lawyers, and try and take, you know, what's easiest
  - and quickest and -- and proper, and that is, make a
  - phone call. If that'll take care of it, then I don't
  - have to file another pleading, you know, draft it and

  - 10 O Okav
  - A. If the clerk's office had said, "No, you've 11
  - 12 got to -- they're wrong; you've got to file a motion," I
  - 13 can guarantee you be would have filed a motion.
  - Q. Sure. But but do you have any information
  - 15 to suggest that -- that Mr. Albritton ever considered
  - 16 filing a motion?
  - A. I don't know what he considered. I know those
  - 18 were the options --
  - 19 Q. Okay
  - A. -- he was given
  - 21 Q. You know, you've talked about how, you know,
  - 22 you guys were at this mediation and then you had other
  - 23 conversations. Did he ever say to you: Hey, maybe we 24 should file a motion or I want to file a motion or --
  - MS. PEDEN: Objection to form.

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1	Q. (BY MR. BABCOCK) did the issue of the	. 1	A. I would have saved it if I'd gotten any.	
2	motion ever come up?	2	Q. All right.	
3	I don't think so because we had it corrected.	3	A. So I don't think I ever got an e-mail saying:	
4	We did what they told us to do. So we never had to get	4	You – you criminal.	
5	to the motion.	5 .	Now, that stuff was in the blogs, but I	
6	Q. Yeah, you you you did one of the things	6	quit reading that.	
7	they told you to do?	7	Q. Did anybody from the U.S. Attorney's Office	
8	MS. PEDEN: Objection to form.	8	contact you regarding your being accused of or guilty	
9	A. They didn't tell us to do two things. They	9	possibly guilty of some criminal misconduct?	
10	said: You can do A or B.	10	A. No.	
11	Q. (BY MR. BABCOCK) Right.	11	Q. Okay. How about from the Department of	
12	A. And we said: Let's go with A.	12	Justice?	
13	Q. Okay. They said: You can do A, call the	13	A. No.	
14	clerk in Tyler, or, B, file a motion. Right?	14	Q. How about from any state District Attorney's	
15	A. I think	. 15	Office?	
16	Q. That's your understanding?	16	A. No.	
17	A. I think that's what the Texarkana clerks	17	Q. How about the State Bar of Texas; anybody	
18	told if I understand who she was talking to.	18	contact you about unethical behavior?	
19	Q. Right.	19	A. No.	
20	A. And she chose to call the Tyler district	20	Q. Okay. How about the Eastern District of	
21	clerk's office.	21	Texas; did any of the judges contact you about improper	
22	Q. Okay, And and you think that was the right	22	behavior?	
23	way to handle it?	23	A, Na.	
24	A. Absolutely.	24	Q. Okay. Did you get any correspondence from	
25	Q. No criticism of that?	25	anybody suggesting that you were guilty of criminal or	
		78		80
1	A. None.			
2		1	unethical conduct?	
3	Q. Okay.	2	A. No.	
4	(Sotto voce discussion between Mr. Babcock	3	Q. Okay,	
5	and Ms. Parker.) Q. (BY MR. BABCOCK) Has I know you've	4	A. I - I don't know how you want to char	
6	you've produced	5	I've given you everything that I've got. I don't I	
7	A. Are we through with these?	6	don't think you can characterize any of those e-mails in	
8		7	that fashion.	
9	Q. Yeah, we're done with those.  I know you've produced e-mails from a	8	Q. All right. I didn't, but, you know	
		9	A. Okay.	
10	number of people regarding those those articles,	10	Q. — I'm not sitting there, either.	
12	Exhibit Exhibits 3 and 17. Can you recall any e-mail	11	Have you had any conversation with anybody	
	that you got that was critical of you or of your	12	that that listening to them, you thought, that	
13	handling of this of this matter?	13	believed you were guilty of criminal or unethical	
14	A. No.	14	conduct?	
15	Q. Okay.	15	A. I've had conversations with people who had had	
16	A. And to be clear, I don't know exactly what's	16	conversations with other people.	
17	been produced to you. I know they turned over some	17	Q. Okay.	
18	things that I didn't think they should have turned over,	18	A. No one directly said: Hey, I've read this	
19	but that's their decision.	19	stuff, and I think you're a bad guy.	
20	Q. Are you looking for a lawyer to sue them?	20	Q. Okay. Tell me about the conversation you had	
21	Nope, nope. That's what I've got lawyers for.	21	with somebody who had a conversation with somebody else.	

22

A. The first one was when my wife and I had

23 dinner with Pete McAndrews and his wife, whose name I

Q. We'll seal this part of the deposition. Okay?

24 can't recall. A lovely lady.

Q. Would -- even though -- even though there's

23 been none turned over, have - did you ever get any that

24 you may have deleted, or whatever, that were critical of

25 you?

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A. A lovely lady, but I can't recall her name,	. 1	to
sitting here.	2	A. I think that
Q. Okay. And and your wife gave a	3	Q talk to
recollection of that conversation. Give me yours.	4	A. I think that'd be improper, but I wouldn't
A. Hers was pretty close to mine. I you know,	5	have done that.
we had gone out there just kind of to keep business	6	Q. Okay. In any event, improper or not, you
contacts going. It was nice to go have dinner with	. 7	didn't do it?
these folks. And something came up about the Cisco	8	A. I did not do it.
case. I mean, that's the only case that Pete and I have	9	Q. Okay. And did Pete at dinner specify the
together. And his wife said: Oh, you're the one. And	10	Patent Troll Tracker as the source of this in-house
clearly, they had talked about it.	11	counsel's comment to him about you and Mr. Albritton?
And I said: Yeah, I'm the one.	12	A. That's the only place I've been accused of a

15

16

19

18 not.

12 13 And Pete -- I don't think he would have ever told me if he'd known it was going to end up in 15 get- -- getting his deposition taken -- told about this

time where he was meeting with some in-house counsel at some company. And I don't know if they were

18 contemplating filing a lawsuit in the Eastern District or what. And he said: We've got good local counsel

20 down there. Johnny Ward and Eric Albritton

21 And they relayed to him they'd have 22 nothing to do with us; they'd read about us; they knew 23 that we were unethical or engaged in bad acts, and not

24 to raise our names again as hiring us as local counsel.

That's my recollection of --

84

Q. Okay

10

2 A. -- what was said

Q. Did you do anything to follow up on that and

4 say: Hey, you know, I assume you told the in-house

counsel that we're good guys and --

A. I didn't - I mean, I was kind of surprised

that I'm hearing this. I -- I had suspected that that

had gone on, but it's hard -- people don't call me and

say: Hey, you were in the running, but we're not hiring

10 you because of what we read about you.

12 A. So it kind of confirmed my suspicion. 13 And I subsequently talked to Pete and 14 said: I know we were having this over-a-casual-dinner 15 conversation, but it's important to me. Would you mind me telling my lawyers about it and letting them know

17 that I have confirmation?

18 And he said - he's a stand-up guy, and he said: Have at it. The facts are the facts.

20 Q. Do you remember the name of the in-house

21

22 A. No. He -- he -- he would know because he

23 called them by name and company.

24 Q. Okay. And you didn't make any effort to

25 contact the in-house counsel or the company yourself

clients, and I've heard that goes on. I don't

2 typically -- we're not --

22 trying to pick counset.

Q. You don't do --

A. - involved -- my name's brought up, and

5 that's how I found out about another instance where

13 crime and unethical behavior. So maybe I just assumed

14 that that's what the source of his information was.

Q. Okay. But he didn't mention it?

A. I -- I can't recall, sitting here, I -- I

17 would defer to Pete whether or not he mentioned it or

Q. Okay. As lawyers, we all, from time to

20 tame -- time to time, get -- get in beauty contests,

21 what they call the counsels -- I mean the companies

A. I -- I understand that goes on in the big

24 firms. I hear about it because - Jackson Walker, Baker

25 Botts, or Vinson & Elkins, they get interviewed by

someone had a negative reaction to me.

7 Q. Okay. But you typically don't do the beauty

contests like the big firms do?

9 A. No.

10 O Okay

11 A. I've got lawyers that use me as local counsel,

12 and they tend to come back to me.

13 Q. Okay. You say there was another instance.

other than the Peter McAndrews matter, where somebody

15 was reporting what somebody else had said?

16 A. Right,

Q. And who was that?

18 A. Bob Chiaviello at Fulbright & Jaworski,

19 Q. And what did Mr. Chiaviello say?

20 A. Same general type of thing. They were

21 enrolled in a beauty contest, and he brought up that:

22 Hey, we use Johnny Ward as local counsel. We could help

23 you out,

24 You know, it would've had to have been a

25 case filed in Judge Davis' court or Judge Folsom's

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		85	
1	court	1	because of this, so I can't put any dollar value on it.
2	Q. Sure.	2	Q. (BY MR. BABCOCK) You could prove, however,
3	A defense case.	3	that a bunch of people are hiring you. I mean
4	And whoever his contact and he said it	4	A. I've
5	happened on more than one occasion. He didn't give a	5	Q you've got a pretty active docket.
6	client name to me. He just said that people have said:	6	A. I've got an active docket, yes. This has, by
7	We've we've heard about that guy; we've read about	7	no means, shut my practice down.
8	him; we're not going to use him.	8	Q. Okay. So there's Pete McAndrews, Bob
9	And he attributed it to the Patent Troll	9	Chiaviello, and one or more people that you won't name?
10	Tracker specifically.	10	A. One. One lawyer.
11	Q. Okay.	11	Q. Okay. One lawyer that you won't name.
12	A. So I assume that these folks raised the	12	A. And Mr. Fokas has told me that he would not
13	articles to him.	13	have hired me if he did not know me prior to this and
14	Q. Okay, And Chiaviello said that he heard about	14	know this to be untrue about me. That's hindsight,
15	it from how many clients?	15	but
16	I don't know if it was one or several. It	16	Q. Uh-huh.
17	seems like it was on more more than one occasion that	17	A he's made that comment to ma ! think he's
18	he had been trying to land some business and had	18	very happy with my representation of him, so I'm not
19	referenced me by name and	19	worried about losing him as a client.
20	Q. Okay.	20	Q. All right. Okay. Anybody anybody else,
21	<ol> <li>He did not specify a client, though.</li> </ol>	21	other than McAndrews, Chiaviello, the one lawyer you
22	Q. Okay.	22	won't tell us, who has said that because of this Patent
23	<ul> <li>A. And I kind of had the same conversation: Bob,</li> </ul>	23	Troll Tracker article were you even quoting somebody
24	I hate to put you in this situation, but can I give your	24	else?
25	name to my lawyers? Would you be willing to talk to	25	A. Quoting some
		86	
1	them about what's happened?	1	Q. Yeah.
2	Q. Uh-huh. And he he said yes?	2	Who he would not identify.
3	A. He said	3	Q. Right.

1	them about what's happened?
2	Q. Uh-huh. And he he said yes?
3	A. He said
4	Q. Okay.
5	A whatever you need to do,
6	Q. Is there anybody of this nature, like Pete
7	McAndrews and Bob Chiaviello, who you've asked
8	permission who have denied it, said: No, you can't give
9	my name to the lawyers?
10	A. Yes.
11	Q. Who is that?
12	A. I don't they don't want their names out
13	there, and I I don't I'm not comfortable giving
14	them to you. I think it's confidential. They've had
15	clients who've — and I can't obviously, if I
16	confl. If I don't give it to you now the net exists to

10	A. Yes.
11	Q. Who is that?
12	A. I don't they don't want their names out
13	there, and I I don't I'm not comfortable giving
14	them to you. I think it's confidential. They've had
15	clients who've - and I can't obviously, if I
16	can't if I don't give it to you now, I'm not going to
17	get to talk about it at trial, and I understand I live
18	and die by that.

Q. Okay. Are you claiming economic damages in

MS. PEDEN: Objection to form.

A. I don't believe so. I think I'm claiming

23 pain, suffering, mental anguish, and reputational

24 damage. I think I've lost business, but, you know, I

25 can't ever -- again, I can't prove who's not hiring me

5	Q. Okay. So anybody else other than those
6	people?
7	A. No.
8	Q. Okay.
9	A. Not not that I know of.
10	Q. Okay. Is there is there anybody outside of
11	your professional life and I understand the patent
12	bar is a small bar and talks and everything. But
13	anybody outside of your professional life that has made
14	comments disparaging comments to you about the Pate
15	Troll Tracker? I mean, anybody at church or at school
16	or
17	A. Nobody.
18	Q. Okay.
19	A. I mean, people, obviously, read about it when
20	we sued and it turned out to be Cisco, but no one's said

A. He said: I'm not going to testify.

20 this case?

		89	
1	press comment about the case about your case?	1 better and said: Contact my lawyer	:
2	A. I think that's fair.	2 Q. All right. And you gave Mr.	Patton authority
3	Q. Your very own lawyer, Mr. Patton, was quoted	3 to speak for you to the press about	this case, correct?
4	in the Texarkana Gazette. Did you read that article?	4 A. Absolutely. He speaks he	speaks for me.
5	A. I did.	<ol> <li>Q. Is there anything that he ha</li> </ol>	s said that you
6	Q. And in that, he said that Frenkel was a	6 have disavowed or think is imprope	r?
7	coward. Do you agree with that?	<ol> <li>A. Not – not sitting here.</li> </ol>	
8	A. Absolutely.	8 Q. Okay. Do you know if the T	exarkana Gazette
9	Q. And that Cisco was a bully. Do you agree with	9 article was after this lawsuit was	after your lawsuit
10	that?	10 was filed?	
11	A. Absolutely.	11 A. I'm guessing, but educated (	juess is yes, it
12	Q. Okay.	12 was after after the lawsuit got file	i.
13	A. They've just been caught.	13 Q. Have you ever served on the	iocal rules
14	Q. Do you do you know did you know that	14 committee of the Eastern District of	Texas?
15	Mr. Patton was going to talk to the newspaper prior to	15 A. I have not.	
16	him speaking?	16 Q. Okay. Let me here's Exhi	oit 18. I think
17	MS. PEDEN: Objection.	17 this is the this is the one that may	be you referenced
18	To the extent that that calls for	18 earlier as being a November article	by Mr. Frenkel. It
19	attorney-client-privileged communications, I'm going to	19 talks about the ESN case on the thir	d page
20	instruct you not to answer it.	20 A. Right, Okay.	
21	A. I'm going to follow my lawyer's advice.	21 Q. Is is this the one you were	talking about?
22	Q. (BY MR. BABCOCK) So you think that that calls	22 A. It is.	
23	for an attorney-client	23 Q. Okay.	
24	A. What	24 A. And I had forgotten about so	me of this, so you
25	Q conversation?	ask your questions, and I'll answer y	ou.
		90	
1	A. What Mr. Patton and I talked about, whether or	1 Q. Okay. It says ESN – it's – it	s in a larger
2	not I was contacted by the press?	2 article about "Troll Call and Other Pa	atent Stats for

2	not I was contacted by the press?	2	article about "Troll Call and Other Patent Stats for
3	Q. Sorry. You misunderstood my question. Did	3	October 2007." And then it has a whole bunch of
4	you	4	statistics. And then it lists some cases, and it says
5	A. Okay.	5	"116." Anyway, it says: 116) ESN, LLC versus Cisco
6	Q know before Mr. Patton spoke to the	6	(and related company) (Texarkana October 5th [sic],
7	Texarkana Gazette that he was going to speak to them?	7	November November 15. No, wait. Oct let me
8	MR. PATTON: That's not the question you	8	start over again.
9	asked, Chip.	9	116) ESN, LLC versus Cisco (and related
10	A. The the only way I would	10	company) (Texarkana, October 15. No, wait. October 16.
11	MR. BABCOCK: Go back and read the	11	No, October 15. When was it "filed" again?). I posted
12	question I asked before.	12	on it here. Michael Smith also had a post on the case.
13	(Record read.)	13	I had thought there was a dueling jurisdictional battle
14	A. The only way I would know that is if he told	14	but then I read an article yesterday that ESN dismissed
15	me, and I think that's privileged.	15	its case against Cisco. I looked, and the same is true
16	Q. (BY MR. BABCOCK) Not necessarily. The	16	for the Cisco case against ESN: gone.
17	reporter could have told you; a lot of people could have	17	Aside from his, you know, we don't know
18	told you.	. 18	whether he read it or not, it is true that both cases
19	A. Yeah. No no reporter told me that	19	were dismissed, right? Both the Texarkana case and the
20	Q. Okay.	20	Connecticut case were dismissed?
21	A. I gave Mr. Patton I contacted I was	21	A. Okay. But you said something before that, and
22	contacted by a lot of reporters, and I never gave	22	you you threw me off. You said we don't know whether
23	comment	23	or not it's true.
24	Q. Okay. Did you	24	Q. Well, all of his musings about what he was
25	* *		Color of the color

19 with respect to local custom, isn't such a "customary"

20 action detrimental to the credibility of the Court? We

23 date stamps on documents disappear on one day and 24 reappear the next day with a different date?

25

have been -- we have to be able to trust the U.S. courts

and their ECF system. How can we trust the courts when

This all could be averted if the local

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1	listening to Michael Smith or whether he was looking on	1	rules committee adds a rule that no document shall be	
2	his computer?	2	replaced without a motion made to correct the docket.	
3	MS. PEDEN: Objection to form.	3	Have I read his article or blog in	
4	A. I don't know what he was doing. I know that	4	in its entirety well, these two paragraphs, I should	
5	the things that he's writing here are false, and he	5	say?	
6	would have known they were false at that time	6	A. Yes, sir.	
7	Q. (BY MR. BABCOCK) Okay.	7	Q. Okay. Did I read them correctly?	
8	A or should have known,	8	A. I believe you did.	
9	Q. What what was true was that both the	9	Q. Okay.	
10	Texarkana case and the Connecticut case were dismissed,	10	A. I mean, they pretty much speak for themselves.	
11	correct?	11	They say what they say.	
12	A. That's part of the truth, yes. He knew a lot	12	Q. Right. And and do you have criticism for	
13	more, though.	13	what he said in in those two paragraphs I just read?	
14	Q. Okay. But but that fact is true?	14	A. Absolutely	
15	A. That's that's that's part of the truth.	15	Q. Okay. What what are your criticisms?	
16	Q. Okay. And what do you think he should have	16	A. Do we want to go through it line by line?	
17	added? Like, who he was or	17	Q. Sure.	
18	A. Well, no. And Cisco - he knew that Cisco had	18	A. Okay. I think I've already told you about the	
19	consented to say that jurisdiction is correct in the	19	criticism about where he's talking October 15th,	
20	Eastern District of Texas. And then in the first line,	20	October 16th.	
21	he's saying: When is it filed?	21	Q. Okay.	
22	He knows when it's filed. He certainly	22	A. No, wait. October	
23	has access to the notice of electronic filing, and he's	23	Q. Gotcha.	
24	still saying, oh, we can't and he's using the word	24	A 15th.	
25	he's putting it in quotes - "filed," because that's a	25	He knew there was a dueling jurisdictional	
		94		96
1	very specific meaning. And he's saying he's	1	battle. He knew that we'd talked to Sam Baxter and that	
2	referring back to his and he's linked back to it: I	2	Cisco had conceded that jurisdiction was appropriate in	
3	posted on it here. So go back and look about the	<b>.</b> 3 <sub>1</sub>	the Eastern District. He admits all those facts.	
4	article where I said	4	And he's not telling the truth when he	
5	Q. Okay,	, 5	says: I read an article that ESN was dismissed.	
6	A they've altered the filing date.	6	We know he's monitoring the docket. He's	
7	Q. Okay,	7	the attorney in charge of the ESN case. We know that's	
8	A. He's connecting the articles himself.	8	untrue.	
9	Q. Okay. Then he says: I got some critical	9	And he says he's looking to see if the	
10	e-mails for using the word "altered" with respect to the	10	same is true for the Cisco case. That's untrue. He's	
11	Texas docket. Well, let me respond. If a document	11	getting reports daily from his lawyers about what's	
12	appears one day with a date stamp and the next day that	12	going on, if not hourly, and then he omits what's	
13	date stamp disappears and is replaced with a different	13	happened. So he's not giving the full picture of what's	
14	stamp, what would you call it? To the extent the use of	14	going on, I think.	
15	the word "altered" implied that anyone did anything	15	Q. Okay.	
16	illegal, that was not my intent. I'm positive the court	16	A. I don't know whether he - or not he got	
17	clerk was following local custom, as was the ESN Texas	17	critical e-mails. My understanding is he says he can't	
18	lawyer. But putting aside the propriety of such actions	18	find any of his e-mails, which I find to be incredible.	

19

24

He uses the word "altered." I - I don't

And then this next sentence, he's talking

25 about the date stamp changing and - and reappearing and

20 doubt that people read it the same way I did and that he

21 was criticized for using it. I'd love to see what those

22 folks wrote him, but I don't know if we'll ever see

			Ward, John 8/10/2009 1:21:00	PN
		97		99
1	being replaced. All untrue. The date stamp was never		you know, persons with knowledge of relevant facts. We	
2	changed, was never altered. I think he knew what he was	;	identified Sam. And I contacted Sam to ask him what he	
3	doing when he used the word "altered," that he was	;	thought about this post, what his opinion was, was it	
4	implying illegal activity. That was his intent,		accusing me of criminal conduct, and would be come	
5	And, again, he's assuming that the court	:	testify.	
6	clerk in this next sentence, that the court clerk is	(	Q. Okay. And what did he say?	
7	altering the date, which didn't happen.	;	A. Absolutely accused me of criminal conduct, and	
В	And he's saying he sets aside the	:	he'd be happy to come testify.	
9	propriety of such actions. Well, there's nothing	9	Q. Okay. Was he representing Cisco at the time?	
10	improper about it, nothing to set aside.	1	A. At the time of	
11	Calling into question the credibility of	. 1	Q. Of that conversation.	
12	the Court. There's no no reason to question anyone's	1	MS. PEDEN: Objection to form.	
13	credibility. And, you know, he's supporting this banana	1	A. I don't know if he was or not. It was fairly	
14	republic statement, that we need to be able to trust the	1	recently.	
15	Courts and their their system, that there's something	1	Q. (BY MR. BABCOCK) But when was it?	
16	nefarious going on.	10	A. It would have had to have been shortly before	
17	Q. He he doesn't mention banana republic	1	we gave you the list of	
18	again, does he?	1	Q. People with relevant knowledge?	
19	A. No, no	1:	A. Well, I say that, because it might have	
20	Q. Okay.	2	been when we I don't know what they've what	
21	A he doesn't. He previously referred to this	2	they've done with the pleadings. I know that the	
22	practice	23	conversation took place in the last, I'd say, 90 days.	
23	Q. Oh, okay. All right.	23	Q. When you say the date stamp never changed, do	
24	A. And then he's saying it's - you know, we have	. 24	you know whether any things on the docket that	
25	to be able to trust these date stamps; somehow they're	25	changed I mean on the pleadings that changed at all?	
		98	11	00
1	not trustworthy, when, in fact, we know it never	1	MS. PEDEN: Objection to form.	-
2	changed. He's saying they disappear and reappear.	2	A. I don't know it for a fact. 1 my	
3	Untrue.	3	understanding is that there's something on the docket	
4	Q. Anything else?	4	entry that reflects a different date. It originally	

1	not trustworthy, when, in fact, we know it never	
2	changed. He's saying they disappear and reappear.	
3	Untrue.	
4	Q. Anything else?	
5	A. Not that I can think of.	
6	Q. Okay. You said that you talked to Sam Baxter	
7	and Cisco conceded jurisdiction was proper. Was it you	
8	that talked to Sam Baxter?	
9	A. No.	
10	Q. Who talked to Sam Baxter?	
11	A. Eric	
12	Q. Okay.	
13	A talked to Sam.	
14	Q. And reported to you?	
15	A. Yes. They they were working out an	
16	agreement on how we're going to go forward.	
17	Q. Okay,	

A. Now, I've had conversations with Sam since

Q. Okay. Tell me about your conversations with 23 Sam Baxter regarding this case -- or regarding this

A. Very brief. It was when we were identifying,

A. -- at that time, I had not.

18

24 matter.

2	A. I don't know it for a fact. 1 my
3	understanding is that there's something on the docket
4	entry that reflects a different date. It originally
5	showed "file," and now it shows the 16th, but $I - I$
6	don't know. If you show it to me, I'll I'm not
7	trying to deny that happened.
8	Q. (BY MR. BABCOCK) Okay. All right. Do you
9	disagree with his last sentence here, that that maybe
10	there ought to be a local rule to say that you can't
11	replace a document without a motion made to correct t
12	docket?
13	A. I disagree with the statement that he said
14	"this could all be averted." This could all have been
15	averted if he'd asked for a copy of the file stamp,
16	which he didn't do.
17	Q. Okay. But the issue of whether you can change
18	things on the docket, do you think that having a local
19	rule requiring a motion to do that would be a better
20	practice?
21	A. No. I think the clerk's office needs to get
22	this complete computer glitch fixed. But for lawyers
23	who are relying on the filing dates, they know what to
24	look at. But I don't I don't know how we can do
25	that.

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		101	1	03
1	Q. Okay. All right. You produced for us a video		paying them to do this or there was a crew of people	
2	of you going onto Google. Do you remember doing that?	2	doing it. I don't know.	
3	A. I do.	•	Q. Do you know whether the the March 17th !	
4	Q. And when did you do that?		mean the October 17th and 18th, 2007 Patent Troll	
5	A. I'm sure it's you can get it off that	ţ	Trackers can be accessed today?	
6	document. It would show a I don't know. It was	(	A. I believe they can be.	
. 7	shortly after it happened. My IT guy said: I want to	7	Q. Okay. And how do you think they can be?	
8	capture this to show that now I'm you put my name in	8	A. I know I've played around on the Internet, and	
9	and "attorney." The first thing that's popping up is	9	I you can you can see them captured in some spots.	
10	Patent Troil Tracker.	. 1	Now, whether or not they're the altered ones or the	
11	Q. Yeah. I think you you put in "Eric	1	1 revised ones or not, I - I I think you can still	
12	Albritton, patent attorney," and the October 17th post	1	2 access them.	
13	showed up. Correct?	1	Q. And have you actually, yourself, accessed	
14	MS. PEDEN: Objection to form.	1	4 them?	
15	A I think I put	1.	A. I don't believe I have. Well, I don't - I	
16	Q. (BY MR. BABCOCK) Do you know?	10	can't remember. I know I've looked at them and they	
17	A. I think I put in "Johnny Ward," but I -	1	were available for I haven't done this in a long	
18	Q. Okay Yeah.	1:	time, but I think they're still there.	
19	A. I it's been a long time since I've looked	1:	Q. Okay.	
20	at it	20	A. I kind of operate under the the belief that	
21	Q. We both should have listened to her objection.	2	once it's out there, it's always out there,	
22	A. Okay.	2:	unfortunately, whether it's e-mail or Web sites. I know	
23	Q. It's "Johnny Ward"	23	we've been involved in cases where we use this deal	
24	MS. PARKER: Patent.	24	called the way-back machine and you go and I didn't	
25	Q. (BY MR. BABCOCK) "Patent attorney."	25	5 realize this you find Web sites that were around 15	
		102	10	)4
1	MS. PARKER: Not	1	years ago. I did it in a case with your firm.	
2	Q. (BY MR. BABCOCK) I said "Eric Albritton."	2		
3	MS. PARKER: Not "attorney," just	3		
4	"patent."	4	• • • • • • •	
5	Q. (BY MR. BABCOCK) Oh, "Johnny Ward, patent."	5	articles after March of 2008?	
6	A. Okay.	6		
7	Q. "Johnny Ward, patent." And then the	7	and just tell you not to disclose any	
8	October 17th article popped up, right?	8	attorney-client-privileged communications. So you can	
9	A Again I don't remember exact	9	answer to the extent that you have any knowledge outside	

			,,
2	Q. (BY MR, BABCOCK) I said "Eric Albritton."	2	Q. Besides your besides yourself excuse
3	MS. PARKER: Not "attorney," just	3	me besides yourself, do you know of anybody who ha
4	"patent."	4	tried to to see the see the October 17th or 18th
5	Q. (BY MR. BABCOCK) Oh, "Johnny Ward, patent."	5	articles after March of 2008?
6	A. Okay.	6	MS. PEDEN: Okay. I need to interject
7	Q. "Johnny Ward, patent." And then the	7	and just tell you not to disclose any
8	October 17th article popped up, right?	8	attorney-client-privileged communications. So you can
9	A. Again, I don't remember exact	9	answer to the extent that you have any knowledge outside
10	Q. It's whatever it says?	10	of those.
11	A. It's whatever it says.	11	A. As far as other individuals, other than what
12	Q. Okay.	12	my lawyers have told me, I can't. I I know of nobody
13	A. It's	13	else.
14	Q. How many times how many different	14	Q. (BY MR. BABCOCK) Okay. Have you retained a
15	combinations of words did you put into the Google system	15	testifying expert to - to testify on this expert - on
16	before you got "Johnny Ward, patent"	16	this subject?
17	MS. PEDEN: Objection to form.	17	MS, PEDEN: Again, I'm going to interject
18	Q. (BY MR. BABCOCK) — if any?	18	and just instruct you not to divulge attorney-client
19	A. 1 I don't remember. I remember that I did	19	communications.
20	if, and I was shocked that that was what was coming up.	20	Q. (BY MR. BABCOCK) Can't answer it?
21	And I was like: I'm going to save this, because	21	A. Well, I have not individually gone out and
22	there's in my mind, it was more sophisticated than	22	retained somebody to testify, no.
23	just some individual out there blogging. They had a lot	23	Q. But do you know of a testifying expert

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MS. PEDEN: I instruct you not to answer.

of time. They were giving lots of stats. Either this
 person had -- was independently wealthy or someone was

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23 Jersey 24

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105 A. The only way I'd know that is if my lawyers from him. And it's dated December 4th, 2007, and it have told me, and I'm not answering that one way or the directs you to a -- a Web site or something. Do you other Q. (BY MR. BABCOCK) Okay. A. I'm sure I clicked it at the time, but I don't MR. BABCOCK: We need a tape change, and know what it is now Q. Okay. Okay. Let me hand you Exhibit 6. This MR. PATTON: Oh, i'm so glad. is. I think, maybe the cartoon that you -- you referred MR. BABCOCK: -- let's take a little R break. Okav. MS. PEDEN: Chip, do you have another THE VIDEOGRAPHER: Off the record, 11:37. 10 copy? This is the end of Tape 1 of the deposition of John 11 MR. BABCOCK: Oh. I'm sorry. Ward. 12 MS. PEDEN: That's all right. Thank you. (Lunch recess 11:37-12:36.) Q. (BY MR. BABCOCK) This is the cartoon that you 13 (Videotane 2.) referred to earlier, where Mr. Niro is jumping out of THE VIDEOGRAPHER: This is the beginning the bushes and Mr. Frenkel is looking scared, sitting on of Tape 2 of the deposition of John Ward, Jr. Back on 16 a stone? the record 12:36. 17 A. Yeah, that's the one I ~ I was referring to. Q. (BY MR. BABCOCK) Can you tell us, Mr. Ward, He framed it in a little -- it's not blown up. It's who John Olivo is, O-l-i-v-o? 19 this size in a frame. A Jack Olivo? 20 Q. Okay. And signed it for you? 21 A. It says: We got him --A. Yeah, Jack Olivo. He's a lawyer in New Q. Okav. 22

A. Is that who wrote that e-mail? I don't -maybe. 3 Q. Okay, I probably have it somewhere. MR. BABCOCK: Where -- where are my documents? Let's see if I can find it. Q. (BY MR. BABCOCK) Here's Exhibit 4. This is an e-mail that Jack Olivo from New Jersey sent to you on November 5th 2007 A. Yeah.

Q. And do you recall him telling you that -- that

Q. Still friends with Mr. Olivo?

25 you'd play perfectly in Connecticut?

11 A. I am. I mean, we're -- we're professional

12 acquaintances

13 Q. Okay. But you took this as a -- as a

complimentary communication regarding -- following the

October 17th e-mail saying: Wonder how he'll play in

16 Connecticut?

17 A. That's not how I took it. It was, yeah,

people are reading this, and they're in New Jersey

19 reading it. So, I mean, it kind of -

20 Q. You didn't think he was being critical of you,

21 did you?

22 A. No. He's saying: Shake it off. You'll be

23

Q. Okay. David Pridham you've talked about

25 earlier. Let me hand you Exhibit 5, which is an e-mail

106

108

Q. And this is dated February 29th of 2008, the

A. Correct.

24

2 Q. And was the framed cartoon given to you

sometime subsequent to that -- subsequent to that?

A. Yes. It was in that October -- October 2008

trip that we took up to Chicago.

25 e-mail to you: is that correct?

Q. Okay. And here's Exhibit 7. This is an

e-mail from Dennis Crouch to you, dated March 8th, 2008,

asking you to comment about your defamation lawsuit

against Frenkel and Cisco.

MS. PEDEN: Objection to form. 10

11 Q. (BY MR. BABCOCK) I'll back up. This is an

12 e-mail from Dennis Crouch, dated March 8th, 2008, to

you, subject: Lawsuit against Frenkel. Correct? 13

A. That's what it says.

15 Q. Okay. Did you get this?

16 A I believe I did.

Q. And then he says he's writing a post on your

18 defamation lawsuit against Frenkel and Cisco. Any

19 comments?

14

Did you have any?

21 A. No.

22 Q. Did you refer to your lawyer?

23 A. I don't know if I even responded to it.

24 Q. Okay. This is the third of three lawsuits

25 that you filed relating to the articles written by

22

24

23 from Mr. Fokas --

25 if you don't mind.

Q. His company, is that Parallel Networks?

A. Yes, formerly Epic Realm, now Parallel.

Q. Okay. Here's Exhibit 8, which is an e-mail

MR. PATTON: Chip, let me have one, too,

#### Ward, John 8/10/2009 1:21:00 PM

		109		111
1	Mr. Frenkel. You filed the first one against Google,	1	MR. BABCOCK: Sure.	
2	trying to find out who Frenkel was, right?	2	Q. (BY MR. BABCOCK) — is an e-mail to Larry	
3	A. Yeah, I don't think of it	3	Carlson and Kevin Meek and you, subject: Patent Troll	
4	MS. PEDEN: Objection to form.	4	Tracker Defamation Suit. "Johnny Ward is my hero."	
5	A. I don't think of it as a lawsuit filed against	5	Did you receive that e-mail?	
6	Google. It was a lawsuit	6	A. I did.	
7	Q. (BY MR. BABCOCK) Actually, it was a 202, and	7	Q. Who is Larry Carlson?	
8	you gave Google notice?	8	A. He's an attorney at Baker Botts. We tried a	
9	A. Right.	9	case together	
10	Q. Okay.	10	Q. Okay.	
11	A. It was a lawsuit against John Doe, I think, is	11	A for Parallel, and this was leading up to	
12	how it was	12	that trial.	
13	Q. Right.	13	Q. Okay. And Kevin Meek, also at Baker Botts?	
14	A styled.	14	A. Yes.	
15	Q. And then the and then the next one was	15	Q. All right. And did you take it that Mr. Fokas	
16	filed in in state court in Gregg County, correct?	16	was saying that you're his hero?	
17	A. Correct.	17	A. I mean, that's what he wrote.	
18	Q. And that attached the the two articles	18	Q. Any any idea why you were heroic, in his	
19	we've been talking about today, correct?	19	eyes?	
20	A. I believe so.	20	MS. PEDEN: Objection to form.	
21	Q. Okay. And then that was nonsuited, and this	21	A. I can speculate. I I know that the Patent	
22	case was filed in federal court in Arkansas, correct?	22	Troll Tracker had written about Parallel Networks, as	
23	A. Correct.	23	well, and there were lots lots of folks he wrote	
24	Q. And that attached the articles to the federal	24	unflattering things about. I don't know that they	
25	lawsuit initially, correct?	25	crossed the line into being defamatory, but there were a	
		110		112
1	A If you say it did. I don't doubt that		let of fellie who wonted to troop who he was	. 112
2	A. If you say it did. I don't doubt that.     O. Okay. Why did you not comment to Mr. Crouch,	1	lot of folks who wanted to know who he was.	
3	who was trying to seek your get your comment about	2 3	Q. (BY MR. BABCOCK) Okay. And and "Johnny Ward is my hero" is right above a what appears to be	
4	the lawsuit?	4	a news article about Mr. Frenkel, under the headline	
5	MS. PEDEN: I just want to interject.	5	"Down & Outed."	
6	If to the extent it doesn't call for any	6	A. Right.	
7	communications you've had with counsel.	7	Q. Okay. Did you believe that Mr. Fokas, in	
8	A. I really have a rule of not commenting to		saying that you were his hero, were was also	
9	anybody about anything going on with this case. I've	9	commenting about Frenkel and Cisco?	
10	tried to let my lawyers talk for me. Kind of the same	10	MS. PEDEN: Objection to form.	
11	advice I give my clients.	11	A. I don't know what you mean.	
12	Q. (BY MR. BABCOCK) Okay.	12	Q. (BY MR. BABCOCK) Well	
13	A. I try to be a good client. And I know we're	13	A. I'm I'm his hero for maybe I	
14	not lawyers are, they say, the worst clients, but	14	misunderstood you.	
15	Q. That's what I've always heard.	15	Q. Yeah.	
16	A. — I try not to be.	16	A. Ask me that again.	
17	Q. This fellow, Terry Fokas, you said, is a is	17	Q. Probably well, it probably wasn't a good	
18	a client?	18	question.	
19	A. Yes. Not individually, but his companies are.	19	Why do you think Mr. Fokas was saying	
	· · · · · · · · · · · · · · · · · · ·		,,	

21

22 think that.

25 about it.

20 "Johnny Ward is my hero"?

A. I'd be guessing. I'm happy to guess why he'd

Q. Well, why don't you first tell me what you're

24 thinking, and then I'll ask you if you talked to him

	A.	Okay.	I'll answer your second question first.	
I didn't talk to him				

O. Okav. 3

A. -- about: Why -- why am I your hero, Terry?

I think it was because I was not going to

6 take it.

O Got it

Here's Exhibit 9, another one from 8

Mr. Fokas. Did you receive this e-mail regarding Patent Q.

10 Troll Tracker defamation suit?

A. Yeah, and I re- -- I responded to it in 11

12 between And then that would have been his reply.

Q. Okay. You -- your response in the middle

says: I'm getting drawn and quartered in a bunch of the 14

15 blogs

18

2

3 to

17 Q. What -- what did you mean by that?

A. When the lawsuit hit the press, you know.

whether it's -- I don't remember what all the blogs

20 were, Like the Patent Prospector, Patent Leo. There's

21 some guy who writes another blog, and maybe it's one of

22 these. And people post comments to the story. I mean,

23 it -- there was very unflattering things being said,

24 which, you know, if you just don't read them, they don't

A. But that -- but that's what I was referring

A. I didn't save those, but I think they're still

Q. Okay. And these -- these are not Frenkel

25 get to you quite as bad, and I quit reading them.

most of them were trying very, very hard to be as

2 objective as possible (heck, they're probably scared

they're going to get tagged for defamation - LOL) --

which my kids tell me means laugh out loud.

A. That's what I understand, too.

O Okav

11

A. I don't use it, but some people do.

Q. And was it your understanding that Mr. Fokas

was referring to the blogs that -- that you thought 9

10 were -- were drawing and quartering you?

MS. PEDEN: Objection to form.

12 A. You'd have to ask him. I don't --

Q. (BY MR. BABCOCK) I know. My question --13

A. I - I didn't - I didn't - I didn't follow 14

15 up and didn't ask him.

Q. So you didn't know what -- when he wrote this, 16

17 you didn't know what he was talking about?

A. There were a number of articles that were

19 written in a number of magazines and things, so, you

20 know, I don't know what he's referring to specifically

Q. Okay. Do you -- do you think these blogs and 21

22 magazine articles damaged your reputation?

A I -- I don't know. I think -- ! -- ! 23

24 balanced: Do I stand up for myself, knowing that when I

25 file a lawsuit, people are going to jump all over me

versus sitting there and taking it.

So I don't know if it damaged my 2

reputation by suing Cisco or not. 3

Q. All right, sir.

A. I don't really care.

Q. "Personally, I believe that Rick Frenkel is an

idiot," writes Mr. Fokas.

Had you ever had any discussions with R

9 Mr. Fokas about Rick Frenkel?

A. I'm sure we've talked about this case at some 10

point. You know, he's asked me, "How is it going," or 11

something, but I'm pretty quiet about what's going on in

this case with anybody. 13

Q. Okay. Do you share his view that Mr. Frenket 14

A. I think the guy is plenty smart. 16

17 O So not an idiot?

18 A. No. He's pretty -- pretty smart. I've got

19 other -- other things I think about him, but I don't

20 think he's dumb. I think he knew what he was doing.

21

A No 22

Q. Never talked to him, I take it? 23

24

Q. And never corresponded with him? 25

116

O. Okav.

Q. All right.

blogs but these are other blogs?

A. Correct.

11 Q. Okay. And they're saying unflattering things

12 about you?

Q. Okay. And do you remember what the -- what 14

15 the criticism of you was?

A. Yeah, that I had sued Cisco. Now, they're all 16

anonymous, so you don't ever know who's doing it, but,

you know, people saying that they're patent lawyers and

I should be more thick-skinned and let people accuse me 19 of a crime and just let it roll off my back and not do

Q. So they were critical of you filing a lawsuit, 22

23 basically?

20

A. Generally, yeah,

Q. Okay. And - and Mr. Fokas says: I thought

21 was quoted as saying, in any event?

A. Was then and is now.

23

A. They're attributed to Mr. Patton.

24 spokesperson with respect to this case, correct?

Q. Okay. And as you said before, he was your

#### Ward, John 8/10/2009 1:21:00 PM

		117		119
1	A. No.	1	Q. Okay. Here's Exhibit 12	
2	Q. Let me hand you Exhibit 10. And this is an	. 2	MR. PATTON: Got another one?	
3	e-mail from Michael Smith to you and Eric Albritton on	3	MR. BABCOCK: Yeah. (Handing.)	
4	March 14th, 2008, with the message "I'm sure you've seen	4	Q. (BY MR. BABCOCK) from Peter Fenner, Who	
5	this, but just in case." And it attaches a lengthy	5	is Mr. Fenner?	
6	article from IP Law 360. Did you receive this?	6	A. He's an inventor who's also a client.	
7	A. I'm sure I did.	7	Q. Okay.	
8	Q. Without going through this whole IP Law 360	8	Johnny, heat up that poker real hot before	
9	article, it appears to be commenting, in part, on your	. 9	you stick in the "Patent Troll Tracker" blogger Rick	
10	lawsuit against Cisco and Mr. Albritton's lawsuit	10	Frenkel and Cisco System, Inc.'s [sic].	
11	against Cisco and Frenkel. Is that a fair summary of	11	Did you receive that from Mr. Fenner?	
12	it?	12	A. I did.	
13	A. 1-	13	Q. And you respond "thanks."	
14	MS. PEDEN: Objection to, form.	14	A. Yep.	
15	A. If you want me to read through it, I can. I	15	Q. Did you discuss this e-mail with Mr. Fenner at	
16	know I read it at one time. Generally, I think that's a	16	any time?	
17	fair - fair statement, that it was about the lawsuits	. 17	A. Never.	
18	and what led to the lawsuits.	18	Q. Okay. This is March 2008, correct?	
19	Q. (BY MR. BABCOCK) Okay. Let me hand you	19	A. Correct.	
20	Exhibit 11. This is an article from, I believe,	20	Q. Do you have any idea what prompted this e-mail	
21	Law.com, which I think is also The Texas lawyer. Do you	21	from Mr. Fenner about a year after you filed the	
22	remember seeing this article?	22	lawsuit?	
23	A. Again, I think I probably PDF'd it.	23	MS. PEDEN: Objection to form.	
24	Q. Okay.	24	Q. (BY MR. BABCOCK) I'll take that back. It	
25	A. So I'm sure I saw it	25	wasn't a year later. It was	
		118		120
1	Q. And you know that your from looking at it,	. 1	A. No, it was right right about the time.	
2	that your lawyer, Mr. Patton, made certain comments to	2	Q. Right at the time of the lawsuit.	
3	the press about your case, correct?	3	A. That's kind of when I got e-mails from folks	
4	You you're going to have to give me a	4	that had been watching the Patent Troll Tracker blog.	
5	minute, because there were lots of articles, but	5	Q. Fair enough.	
6	Q. Yeah.	6	Let me hand you Exhibit 13. This is from	
7	A1 imagine he did.	7	Rodney Gilstrap. Do you know who he is?	
8	Q. The second page, two-thirds of the way down:	8	A. Yes. He's a lawyer in Marshall.	
9	Ward's lawyer, Nicholas Patton, a partner in Patton,	ģ	Q. And he's sending along an article in The Texas	
10	Tidwell & Schroeder in Texarkana, says Frenkel's	10	Lawyer, dated March 17th, 2008, with the comment, quote,	
11	postings about his client on Patent Troll Tracker are a	11	now you're famous	
12	"horrible thing," and Ward had no choice but to sue to	12	A. Yeah.	
13	protect his reputation. "Those things are damaging.	13	Q end quote.	
14	Those kinds of accusations are seen by literally	14	A. Yeah.	
15	hundreds of thousands of people. Those are serious	15	Q. And how did you take that comment, "now you're	
16	accusations that you just can't let go unaddressed,"	16	famous"?	
17	Patton says. "There's no truth to it whatsoever."	17	Not not what I wanted to be famous for.	
18	Did I read it correctly?	18	Q. I think you may even say that here in a	
19	A. You read it correctly.	19	minute.	
20	Q. Okay. And those were comments that Mr. Patton	20	A Okay	

21

24

23 miscopied here.

Q. Let me hand you 14.

MR. BABCOCK: Nick, I think somebody

MR. BABCOCK: Maybe -- maybe not. Maybe

MR. PATTON: That's okay. That's okay.

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		121		123
1	not. I just didn't staple it. That was the problem.	1	A. He's an attorney who used to practice in East	
2	Q. (BY MR. BABCOCK) This is Terry Fokas sending	2	Texas and then moved to Dallas, and he's with Mark	
3	you another article from Business Week about about	3	Werbner.	
4	your lawsuit and then the Cisco's reaction to it,	4	Q. "I thought you and Eric might enjoy this	
5	correct?	5	cartoon. It was in the National Law Journal. I hope	
6	MS. PEDEN: Objection to form.	6	all is well with you."	
7	A. I'm not sure. We can if you want me to go	7	This is April of 2008. And the cartoon is	
8	through the article, I can. I know it was an article	8	on the third page, but I'm wondering if the second page	
9	about the lawsuit. I haven't read it in a long time.	9	is supposed to be there.	
10	Kind of what led up to the lawsuit.	10	MR. PATTON: It looks like that the	
11	Q. (BY MR. BABCOCK) Yeah. A lengthy article.	11	second page ends that particular article, Chip.	
12	You don't need to read the whole thing.	12	MR. BABCOCK: It looks like what?	
13	But you say: This is the best article	13	MR. PATTON: The second page, which is	
14	l've seen.	14	99 and then you skip to 256 on the	
15	That's what you said in March of 2008,	15	MR. BABCOCK: Right.	
16	correct?	16	MS. PARKER: What's the	
17	A. Correct.	17	MR. PATTON: Bates number.	
18	Q. Have you seen any better articles since then?	18	MS. PARKER: Bates number on the first	
19	A. It's and when I say "better" and "best," as	19	page?	
20	far as giving a full rendition of what was said, the	20	MR. BABCOCK: 98 is the first page.	
21	Forbes article might have I might have thought it was	21	MR. PATTON: You've got 98, 99, and 256.	
22	more balanced as far as saying exactly what was at	22	MR. BABCOCK: Okay.	
23	issue. Clearly, someone else had read it the same way I	23	THE WITNESS: It looks like it was sent	
24	read it.	24	to you.	
25	Q. All right, sir. Here's Exhibit 15. And this	25	MS. PARKER: It should only have 256 on	
. 1	is a letter from George P. McAndrews to Mark Chandler,	122 1	it.	124
2	dated April 7th, 2008. I don't think you're copied on	2	MR. BABCOCK: Okay.	
3	it, but my question is: Did you see this letter or a	3	MR. PATTON: I'm sorry, Crystal?	
4	draft of it before it was sent to Mr. Chandler?	4	MS. PARKER: According to your discovery	
5	MS. PEDEN: And I - I need to interject	5	responses, it should only have 256 as the attachment,	
6	and say that communications that you had with ESN may be	6	not	
7	attorney-client-privileged. So don't divulge any of	7	MS. PEDEN: So just take off the first	
8	your confidential communications with your client.	8	two pages?	
9	A. I don't recall whether I saw this or not. I	9	MR. BABCOCK: Take out the middle page.	
10	saw it after the fact -	10	MS. PARKER: The middle page.	
11	Q. (BY MR. BABCOCK) Okay.	11	MS. PEDEN: Oh, the middle page.	
12	A for sure. I know I saw it after he sent	12	MS. PARKER: Sorry about that.	
13	it.	13	MR. BABCOCK: Yeah.	
14	Q. Okay.	14	MS. PEDEN: Okay.	
15	A. I had no hand in writing it. I can tell you	15	MR. BABCOCK: Yeah.	
16	that.	16	A. Do you want me to remove it from this exhibit?	
17	Q. That was my next question.	17	Q. (BY MR. BABCOCK) Sure. Yeah, let's let's	
18	Here's Exhibit	18	take it out of there. It's not supposed to be there.	
19	A. Not to distance myself from it, but I I	19	MR. PATTON: Okay. So 98 and 256 are	
20	I did not have a hand in writing it.	20	Exhibit 167	
21	Q. Right.	21	MS. PARKER; Yes.	
22	Exhibit 16. This is from Mark is it	22	MR. BABCOCK: Right.	
23	Strachan (pronunciation) or	23	MS. PARKER: Sorry about that.	
24	A Charles from a state A			
25	Strachan (pronunciation).	24	Q. (BY MR. BABCOCK) And my question is: Is	

18 first four pages of the exhibit are the live --

Q. Okay. And then that's what's coming up,

A. This is what's coming up; although there's

still some edits that need to be done to -- there's

24 about 30 pages of material on the new Web site that --

A. What's up right now.

19

21 the -- the --

Q. Okay.

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		125		127
1	National Law Journal that Mr. Strachan sent you?	1	A need to be edited further.	
2	A. I assume it is. I mean, it says right above	2	MR. BABCOCK: How did we get that?	
3	it talking about an NLJ column. So I can't imagine it'd	3	MS. PARKER: Google.	
4	be anything different.	4	THE WITNESS: Okay.	
5	Q. Okay, it says that the headline is Law and	5	Q. (BY MR. BABCOCK) We got it from Google.	
6	Laughter. "I'd like to know if my blog can be used	6	A. There you go.	
7	against me,"	7	Q. First thing that popped up.	
8	Did you find that humorous?	8	A. Yeah.	
9	A. I don't know how I found it. I you've	9	MR, PATTON: They're after us, Johnny.	
10	given it to me as a I don't remember looking at it,	10	THE WITNESS: Yeah.	
11	to be honest with you.	11	Q. (BY MR. BABCOCK) Okay. Is there anything in	
12	Q. Okay.	12	the last three pages, what you've got in your hands now,	
13	(Sotto voce discussion between Mr. Babcock	13	that is inaccurate?	
14	and Ms. Parker.)	14	A. No. It's it's well, yeah, areas of	
15	MR. BABCOCK: What's the next number?	15	practice. I'm not doing medical malpractice, nursing	
16	MR. PATTON: 17.	16	home negligence. That's one thing; when I read the	
17	MS. PARKER: I've I've already marked	17	proof, I'm, like, they've got two things railroad	
18	that one 19.	18	injuries, not doing that.	
19	MR. BABCOCK: Oh, 19. Okay.	19	Q. Okay.	
20	Q. (BY MR. BABCOCK) The court reporter will have	20	A. I don't even have patent infringement listed	
21	to put this together, but I read you some information	21	as an area of practice, so I, you know	
22	from a Web site earlier, and I just want to give you	22	Q. That's an oversight.	
23	Exhibit 19. And tell me if if that's your if	23	A. Yeah, since that's what I'm doing mostly,	
24	that's your Web site.	24	so	
25	A. Well, part of it is and part of it isn't.	25	I mean, I can go through this more	
		126		128
1	Q. Okay.	1	detailed. I remember looking at it on Friday, going	
2	A. I'm in the process of redoing my Web site.	. 2	Q. Yeah.	
3	Q. Okay.	3	A don't go live with this because this isn't	
4	A. So the Web site that's live, my profile is, I	4	accurate.	
5	guess, the first part of this exhibit. And then I was	5	And so that's why, when you started	
6	curious as to how you got that because it wasn't live;	6	Q. Yeah.	
7	it's not out there yet.	7	A reading, I knew	
8	Q. It must have been the way-back machine. Did	8	Q. You knew where it was coming from?	
9	you produce it to us?	9	A. I knew where it was coming from.	
10	A. No.	10	Q. Could could you go through it with more	*
11	Q. No?	11	detail and tell me anything that's inaccurate?	
12	A. I mean, it's not I literally just finished	12	A. Sure, sure.	
13	a proof on Friday.	13	(Sotto voce discussion between Mr. Babcock	
14	Q. Okay.	14	and Ms. Parker.)	
15	A. But it looks it's through Find FindLaw,	15	A. All right. Everything is accurate down to	
16	and it looks like they've just linked it to my profile.	16	areas of practice.	
17	Q. Okay. And which is which the the	17	Q. (BY MR. BABCOCK) Okay.	

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22 listed.

23

A. I'm not doing medical malpractice; I'm not

19 doing nursing home; I'm not doing railroad injuries; not

20 doing federal tort claims. I say that. I've handled

21 those in the past, so I guess I could -- that could be

24 exception of maybe the Sixth Circuit. I remember

25 handling a case, but I don't know if I'm admitted there.

Bar admissions are all accurate, with the

		129		131
	host doest		NO DEPOSIT OF A COMM	191
1	Just don't	1	MS. PEDEN: Objection to form.	
2	I don't know if I'm still a sustained	2	A. I I can't reveal to you what we've talked	
3 4	member of the American Association for Justice. And I'm	3	about without revealing attorney-client communications	
. 5	a fellow now with the Texas Trial Lawyers Association,	4	dealing with the client that he worked for.	
6	which just means I gave them more money.		Q. (BY MR. BABCOCK) Okay. Bruce Lagerman, who	
7	Q. It's funny how that happens.	6	is he or Lagerman (pronunciation)?	
8	A. Yeah.  And everything else is accurate.	7	A. He was a gentleman who contacted me about	
9	. •	8	potential representation who f did not end up working	
10	Then we get down to "West Practice  Categories." Again, I'm I'm tak that's not what	9	for, I believe.  Q. Okay. Are your conversations with him do	
11	,	11	you view them as privileged?	
12	• •	12	MS. PEDEN: Objection to form.	
13		13	A. I do. If he was seeking legal representation,	
14	• • •	14	yes, sir.	
15		15	Q. (BY MR. BABCOCK) Okay. So anything he said	
16	privilege log dated March 14th of '08. The privilege is	16	in that conversation would be covered by the	
17	said to be work product, but it's regarding this case.	17	attorney-client privilege?	
18	Do you know why that document is listed as privileged?	18	MS. PEDEN: Objection to form.	
19	MS. PEDEN: Objection.	19	A. I I don't know that anything he says in the	
20	A. I I'd have to look at it. And like I said,	20	conversation with me, when he's seeking legal	
21	much of those communications with Mr. Fokas I thought	21	representation, would be privileged.	
22	would be privileged, but they've decided what to produce	22	Q. (BY MR. BABCOCK) Okay. Did he did you	
23	to you, and	23	have any discussion with Mr. Lagerman about Cisco?	
24	Q. (BY MR. BABCOCK) Okay.	24	MS. PEDEN: Objection to form. And,	
25	A I haven't gone back and looked at it,	25	also, I you know, I don't know because f don't	
	•		, , , , , , , , , , , , , , , , , , ,	
		130		132
1	Q. Is Mr. Chiaviello is the lawyer at	1	know specifically the documents we're talking about,	
2	Fulbright	2	I I just want you to be very cautious	
3	A. Chiaviello (pronunciation).	3	THE WITNESS: Yeah, I don't	
4	Q. Chiaviello, Sorry, He is the lawyer at	4	MS. PEDEN: since these may be	
5	Fulbright, correct?	5	attorney-client-privileged communications.	
6	A. Correct	6	<ul> <li>A. I would need to look at the e-mails before I</li> </ul>	
7	Q. And he's the one that told you about a	7	tell you that, because I don't I don't recall,	
8	conversation he'd had with somebody else about you?	8	sitting here	
9	A. Correct.	9	Q. (BY MR. BABCOCK) Okay.	
10	Q. Okay. Are you working with him on any case?	10	<ul> <li>A saying, "Let me tell you about my case,"</li> </ul>	
11	A. Yes.	11	because I I generally would never do that.	
12	Q. Are is he a an attorney on the Ward	12	Q. Here here's - you know, here's my view of	
13	versus Cisco case?	13	it: I certainly don't want to know want to know what	
14	A. No.	14	you talked to a even even a potential client	
15	Q. Okay. Okay.	15	about. But if if you talked to him about, you know,	
16	A. But I'm in I'm in a number of cases with	16	Frenkel or Cisco or, you know, this thing and that's not	
17	Mr. Chiaviello and his firm.	17	anything to do with your representation, then I do want	
18	Q. Is Mr. Pridham, who you referenced earlier, an	18	to know about that. So	
19	attorney in the Ward versus Cisco case?	19	I don't recall having those types of	
20	A. No.	20	conversations, but I'd need to look at whatever document	
21	Q. Is he a client?	21	is on the privilege log and see what the context and	
22 23	A. He's an attorney for a client — former client	22	why I've even produced it to to	
	who I'm no longer working for.	23	Q. To them.	

Q. Yeah. Yeah, the only -- the only help I can

Q. Okay. Do you recall talking to him about 25 litigation strategy regarding Ward versus Cisco? 25 attorney-client communications.

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			vala, voille or relieve
		133	
1	give you is it says: Bruce Lagerman, John Ward, 4/5/08,	1	changed my question a little bit, but I'll I'll
2	e-mails, re: potential case and comment regarding Troll	2	change my question.
3	Tracker post. Attorney client or AC and WP, which I	3	A. Okay.
4	assume is attorney client, work product.	4	Q. (BY MR. BABCOCK) Have have any any
5	A. I'd have to look at the document.	5	complaints been filed against you with the State Bar of
6	Q. Okay. But in any event, there's there's no	6	Texas, to your knowledge?
7	con no unprivileged conversation with him that you	7	MS. PEDEN: I object and instruct the
6	can share with us today?	8	witness not to answer. Complaints are absolutely
9	MS. PEDEN: Objection to form.	9	privileged. If you want to ask him if he's been
10	A. Not that I can recall.	. 10	disciplined, he can answer that.
11	Q. (BY MR. BABCOCK) Okay.	. 11	Q. (BY MR. BABCOCK) Do you know the identity of
12	A. Again, I'm - I'm surprised we even - the	12	people that have complained about you to the State Bar
13	topic came up, but apparently it's in an e-mail, so	13	of Texas?
14	Q. I tell you, these	14	MS. PEDEN: Objection.
15	A. Dadgum e-mails.	15	Instruct you not to answer.
16	Q these lawyers, you know	16	Q. (BY MR. BABCOCK) Okay. 1 t take it
17	A. Yeah.	17	whenever your lawyer says those magic words, you're not
18	Q you've got to watch them all the time.	18	going to answer. Correct?
19	A. Not mine.	19	A. When she tells me not to answer, I'm going to
20	Q. Have you been ever been investigated by the	20	follow her advice.
21	State Bar of Texas, to your knowledge?	21	Q. Okay. So you're not going to answer about
22	MS. PEDEN: Objection to form.	22	whether complaints have been filed or or who the
23	Now, let me counsel you on attorney-client	23	complainants were, correct?
24	privilege and if and not to divulge any	24	A. That's correct.

1	Q. (BY MR. BABCOCK) Well, now she's got	1 State Bar of Texas has ever investigated you?
2	MS. PEDEN: Do we need to	2 MS. PEDEN: Objection.
3	Q. (BY MR. BABCOCK) my curiosity up.	3 I instruct you not to answer.
4	MS. PEDEN: No, do you do you do we	4 Q. (BY MR. BABCOCK) You're not going to answer
5	need to	5 that?
6	THE WITNESS: Yeah, let's take a break	A. I'm not going to answer.
7	A. We're going to	<ol> <li>Q. Okay. Have you ever been investigated for</li> </ol>
8	MS. PEDEN: All right.	8 alleged criminal misconduct?
9	A determine privilege and things like that.	9 MS. PEDEN: Objection. That question is
10	Q. (BY MR. BABCOCK) Sure.	10 vague. What what do you mean, "investigated,"
11	THE VIDEOGRAPHER: Off the record, 1:08.	11 "criminal conduct"?
12	(Off the record 1:08-1:12.)	12 I mean, honestly, Chip, I'm I'm not
13	THE VIDEOGRAPHER: Going back on record.	13 these questions are not relevant and they're aimed at
14	The time is 1:12.	14 embarrassing and humiliating the witness, and we're
15	Q. (BY MR. BABCOCK) I think before the break,	going to be very careful about what kind of questions we
16	the question was: Have you ever been investigated by	16 let you delve into here.
17	the State Bar of Texas?	17 Q. (BY MR. BABCOCK) Are you going to answer that
18	MS. PEDEN: And I'm going to object. I'm	18 question or not?
19	not going to let the witness answer as to	<ol> <li>A. I'm going to follow my lawyer's advice.</li> </ol>
20	investigations. Those are absolutely privileged. If	<ol> <li>Q. Okay. She objected on the basis it was vague,</li> </ol>
21	you want to ask him if he's ever been disciplined by the	21 so I want to want to cure that, if I can.
22	state bar, that's a different question. But I'm going	22 A. Okay.
23	to instruct him not to answer as to whether any	<ol> <li>Q. Do you know whether you have been the subject</li> </ol>
24	complaints have been filed.	24 of an investigation by a state district attorney?
25	MR. BABCOCK: Well, your instruction just	25 A. I know I have not been.

134

Q. Okay. Can you tell me whether you know if the

		. 407	Viala, 55 5	420
		137		139
1	Q. Okay. Have you been the subject of an	1	A. No.	
2	investigation by a state grand jury?	2	Q. Okay. Have you ever been involved with any	•
3	A. I have not been.	3	organizations that have been on one side or the other of	
4	Q. Okay. Have you been the subject of an	4	the patent reform law debate?	
5	investigation by any U.S. attorney or anybody in the	5	A. I think the American Association for Justice	
6	U.S. Attorney's Office?	6	got involved, and so I send money to them. I don't	
7	Not to my knowledge.	7	believe the Texas Trial Lawyers Association has weighed	
8	Q. How about the Department of Justice?	8	in on it. So only the AAJ.	
9	Not to my knowledge.	9	Q. Have you ever been to Washington, D.C. on	
10		10	business?	
11	,	11	A. Yes.	
12		12	Q. And while in Washington, D.C., did you ever	
13	• , ,	13	meet with a member of Congress, either a senator or a	
14	MS. PEDEN: Objection. Law-enforcement	14	representative	
15	agency?	15	A. Never.	
16		16	Q or their staff?	
17	or federal government that is charged with enforcing the	17	A. Never,	
18	criminal laws of whatever their jurisdiction is.	18	<ul> <li>Q. Okay. Did you ever meet with a lobbyist,</li> </ul>	
19	<ul> <li>A. Why don't we go off the record for just a</li> </ul>	19	somebody who either for AAJ or for the anybody	
20	minute,	20	else who's lobbying Congress?	
21	Q. Sure.	21	A. Face-to-face meetings, no.	
22	A. Okay.	22	Q. How about telephone meetings?	
23	THE VIDEOGRAPHER: Off the record —	23	A. Never on the telephone.	
24	A. Let me talk to	24	Q. Okay. You said that the notice of electronic	
25	THE VIDEOGRAPHER: - 1:15.	25	filing was available online . Could you tell — I think	
		138		140
1	(Off the record 1:15-1:18.)		you said that in your prior testimony. Could you tell	140
2	THE VIDEOGRAPHER: Back on the record,	1 2		
3	1:18.	3	me how you how you see that notice of electronic filing?	
4	Q. (BY MR. BABCOCK) Have you ever engaged in any		· ·	
5	lobbying efforts in Washington regarding patent reform	5	A. You log in and pull it up.	
6	or the patent laws?		Q. Have you done that yourself?	
7		6	A. Yes.	
8	MS. PEDEN: Objection, vague and	7	Q. Even for matters where you're you're not	
9	ambiguous.	8	the lawyer of record?	
	You can	9	A. I don't know that. I did — I did it to see	
10	Q. (BY MR. BABCOCK) Go go ahead and answer.	10	if I could do it in this case, and I can pull it up.	
11	A. Yeah.	11	Q. Okay. Did you speak to any reporters about	
12	MS. PEDEN: If you can.	12	the Frenkel Troll Tracker matter before you filed this	
13	A. Yeah. When you say "have engaged in," have I	13	lawsuit?	
14	gone to D.C.? Have I called congressmen? You know,	14	A. The instant lawsuit, the one that's filed in	
15	what is it that you're wanting to know?	15	Arkansas	
16	Q. (BY MR. BABCOCK) All right. Good questions,	16	Q. Well, let me say -	
17	all of them	17	A or	
18	A. Okay.	18	Q the Gregg County one.	
19	Q since you're a trial I bet you're a	19	A any one?	
20	trial lawyer	20	I don't believe I've ever spoken to a	
21				
22	Have you ever gone to Washington, D.C. in connection with patent law?	21 22	reporter, other than to say "contact my lawyer." And that's usually through a member of my staff or an e-mail	

23 inquiry. I'll say: No comment

A. Talk to my attorney.

Q. Okay.

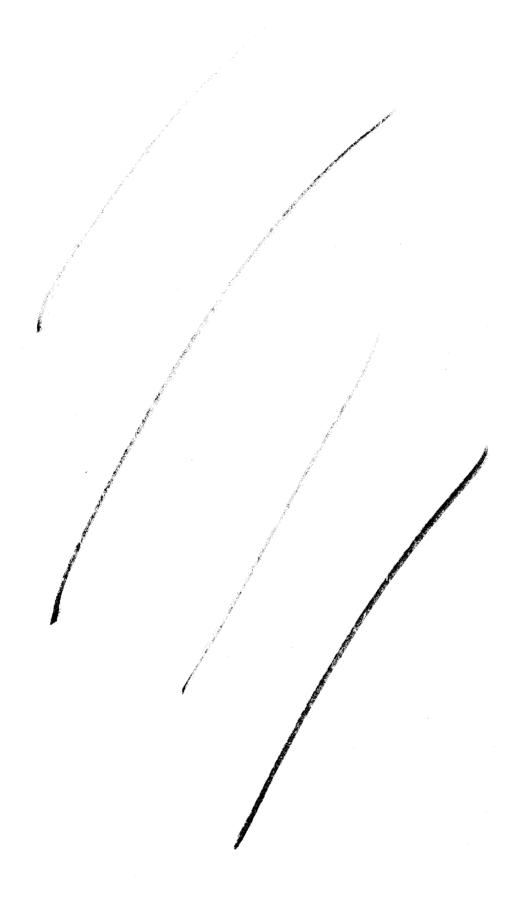
23

24

Q. Have you ever called any members of Congress

25 regarding - regarding issues of patent law?

		141		143
1	Q. Have you ever lived in Arkansas?	1	f, THOMAS JOHN WARD, JR., have read the foregoing	
2	A. No.	2	deposition and hereby affix my signature that same is	
3	Q. Have you ever owned property in Arkansas?	3	true and correct, except as noted above.	
4	A. No.	4		
5	Q. Do you have any family in Arkansas?		THOMAS JOHN WARD, JR.	
6	A. I'm sure I've got some distant family, but no	5		
7	one that I	6	STATE OF)	
8	Q. No Arkansas jokes, now.	7	COUNTY OF)	
9	A. No. My folks came through that area, so	8		
10	Q. Have you ever been to Arkansas?	9	Before me, on this day	
11	A. Absolutely.	10 11	personally appeared THOMAS JOHN WARD, JR., known to me	
12	Q. Okay. You're not a member of any Arkansas bar	12	(or proved to me under oath or through	
13	association?	13	(description of identity card	
14	A. No.	14	or other document) to be the person whose name is	
15	Q. Okay. Okay. That's all I have, Mr. Ward.	15	subscribed to the foregoing instrument and acknowledged	
16	Thank you very much.	16	to me that they executed the same for the purposes and	
17	A. All right.	17	consideration therein expressed.	
18	·	18	Given under my hand and seal of office this	
	MS. PEDEN: Thank you.	19	day of, 2009.	
19	THE WITNESS: Thank you.	20		
20	THE VIDEOGRAPHER: Off the record, 1:21.	21		
21	This concludes the deposition of John Ward.		NOTARY PUBLIC IN AND FOR	
22	(Deposition concluded at 1:21 p.m.)	22	THE STATE OF	
23		23	My Commission Expires:	
24		24		
25		25		
1 2	CHANGES AND SIGNATURE DEPOSITION OF THOMAS JOHN WARD, JR.	1 2	STATE OF TEXAS ) COUNTY OF DALLAS )	
3		_	,	
J	AUGUST 10, 2009	3		
4	AUGUST 10, 2009 PAGE LINE CHANGE REASON	3 4	This is to certify that I, Stacy L. Jordan,	
		3		
4 5		3 4 5	This is to certify that I, Stacy L. Jordan, Certified Shorthand Reporter in and for the State of Texas, certify that the foregoing deposition of THOMAS JOHN WARD, JR. was reported stenographically by me at	
4 5 6	PAGE LINE CHANGE REASON	3 4 5 6 7 8	This is to certify that I, Stacy L. Jordan, Certified Shorthand Reporter in and for the State of Texas, certify that the foregoing deposition of THOMAS JOHN WARD, JR. was reported stenographically by me at the time and place indicated, said witness having been	
4 5 6 7		3 4 5 6 7 8	This is to certify that I, Stacy L. Jordan, Certified Shorthand Reporter in and for the State of Texas, certify that the foregoing deposition of THOMAS JOHN WARD, JR. was reported stenographically by me at the time and place indicated, said witness having been placed under oath by me, and that the deposition is a	
4 5 6 7 8	PAGE LINE CHANGE REASON	3 4 5 6 7 8	This is to certify that I, Stacy L. Jordan, Certified Shorthand Reporter in and for the State of Texas, certify that the foregoing deposition of THOMAS JOHN WARD, JR. was reported stenographically by me at the time and place indicated, said witness having been	
4 5 6 7 8 9	PAGE LINE CHANGE REASON	3 4 5 6 7 8 9	This is to certify that I, Stacy L. Jordan, Certified Shorthand Reporter in and for the State of Texas, certify that the foregoing deposition of THOMAS JOHN WARD, JR. was reported stenographically by me at the time and place indicated, said witness having been placed under oath by me, and that the deposition is a true record of the testimony given by the witness.	
4 5 6 7 8 9	PAGE LINE CHANGE REASON	3 4 5 6 7 8 9 10 11 12	This is to certify that I, Stacy L. Jordan, Certified Shorthand Reporter in and for the State of Texas, certify that the foregoing deposition of THOMAS JOHN WARD, JR. was reported stenographically by me at the time and place indicated, said witness having been placed under oath by me, and that the deposition is a true record of the testimony given by the witness.  I further certify that I am neither counsel for nor related to any party in this cause and am not financially interested in its outcome.	
4 5 6 7 8 9 10	PAGE LINE CHANGE REASON	3 4 5 6 7 8 9 10 11 12 13	This is to certify that I, Stacy L. Jordan, Certified Shorthand Reporter in and for the State of Texas, certify that the foregoing deposition of THOMAS JOHN WARD, JR. was reported stenographically by me at the time and place indicated, said witness having been placed under oath by me, and that the deposition is a true record of the testimony given by the witness.  I further certify that I am neither counsel for nor related to any party in this cause and am not financially interested in its outcome.  Given under my hand of office on this 17th day	
4 5 6 7 8 9 10 11	PAGE LINE CHANGE REASON	3 4 5 6 7 8 9 10 11 12	This is to certify that I, Stacy L. Jordan, Certified Shorthand Reporter in and for the State of Texas, certify that the foregoing deposition of THOMAS JOHN WARD, JR. was reported stenographically by me at the time and place indicated, said witness having been placed under oath by me, and that the deposition is a true record of the testimony given by the witness.  I further certify that I am neither counsel for nor related to any party in this cause and am not financially interested in its outcome.	
4 5 6 7 8 9 10 11 12	PAGE LINE CHANGE REASON	3 4 5 6 7 8 9 10 11 12 13 14	This is to certify that I, Stacy L. Jordan, Certified Shorthand Reporter in and for the State of Texas, certify that the foregoing deposition of THOMAS JOHN WARD, JR. was reported stenographically by me at the time and place indicated, said witness having been placed under oath by me, and that the deposition is a true record of the testimony given by the witness.  I further certify that I am neither counsel for nor related to any party in this cause and am not financially interested in its outcome.  Given under my hand of office on this 17th day	
4 5 6 7 8 9 10 11 12 13	PAGE LINE CHANGE REASON	3 4 5 6 7 8 9 10 11 12 13 14 15 16	This is to certify that I, Stacy L. Jordan, Certified Shorthand Reporter in and for the State of Texas, certify that the foregoing deposition of THOMAS JOHN WARD, JR. was reported stenographically by me at the time and place indicated, said witness having been placed under oath by me, and that the deposition is a true record of the testimony given by the witness.  I further certify that I am neither counsel for nor related to any party in this cause and am not financially interested in its outcome.  Given under my hand of office on this 17th day of August, 2009.	
4 5 6 7 8 9 10 11 12 13 14	PAGE LINE CHANGE REASON	3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	This is to certify that I, Stacy L. Jordan, Certified Shorthand Reporter in and for the State of Texas, certify that the foregoing deposition of THOMAS JOHN WARD, JR. was reported stenographically by me at the time and place indicated, said witness having been placed under oath by me, and that the deposition is a true record of the testimony given by the witness.  I further certify that I am neither counsel for nor related to any party in this cause and am not financially interested in its outcome.  Given under my hand of office on this 17th day of August, 2009.	
4 5 6 7 8 9 10 11 12 13 14 15	PAGE LINE CHANGE REASON	3 4 5 6 7 8 9 10 11 12 13 14 15 16	This is to certify that I, Stacy L. Jordan, Certified Shorthand Reporter in and for the State of Texas, certify that the foregoing deposition of THOMAS JOHN WARD, JR. was reported stenographically by me at the time and place indicated, said witness having been placed under oath by me, and that the deposition is a true record of the testimony given by the witness.  I further certify that I am neither counsel for nor related to any party in this cause and am not financially interested in its outcome.  Given under my hand of office on this 17th day of August, 2009.  STACY L. JORDAN, CSR 7499 Expiration Date: 12/31/10	
4 5 6 7 8 9 10 11 12 13 14 15 16 17	PAGE LINE CHANGE REASON	3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	This is to certify that I, Stacy L. Jordan, Certified Shorthand Reporter in and for the State of Texas, certify that the foregoing deposition of THOMAS JOHN WARD, JR. was reported stenographically by me at the time and place indicated, said witness having been placed under oath by me, and that the deposition is a true record of the testimony given by the witness.  I further certify that I am neither counsel for nor related to any party in this cause and am not financially interested in its outcome.  Given under my hand of office on this 17th day of August, 2009.  STACY L. JORDAN, CSR 7499 Expiration Date: 12/31/10 Firm No. 593	
4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	PAGE LINE CHANGE REASON	3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	This is to certify that I, Stacy L. Jordan, Certified Shorthand Reporter in and for the State of Texas, certify that the foregoing deposition of THOMAS JOHN WARD, JR. was reported stenographically by me at the time and place indicated, said witness having been placed under oath by me, and that the deposition is a true record of the testimony given by the witness.  I further certify that I am neither counsel for nor related to any party in this cause and am not financially interested in its outcome.  Given under my hand of office on this 17th day of August, 2009.  STACY L. JORDAN, CSR 7499 Expiration Date: 12/31/10 Firm No. 593  WEST COURT REPORTING SERVICES 221 Main Street	
4 5 6 7 8 9 110 111 112 113 114 115 116 117 118 119 220 21	PAGE LINE CHANGE REASON	3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	This is to certify that I, Stacy L. Jordan, Certified Shorthand Reporter in and for the State of Texas, certify that the foregoing deposition of THOMAS JOHN WARD, JR. was reported stenographically by me at the time and place indicated, said witness having been placed under oath by me, and that the deposition is a true record of the testimony given by the witness.  I further certify that I am neither counsel for nor related to any party in this cause and am not financially interested in its outcome.  Given under my hand of office on this 17th day of August, 2009.  STACY L. JORDAN, CSR 7499 Expiration Date: 12/31/10 Firm No. 593  WEST COURT REPORTING SERVICES 221 Main Street Suite 1250 San Francisco, California 94105 (800) 548-3668	
4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	PAGE LINE CHANGE REASON	3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	This is to certify that I, Stacy L. Jordan, Certified Shorthand Reporter in and for the State of Texas, certify that the foregoing deposition of THOMAS JOHN WARD, JR. was reported stenographically by me at the time and place indicated, said witness having been placed under oath by me, and that the deposition is a true record of the testimony given by the witness. I further certify that I am neither counsel for nor related to any party in this cause and am not financially interested in its outcome. Given under my hand of office on this 17th day of August, 2009.  STACY L. JORDAN, CSR 7499 Expiration Date: 12/31/10 Firm No. 593  WEST COURT REPORTING SERVICES 221 Main Street Suite 1250 San Francisco, California 94105	
4 5 6 7 8 9 10 111 112 113 114 115 116 117 118 119 220 21 222	PAGE LINE CHANGE REASON	3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	This is to certify that I, Stacy L. Jordan, Certified Shorthand Reporter in and for the State of Texas, certify that the foregoing deposition of THOMAS JOHN WARD, JR. was reported stenographically by me at the time and place indicated, said witness having been placed under oath by me, and that the deposition is a true record of the testimony given by the witness.  I further certify that I am neither counsel for nor related to any party in this cause and am not financially interested in its outcome.  Given under my hand of office on this 17th day of August, 2009.  STACY L. JORDAN, CSR 7499 Expiration Date: 12/31/10 Firm No. 593  WEST COURT REPORTING SERVICES 221 Main Street Suite 1250 San Francisco, California 94105 (800) 548-3668  Taxable cost of original charged to Defendant:	



# Exhibit 3

Case 4:08-cv-04022-JLH Document 100 Filed 09/11/09 Page 1 of 5

#### IN THE UNITED STATES DISTRICT COURT WESTERN DISTRICT OF ARKANSAS TEXARKANA DIVISION

# CISCO SYSTEMS, INC.'S NOTICE OF DEPOSITION OF BOB CHIAVELLO

TO: Bob Chiavello, Fulbright & Jaworski, 2200 Ross Avenue, Suite 2800, Dallas, Texas 75201

PLEASE TAKE NOTICE that pursuant to the Federal Rules of Civil Procedure, Defendant Cisco Systems, Inc. will take the oral and videotaped deposition of Bob Chiavello before a certified court reporter on Wednesday, September 23, 2009, beginning at 9:00 a.m. at the offices of Fulbright & Jaworski, 2200 Ross Avenue, Suite 2800, Dallas, Texas 75201.

Said deposition will be taken before a certified court reporter, licensed to administer oaths in the State of Texas, and said deposition will be videotaped. The deposition will continue from day to day until completed.

Case 4:08-cv-04022-JLH Document 100 Filed 09/11/09 Page 2 of 5

Jackson Walker L.L.P.

By: /s/ Charles L. Babcock

Page 68 of 95

Richard E. Griffin
Arkansas Bar No.: 63020
Email: rgriffin@jw.com
Charles L. Babcock
Federal Bar No.: 10982
Email: cbabcock@jw.com
Crystal J. Parker
Federal Bar No.: 621142
Email: cparker@jw.com
1401 McKinney
Suite 1900
Houston, Texas 77010
(713) 752-4200
(713) 752-4221 – Fax

ATTORNEYS FOR DEFENDANT CISCO SYSTEMS, INC.

#### **CERTIFICATE OF SERVICE**

This is to certify that on this 11<sup>th</sup> day of September, 2009, a true and correct copy of the foregoing was served via electronic mail upon:

Patricia L. Peden Law Offices of Patricia L. Peden 5901 Christie Avenue Suite 201 Emeryville, CA 94608 Attorney for Plaintiff John Ward, Jr.

Nicholas H. Patton Patton, Tidwell & Schroeder, LLP 4605 Texas Boulevard P.O. Box 5398 Texarkana, Texas 75505-5398 Attorney for John Ward, Jr.

/s/ Charles L. Babcock

Charles L. Babcock

Case 4:08-cv-04022-JLH Document 100 Filed 09/11/09 Page 3 of 5

AO 88A (Rev. 01/09) Subpoens to Testify at a Deposition or to Produce Documents in a Civil Action

# UNITED STATES DISTRICT COURT

for the

Northern District	of Texas
John Ward, Jr.	Civil Action No. 08-4022 JLH  (If the action is pending in another district, state where Western District of Arkansas
OR TO PRODUCE DOCUMENT	IS IN A CIVIL ACTION
2200 Ross Avenue, Suite 2800, Dallas, Texas 75201  **Testimony: YOU ARE COMMANDED to appear at the deposition to be taken in this civil action. If you are an organizatione or more officers, directors, or managing agents, or designate about the following matters, or those set forth in an attachment:	Off that is not a party in this case, you must designate
Płace: Fulbright & Jaworski 2200 Ross Avenue, Suite 2800, Dallas, Texas 75201	Date and Time: 09/23/2009 09:00
The deposition will be recorded by this method: _court re	porter and videographer
☐ Production: You, or your representatives, must also bring electronically stored information, or objects, and permit th material:	with you to the deposition the following documents, eir inspection, copying, testing, or sampling of the
The provisions of Fed. R. Civ. P. 45(c), relating to your product of this subpoena and attached.	tection as a person subject to a subpoena, and Rule the potential consequences of not doing so, are
Date: 09/11/2009	OR THE STATE OF TH
Signature of Clerk or Deputy Clerk	Auorney's signature Jos CLB
The name, address, e-mail, and telephone number of the attorney representation of the attorne	resenting (name of party) Cisco Systems, Inc., who issues or requests this subpoena, are:

## Case 4:08-cv-04022-JLH Document 100 Filed 09/11/09 Page 4 of 5

AO 88A (Rev. 01/09) Subpoena to Testify at a Deposition or to Produce Documents in a Civil Action (Page 2)

Civil Action No. 08-4022 JLH

		rkour or s	ERVICE			
	(This section sho	uld not be filed with the cou	rt unless required by Fed. R. Civ. P.	45.)		
This s	ubpoena for (name of	individual and title, if any)				
was received b	oy me on (date)	- 14		<b>4</b> '		
(7 Inc	☐ I personally served the subpoena on the individual at (place)					
J . p.			on (date)	; or		
□ He			sual place of abode with (name)	<b></b>		
	☐ I left the subpoena at the individual's residence or usual place of abode with (name)  , a person of suitable age and discretion who resides there,					
	on (date) , and mailed a copy to the individual's last known address; or					
□lse	rved the subpoena o	n (name of individual)		, who is		
design	nated by law to accep	ot service of process on beha	If of (name of organization)	·		
			on (date)	; or		
O Free	turned the subpoena	unexecuted because		; or		
7.01	er (specify):					
			States, or one of its officers or agents, and the mileage allowed by law, in the a			
My fees are \$		for travel and \$	for services, for a total of \$	0.00		
I declar	e under penalty of po	erjury that this information is	s true.			
Date:			Server's signature			
			Server & Signature			
		1	Printed name and title			
			Server's address			
			server s adaress			

Additional information regarding attempted service, etc:

#### Case 4:08-cv-04022-JLH Document 100 Filed 09/11/09 Page 5 of 5

ACI 88A (Rev. 01/09) Subpoena to Testify at a Deposition or to Produce Documents in a Civil Action (Page 3)

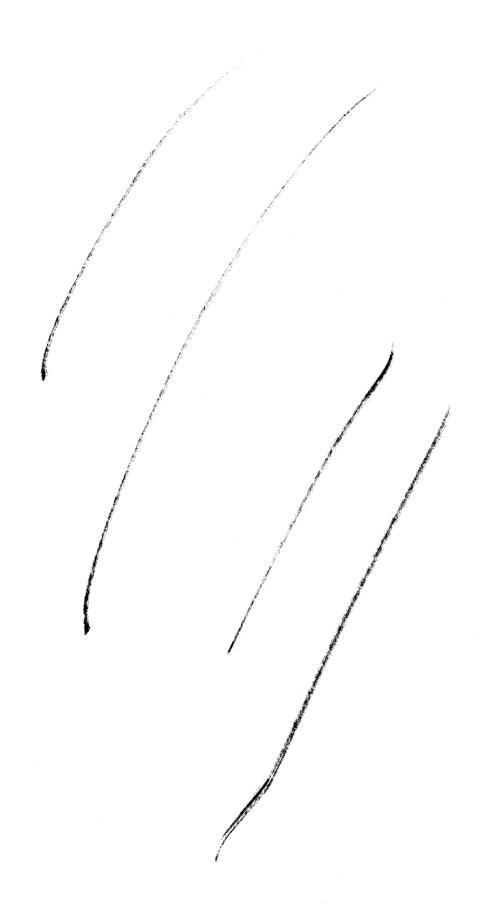
## Federal Rule of Civil Procedure 45 (c), (d), and (e) (Effective 12/1/07)

#### (c) Protecting a Person Subject to a Subpoena.

- (1) Avoiding Undue Burden or Expense; Sanctions. A party or attorney responsible for issuing and serving a subpoena must take reasonable steps to avoid imposing undue burden or expense on a person subject to the subpoena. The issuing court must enforce this duty and impose an appropriate sanction which may include lost earnings and reasonable attorney's fees on a party or attorney who fails to comply.
- (2) Command to Produce Materials or Permit Inspection.
- (A) Appearance Not Required. A person commanded to produce documents, electronically stored information, or tangible things, or to permit the inspection of premises, need not appear in person at the place of production or inspection unless also commanded to appear for a deposition, hearing, or trial.
- (B) Objections. A person commanded to produce documents or tangible things or to permit inspection may serve on the party or attorney designated in the subpoena a written objection to inspecting, copying, testing or sampling any or all of the materials or to inspecting the premises or to producing electronically stored information in the form or forms requested. The objection must be served before the earlier of the time specified for compliance or 14 days after the subpoena is served. If an objection is made, the following rules apply:
- (i) At any time, on notice to the commanded person, the serving party may move the issuing court for an order compelling production or inspection.
- (ii) These acts may be required only as directed in the order, and the order must protect a person who is neither a party nor a party's officer from significant expense resulting from compliance.
- (3) Quashing or Modifying a Subpoena.
- (A) When Required. On timely motion, the issuing court must quash or modify a subpocua that:
  - (i) fails to allow a reasonable time to comply;
- (ii) requires a person who is neither a party nor a party's officer to travel more than 100 miles from where that person resides, is employed, or regularly transacts business in person except that, subject to Rule 45(c)(3)(B)(iii), the person may be commanded to attend a trial by traveling from any such place within the state where the trial is held;
- (iii) requires disclosure of privileged or other protected matter, if no exception or waiver applies; or
  - (iv) subjects a person to undue burden.
- (B) When Permitted. To protect a person subject to or affected by a subpoena, the issuing court may, on motion, quash or modify the subpoena if it requires:
- (i) disclosing a trade secret or other confidential research, development, or commercial information;
- (ii) disclosing an unretained expert's opinion or information that does not describe specific occurrences in dispute and results from the expert's study that was not requested by a party; or
- (iii) a person who is neither a party nor a party's officer to incur substantial expense to travel more than 100 miles to attend trial.
- (C) Specifying Conditions as an Alternative. In the circumstances described in Rule 45(c)(3)(B), the court may, instead of quashing or modifying a subpoena, order appearance or production under specified conditions if the serving party:
- (i) shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship; and
- (ii) ensures that the subpoenaed person will be reasonably compensated

- (d) Duties in Responding to a Subpoena.
- (1) Producing Documents or Electronically Stored Information.

  These procedures apply to producing documents or electronically stored information:
- (A) Documents. A person responding to a subpoena to produce documents must produce them as they are kept in the ordinary course of business or must organize and label them to correspond to the categories in the demand.
- (B) Form for Producing Electronically Stored Information Not Specified. If a subpoena does not specify a form for producing electronically stored information, the person responding must produce it in a form or forms in which it is ordinarily maintained or in a reasonably usable form or forms.
- (C) Electronically Stored Information Produced in Only One Form. The person responding need not produce the same electronically stored information in more than one form.
- (D) Inaccessible Electronically Stored Information. The person responding need not provide discovery of electronically stored information from sources that the person identifies as not reasonably accessible because of undue burden or cost. On motion to compel discovery or for a protective order, the person responding must show that the information is not reasonably accessible because of undue burden or cost. If that showing is made, the court may nonetheless order discovery from such sources if the requesting party shows good cause, considering the limitations of Rule 26(b)(2)(C). The court may specify conditions for the discovery.
- (2) Claiming Privilege or Protection.
- (A) Information Withheld. A person withholding subpoenaed information under a claim that it is privileged or subject to protection as trial-preparation material must:
- (i) expressly make the claim; and
- (ii) describe the nature of the withheld documents, communications, or tangible things in a manner that, without revealing information itself privileged or protected, will enable the parties to assess the claim.
- (B) Information Produced. If information produced in response to a subpoena is subject to a claim of privilege or of protection as trial-preparation material, the person making the claim may notify any party that received the information of the claim and the basis for it. After being notified, a party must promptly return, sequester, or destroy the specified information and any copies it has; must not use or disclose the information until the claim is resolved; must take reasonable steps to retrieve the information if the party disclosed it before being notified; and may promptly present the information to the court under seal for a determination of the claim. The person who produced the information must preserve the information until the claim is resolved.
- (e) Contempt. The issuing court may hold in contempt a person who, having been served, fails without adequate excuse to obey the subpoena. A nonparty's failure to obey must be excused if the subpoena purports to require the nonparty to attend or produce at a place outside the limits of Rule 45(c)(3)(A)(ii).



# Exhibit 4

IN THE UNITED STATES DISTRICT COURT INDEX 1 WESTERN DISTRICT OF ARKANSAS PAGE 2 2 TEXARKANA DIVISION JOHN WARD JR ) ) C.A. NO. 08-4022 5 Exhibit List..... ) JURY TRIAL DEMANDED 6 CISCO SYSTEMS, INC. ROBERT H. CHIAVELLO, JR. Examination by Mr. Schwarz......6 8 8 \* Signature and Changes...... 81 ORAL AND VIDEOTAPED DEPOSITION OF 10 ROBERT H. CHIAVELLO, JR. 11 **SEPTEMBER 23, 2009** 11 VOLUME I 12 13 12 14 13 15 14 16 15 ORAL AND VIDEOTAPED DEPOSITION OF ROBERT H. 17 CHIAVELLO, JR., produced as a witness at the instance of 16 18 17 the Defendant, and duly sworn, was taken in the 18 above-styled and numbered cause on the 23rd day of 19 19 September, 2009, from 9:06 a.m. to 11:10 a.m., before 20 April R. Eichelberger, CSR in and for the State of 21 21 Texas, reported by machine shorthand, at the law offices 22 22 of Fulbright & Jaworski, 220 Ross Avenue, Suite 2800, 23 23 Dallas, Texas, pursuant to the Federal Rules of Civil 24 24 Procedure and the provisions stated on the record or 25 25 attached hereto 2 APPEARANCES **EXHIBIT LIST** EXHIBIT NAME DESCRIPTION PAGE 2 FOR THE PLAINTIES Exhibit 26 Cisco Systems, Inc's Notice of 3 3 14 Deposition of Bob Chiavello Nicholas H. Patton, Esq. PATTON, TIDWELL & SCHROEDER, LLP 4695 Texas Boulevard Exhibit 27 Plaintiff's Initial Disclosure 16 5 Texarkana, Texas 75505 5 Exhibit 28 Plaintiff's First Supplemental Phone: 903.792.7080 Fax: 903.792.8233 Disclosures E-mail: nickpatton@texarkanalaw.com Exhibit 29 Patent Troll Tracker September 24, 2007 33 FOR THE DEFENDANT: FRENKEL2.000353-364 Kurt A. Schwarz, Esq. 8 JACKSON WALKER, LLP Exhibit 30 Civil Docket 5:07-cv-156-DF-CMC 43 q Bank of America Plaza CISCO.000240-241 901 Main Street, Suite 6000 Exhibit 31 Complaint for Patent Infringement 43 10 10 Dallas, Texas 75202 5:07-cv-156-DF-CMC filed 10/15/2007 Phone: 214.953.6000 Fax: 214.953.5822 11 CISCO.000091-96 11 E-mail: kschwarz@jw.com Exhibit 32 Complaint for Patent Infringement 12 FOR THE WITNESS: 12 5:07-cv-156-DF-CMC filed 10/16/2007 Joni Collins, Esq. 13 CISCO.000165-170 13 FULBRIGHT & JAWORSKI, LLP Exhibit 33 Docket Report 5:07-cv-00156-DF-CMC 14 14 2200 Ross Avenue, Suite 2800 CISCO.000242-243 Dallas, Texas 75201 15 15 Phone: 214.855.8000 Fax: 214.855.8200 Exhibit 34 Patent Troll Tracker October 18, 2007 50 E-mail: (collins@fulbright.com 16 16 Exhibit 35 Patent Troll Tracker October 18, 2007 50 ALSO PRESENT: 17 17 18 Paul Young, Videographer Exhibit 36 Patent Troll Tracker October 18, 2007 50 Kathleen McCurry, Intern 18 19 19

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PROCEEDINGS	

- THE VIDEOGRAPHER: Here begins the
- 3 videotaped deposition of Robert Chiavello, Tape 1,
- Volume 1, in the matter of John Ward, Jr., versus Cisco
- Systems, Incorporated. It's in the U.S. District Court,
- Western District of Arkansas, Texarkana Division, Case
- Number 08-4022. Today's date is September 23rd, 2009.
- The time on the video monitor is 9:06 a m
- 9 The video operator today is Paul Young,
- 10 representing West Court Reporting Services. The court
- 11 reporter is April Fichelberger from HG Litigation
- 12 Services, reporting on behalf of West Court Reporting
- 13
- 14 Today's deposition is being taken on
- behalf of the defendant and taking place at Fulbright &
- Jaworski at 2200 Ross Avenue, Dallas, Texas.
- 17 Counsel, please identify yourselves for
- 18 the record and whom you represent
- MR. PATTON: I'm Nick Patton. I
- 20 represent the plaintiff, Johnny Ward
- 21 MR. SCHWARZ: I'm Kurt Schwarz with
- 22 Jackson Walker, and Lirepresent the defendant, Cisco
- 23 Systems Inc.
- MS. COLLINS: I'm Joni Collins of 24
- Fulbright & Jaworski, and I represent Mr. Chiavello

- me to clarify it?
- 2 A Lwitt
- 3 Q. Okay. Are you on any medication or do you
- have any condition that would prevent you from giving
- 5 true and complete testimony today?
  - A. I'm not and I do not.
- Q. Okay. Could you please give audible answers
- to all of my questions so the court reporter can record
- 10 A. Yes
- 11 Q. Okay. You notice - you mentioned that you've
- 12 been deposed before. How many times?
- A. Twice 13
- 14 Q. Okay. And what sort of cases were those?
- 15 A. One was a good-faith breach of contract-type
- 16 case. I'm not exactly sure what the underlying claims
- 17 were. And the other was a trademark case
- 18 O. Okay. And were you -- were you deposed as a
- 19 fact witness or an expert witness?
- 20 A. Fact witness.
- 21 Q. In both cases?
- 22 A Yes sir.
- Q. Okay. Do you recall the entities that you 23
- 24 were deposed on behalf of?
- 25 A. Well, I remember one, I was deposed in a case
- THE VIDEOGRAPHER: And the witness may
- now be sworn in by the court reporter
- ROBERT H. CHIAVELLO, JR.,
- having been first duly sworn, testified as follows:
- **EXAMINATION**
- BY MR. SCHWARZ
- Q. Good morning. Would you please state your
- full name for the record.
- A. It's Robert M. Chiavello, Jr.
- Q. Okay, Mr. Chiavello, my name Kurt Schwarz.
- I'm with Jackson Walker, and I represent the defendant
- in this lawsuit, Cisco Systems, Inc.
- 13 Have you given your deposition or given
- 14 testimony before?
- Q. Okay. So you're familiar with the basic 16
- 17 ground rules?
- A. Iam. 18
- 19 Q. Okay. That -- for example, that you're under
- 20 oath?
- 21 A. Of course. Yes.
- 22 Q. Okay. And I've asked you -- and this is a
- particular problem for me. If I say something that --
- if I ask you a question that's confusing or disjointed
- or you don't understand in any way, would you please ask

- involving EDS, and I was deposed by the plaintiff in
  - that case, which was two individuals who claimed EDS had
  - breached an agreement with them. And then in the other
  - case I was deposed, it was a trademark infringement
  - case, and I was deposed by the accused infringer is my

  - Q. Okay. Where did you go to college?
  - A. I went to Washington & Lee University.
  - Q. Okay. And what was your major?
  - A Physics 10
  - Q. And did you grow up in that part of the 11
  - 12 country?
  - 13
  - Q. Where did you grow up?
  - 15 A. Larew up in New Jersey
  - 16 Q. Oh, really? What part?
    - A. Rutherford, New Jersey, which is in the
  - 18 northeastern part of New Jersey.
    - Q. And today is Bruce Springsteen's birthday.
  - 20 A. Okay.

17

19

24

- 21 Q. And you went to law school at John Marshall
- 22 Law School: is that correct?
- 23 A. That's correct.
  - Q. Okay. I understand that you're licensed to
- 25 practice law in the state of Texas?

Ward v. Cisco Unsigned Page 5 - 8

			Chiavello, Nobelt 11. 3/20/2003 11	1. 10.00 AIVI
		9		11
1	A. That's correct, yes, sir.	1	since '02?	
2	Q. Okay. And since when?	2	A. Yes, sir.	
3	A. 1989, I believe.	3	Q. Okay. Would you please describe the nature of	
4	Q. And are you certified by the Texas board of	4	your practice here at Fulbright?	
5	specialization in any area?	5	A. I specialize in intellectual property and	
6	A. No, sir.	6	primarily handle litigations, court actions involving	
7	Q. Okay. Well, I understand also that you're	7	intellectual property.	
8	licensed to practice law in New York?	8	Q. According to your bio on your firm's website,	
9	A. Yes, sir.	9	which I didn't bring today, it says you have personally	
10	Q. Okay. And since when have you been licensed	10	handled hundreds of patent cases; is that correct?	
11	in New York?	11	A. I believe so, yes. Yes.	
12	A. I believe it's 1981.	12	Q. Okay. Is it common in patent cases to sue on	
13	Q. And I also understand that you're licensed to	13	the exact date a patent issues?	
14	practice before the U.S. Patent and Trademark Office; is	14	MR. PATTON: Object, form.	
15	that correct?	15	A. No. I would say it's not common.	
16	A. That's correct.	16	Q. (BY MR. SCHWARZ) Why would one sue on the	
17	Q. Okay. And since when have you been?	17	date a patent issues?	
18	A. I believe that's 1986.	18	MR. PATTON: Object to form.	
19	<ol> <li>Would you briefly go through your employment</li> </ol>	19	A. Oh, there are lots of reasons. You know,	
20	history since you were graduated from law school?	20	primary reason would be concern that it's well-known in	
21	A. Sure. I originally went to work for a firm	21	the industry that the patent is going to issue and that	
22	called Penny Edmonds, and it actually was a little bit	22	the patent owner would be subject to a declaratory	
23	more complicated than that because I originally started	23	judgment action by an accused infringer.	
24	working for a single partner at Penny Edmonds, a man by	24	Q. (BY MR. SCHWARZ) Okay. What did you do to	
25	the name of Stan Lawrence in New Jersey because New	25	prepare for your deposition today?	
		10		12
1	Jersey, at the time, had some restrictions on New York	1	A. Oh, I to prepare for the deposition, I met	
2	firms practicing law in the state of New Jersey. But I	. 2	with my attorney.	
3	was actually being compensated by Penny Edmonds. That	3	Q. And who is your attorney?	
4	relationship ended pretty quickly, and I went to work	4	A. Ms. Collins.	
5	and I worked for Penny Edmonds until 1985.	5	Q. And she's sitting right next to you, correct?	
6	in 1985, I went to work for IBM as a	6	A. Yes, sir.	
7	patent attorney. I left IBM in 1988, and in on	7	Q. Okay. Did you have you read the complaint	
8	January 1st, 1981, I started with the firm of Baker	8	or the amended complaint in the lawsuit that we're here	
9	Mills & Glast	9	for?	
10	Q. I'm sorry, You said 1981,	10	A. I looked at a complaint. I can't tell you	
11	A. I'm sorry. No, '89.	11	whether it was the original complaint or the amended	
12	Q. '89, okay.	12	complaint. I did not read it word for word.	
13	A. Yeah. '88 I worked at IBM until 1988,	13	Q. Okay. And when did you do that?	
14	December 31st, and then January 1, 1989, I started at	14	A. Yesterday.	
15	Baker Mills & Glast.	15	Q. Okay. Have you read Cisco's answer?	
16	Q. And where was that office?	16	A. No, sir.	
17	A. Here in Dallas.	17	Q. Okay. Have you read any depositions?	

22 1990, at which time I joined Baker Botts. I was at Q. Did you discuss your testimony or your 23 Baker Botts until September of 2002, at which time I 23 anticipated testimony here today with Mr. Ward or any of 24 joined Fulbright & Jaworski. 24 his attorneys? A. No, sir.

Q. And you've been with Fulbright, obviously,

Q. Okay. So when did you move down to Dallas?

A. I was at Baker Mills & Glast until April of

A. January 1st, 1989.

Q. Okay.

19

20

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21

Q. Have you read - have you reviewed any other

20 documents in preparation for today's?

A. No, sir.

			Chavello, Nobell H. 9/23/2009 11.10.00
		13	
1	Q. Okay. Have you spoken with Mr. Ward about	1	A. Yes, sir.
2	this case since it was filed?	2	Q. Okay. And do you understand that this case
3	A. I did.	3	arises out of an underlying patent infringement case
4	Q. And when was that?	4	pending in the Eastern District of Texas styled
5	A. I believe I was served — I think it was last	5	ESN v. Cisco?
6	Thursday.	6	MR. PATTON: Object to form.
7	Q. And you started to say that you were served.	7	A. I'm not exactly sure I understand your
8	Could you please put your conversation with Mr. Ward	8	question.
9	into context?	9	Q. (BY MR. SCHWARZ) Okay. We'll get to those
10	A. Sure. When when I was served with the	10	to those matters a little later.
11	subpoena, I called him to alert him to the fact that I	11	Are you aware of being involved in any
12	had received a subpoena to make sure that he was aware	12	litigation where your client is adverse to Cisco?
13	that I had been been noticed.	13	A. Now or in the past?
14	Q. Okay. Had he notified you at any time prior	14	Q. Let's start with now.
15	to your being served that you had been designated as a	15	A. No, i'm not aware of i am not personally
16	witness in this case?	16	handling any matter where Cisco is adverse.
17	A. Yes, he did.	17	Q. Okay. In the past, have you?
18	Q. And do you recall when that was?	18	A. Yes.
19	A. No, I don't.	19	Q. Okay. And what case or cases would those be?
20	Q. Okay. Do you have any sort of attorney-client	20	A. There were two cases. And I better go back
21	relationship with Mr. Ward?	21	and amend - amend my answer. I am involved in one case
22	A. Well, Mr. Ward and I are co-counsel on on	22	where a as I understand it, a Cisco subsidiary is a
23	some cases, and so, of course, we have I'm not sure	23	named defendant. Cisco Systems is not a named
24	it would be attorney-client privilege in those	24	defendant, but one of its subsidiaries. The two cases
25	circumstances, but we're certainly co-counsel in some	25	were Fenner Investments, and I can't remember I think
		4.4	
1	matters.	14	the second secon
2	Q. I understand that. I should have been more	1	it was Fenner Investments versus Juniper, and QPSX
3		2	versus again, I think it may have been Juniper, but
4	Clear in my question.	3	Cisco was a co-defendant in both of those cases.

1	matters.
2	Q. I understand that. I should have been more
3	clear in my question.
4	Do you have any sort of attorney-client
5	relationship as it relates to the case that we're here
6	for today, the Ward v. Cisco case?
7	A. No.
8	Q. Okay. Are you aware of any communications
9	between you and Mr. Ward that relate to this case that
10	might be privileged?
11	A. Not that I'm aware of.
12	Q. Okay,
13	(Exhibit Number 26 was marked.)
14	MR. PATTON: What number is it?
15	MR. SCHWARZ: This is 26. At least
16	that's what I've been told to start with today.
17	Q. (BY MR. SCHWARZ) I've just handed you a
18	document that has been labeled Exhibit 26, and is this
19	the deposition notice and subpoena that you received
20	last week?

Q. Okay. Do you understand that we're here in 23 connection with the case of John Ward, Jr., versus Cisco 24 Systems, Inc., which is pending in the U.S. District

21

22

A. It appears to be, yes.

25 Court for the Western District of Arkansas?

1	it was Fenner Investments versus Juniper, and QPSX
2	versus – again, I think it may have been Juniper, but
3	Cisco was a co-defendant in both of those cases.
4	Q. Okay.
5	(Exhibit Number 27 was marked.)
6	Q. (BY MR. SCHWARZ) I've handed you a docume
7	which has been labeled Exhibit 27, and it is plaintiff's
8	initial disclosure in the Ward v . Cisco Systems case.
9	And I would ask you to look at page 6.
10	A. Okay.
11	Q. You'll note that your name is listed as
12	next to the Number 23. Would you please read for the
13	record the description of the testimony you've been
14	designated as a witness for?
15	A. Starting with my name?
16	Q. Yeah.
17	A. "Bob Chiavello has knowledge of damage done to
18	plaintiff's reputation by defendant's statements. He
19	also has knowledge of plaintiff's reputation in the
20	legal community."
21	Q. Okay, Is that description accurate?
22	A. Yes, sir.
23	Q. Okay. Is that description complete?
24	A. I don't understand.

Q. Well, I should have asked it differently. Do

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## Chiavello Robert H 9/23/2009 11:10:00 AM

			Chiavello, Robert H. 9/23/2009 11:10:0
		17	
1	you have knowledge of any other to your knowledge, do	. 1	A. I don't know.
2	you have personal knowledge of any facts, other than	2	Q. Okay. What information regarding the facts of
3	these that have been listed in the designation, that	3	this case do you have outside of information about
4	relate to Mr. Ward's claims against Cisco Systems?	4	plaintiff's reputation in the legal community and the
5	A. I really don't know because I don't know	5	damage done to plaintiff's reputation by defendant's
6	what - all of Mr. Ward's claims against Cisco.	6	statements?
7	Q. Fair enough. I will note that, on the	7	A. Like I said, I don't know what the what the
8	Certificate of Service on this document, it indicates	8	claims are, and so I don't know what facts I may have
9	that this document was served in December of 2008. Were	9	that would relate to those claims.
10	you contacted by Mr. Ward or his attorney about	10	Q. Okay. Well, then how long have you known
11	designated as a person with knowledge of relevant facts	11	Mr. Ward?
12	at that time?	12	A. I think 2003,
13	A. I can't	13	Q. Do you recall the circumstances of your
14	MR. PATTON: Object to form.	14	meeting?
15	A. i can't remember.	15	A. I was introduced to Mr. Ward by Mr. Franklin
16	Q. (BY MR. SCHWARZ) Okay. Did you review that	16	Jones.
17	description of your anticipated testimony before it was	17	Q. And who is Mr. Franklin Jones?
18	served on other parties in this case?	18	A. Mr. Franklin Jones is deceased now. He was
19	A. No, sir.	19	one of the pillars of the Texas bar. He was one of the
20	Q. Have you ever seen that before today?	20	leading attorneys in the state. He practiced law in
21	A. No, sir.	21	Marshall, Texas. He was a fine lawyer.
22	Q. Okay.	22	Q. And what were the circumstances of your being
23	(Exhibit Number 28 was marked.)	23	introduced by Mr. Jones to Mr. Ward?
24	Q. (BY MR. SCHWARZ) I've just handed you a	24	A. Mr. Jones was working with us on a case and
25	document that's styled Plaintiff's First Supplemental	25	informed me that he was he was up there in age at the
		18	
1	Disclosures, and it's been labeled Exhibit Number 28.	1	time. I think he was in his '70s and wanted to cut back
2	Would you please turn to page 7 of this first	2	a little bit and had suggested that we might want to
	A CONTRACTOR OF THE CONTRACTOR		

20

supplemental disclosure. You are again listed as

Number 23, and would you please read the description of

your knowledge of facts in this case from that

designation?

A. "Bob Chiavello has knowledge of damage done to

8 plaintiff's reputation by defendant's statements. He

also has knowledge of plaintiff's reputation in the

legal community. Mr. Chiavello may have additional info 10

11 regarding the facts of this case."

Q. You'll note that Mr. Ward added the sentence

"Mr. Chiavello may have additional info regarding the 13

14 facts to this case" to -

15

16

MR. PATTON: Object to form.

Q. (BY MR. SCHWARZ) -- the -- to this

designation. Did Mr. Ward or his attorney discuss this

18 change -- changed description with you before these

19 disclosures were served on Cisco in September of 2009?

20 A. No, sir.

21 Q. Okay. What info, as it says in the

22 description, regarding the facts of this case that

23 you've learned between December 2008, when those initial

24 disclosures were served, and December 2009, when the

supplemental disclosures were served?

work with Johnny Ward, who, in his view, was one of the

finest young lawyers he had seen in a long time and

thought very highly of him and recommended --

recommended him to me to work with. And so I was

introduced to Johnny by Mr. Jones.

Q. Okay. Are you personal friends with Mr. Ward

or just business acquaintances?

10 A. We don't see one another socially outside of

business, if that's what you mean.

12 Q. Okay. How many cases have you worked on with

13 Mr. Ward over the years?

A. I don't remember the exact number.

Q. Can you give me a ballpark?

16 A. It's probably in the neighborhood of five.

Q. Okay. Is your relationship with Mr. Ward

generally that of your firm being lead counsel and

Mr. Ward being local counsel?

20 A. Yes, sir.

15

21 Q. And in light of your previous testimony about

the nature of your practice, are the cases that you've

23 been involved with been intellectual property cases?

24 A: Yes, sir.

Q. How do you and your clients typically use

Ward v. Cisco Unsigned Page 17 - 20

24

#### Chiavello, Robert H. 9/23/2009 11:10:00 AM

- Mr. Ward as local counsel? What sorts of
- responsibilities does he assume?
- 3 MR. PATTON: Object to form
- A. It varies from case to case and from week to
- week, but Mr. Ward is I view him as a trusted
- counselor, and so when issues arise, I will call on him
- for his advice and counsel.
- Q. (BY MR. SCHWARZ) Do you generally ask him to
- draft pleadings?
- 10 A. Not generally, no.
- 11 Q. Or discovery?
- 12 A. No. I would say no
- 13 Q. Okay. To interview witnesses?
- 14 A. That's a task that I would ask him to do --
- 15 Q. Okav.
- 16 A. -- and I believe he has done for me.
- 17 Q. Does he draft motions for you?
- 18 A. I think that's something that I would call on

Q. I know this may vary from case to case, but

let me ask, in general, do you make the decision as to

whom to hire as local counsel or do your clients?

MR. PATTON: Object to form.

several for a client to choose from or say let's hire

MR. PATTON: Object to form.

say it's usually a collaborative affair

Johnny Ward because he's really good?

A. Again, it varies from case to case.

A. It does vary from case to case, and I would

Q. (BY MR. SCHWARZ) Do you typically recommend

Q. (BY MR. SCHWARZ) Okay. You mentioned you've

probably worked with him on the -- on about five cases.

Can you recall any of them in particular, which clients

A. Yes. The Antor -- what we call the Antor

cases, there were a number of cases. We represented

Antor Media in an infringement action against a number

of defendants, and there were a number of separate cases

Another case I recall, the Fenner versus

22 Juniper case is one and another case involved -- Fenner

23 versus Microsoft, And I believe Mr. Ward is helping us

Q. And in -- let's start with the first one. Did

with the Fenner versus 3Com case.

- 19 him to do from time to time.
- 20 Q. And take depositions?
- 21 A Yes
- Q. Okay. Draft jury charges? 22
- 23 A. He would assist, yes.
- 24 Q. Arque before the court?
- 25 A. Yes.

5

10

11

12

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24

Vou represented?

that were filed

- you say it was Antor?
- A. Yes sir.
  - Q. Did you represent the plaintiff or the
- defendant in that?
- A. Plaintiff.
- 6 Q. And do you recall the process by which you and
- your client decided to retain Mr. Ward in that case?
- MR. PATTON: Objection, form.
- 10 A. I do recall that,
- Q. (BY MR. SCHWARZ) Would you describe it for
- 12 us please
- A. That was the matter where Mr. Jones 13
  - recommended that we associate with Mr. Ward
- 15 Q. Okay. And how about the Fenner versus Juniper
- 16
- 17 A. We were very pleased with Mr. Ward's
- assistance in the Antor case, and so we recommended him
- 19 in the Fenner case to the -- to the plaintiff in that
- 20 case, who we represented
- 21 Q. Okay. And was that also true in the other two
- Fenner cases that you mentioned?
- 23 A Yes sir
- Q. Okay. What are your criteria for choosing
- 25 local counsel?

22

- MR. PATTON: Object to form.
  - A. Well, I'm sure you appreciate we -- there are
- a lot of different factors that no into the decision on
- who to associate with as associate counsel in any
- Q. (BY MR. SCHWARZ) Can you list some of those
- criteria, for example, in the Fenner versus 3Com case?
- A. Well, I would say certainly the most important
- matter is the person's legal legal skills, his
- ability or her ability as a lawyer. Certainly their 10 11
- reputation as an upstanding person. Knowledge of the
- 12 local court is also an important - important factor.
- Q. When you say "knowledge of the local court," 14
- does that -- are you referring to the judges or just the
- 15 system as a whole?
- A. Both
- 17 Q. Okay. So would you say that Mr. Ward has a
- 18 good relationship with the judges in East Texas?
- 19 MR. PATTON: Object to form, calls for
- 20 speculation
- 21 A. Yeah, I -- you know, you're asking me what the
- 22 judges think, and they don't share that with you.
- 23 Q. (BY MR. SCHWARZ) I'm asking -- I'm asking you
- 24 your perception.
  - MR. PATTON: Object to form.

Α	Yeah	I helieve he's well respected by the	

- 2 judges in the Eastern District.
- Q. (BY MR. SCHWARZ) And he's the son of a
- 4 federal judge, correct?
- 5 A. Yes, he is
- 6 Q. Do you have personal knowledge of Mr. Ward's
- 7 reputation in the legal community?
- 8 A. I believe i do
- Q. Okay. And let me back up. When I said "legal
- 10 community," the reason I used that phrase is because
- 11 Mr. Ward used it in his in the two designations I put
- 12 before you as Exhibits 27 and 28. Would you define your
- 13 understanding of legal community?
- 14 A. Okay. I would say I personally am associated.
- 15 you might say, in two communities. One community would
- 16 be the lawyers who practice in Texas and particularly in
- 17 the Eastern District of Texas generally. And then the
- 18 second community I would -- I'm associated with would be
- 19 on a more national level involving intellectual property
- 20 cases, and so these would include lawyers who do not
- 21 routinely practice in the Eastern District of Texas, if
- 22 they ever practice there.
- 23 Q. Okay. What do you consider to be -- excuse
- 24 me. Let me back up.
- 25 You've just basically kind of defined two

·

- 1 Q. Okay, You had not heard of him before that
- 2 time?

25

- 3 A. That's correct
- Q. Okay. And if I understand you correctly, you
- think highly of him today?
- 6 A. I do.
- Q. And you believe his reputation today is that
- 8 of a well-respected honorable attorney?
  - MR. PATTON: Object to form.
- 10 A. Well, again, you have -- you know, among most
- 11 people, I think that's true. I think there are some
- 12 people that -- where that's not true.
- 13 Q. (BY MR. SCHWARZ) And who would those people
- 14 be?

q

- 15 A. You know, I've had people question his
- 16 reputation as a result of the comments that your client
- 17 made that bring us all here today.
- 18 Q. Okay. Did you ever have -- and you made
- 19 reference to the comments that were made that are -- you
- 20 understand that they're the basis of this lawsuit.
- 21 correct?
- 22 A. I do. I believe I do.
- 23 Q. I mean you did -- you mentioned that you
- 24 were -- I'm not saying you studied it, but you did at
- 25 least briefly review the a petition or a complaint in

kind of separate groups of lawyers. Do you -- what do

- 2 you consider to be the universe of lawyers who might
- 3 care about Mr. Ward's reputation?
- 4 MR. PATTON: Object to form.
- 5 A. Welf, I would say both of those groups. You
- 6 can appreciate there's some overlap between the two
- 7 groups.
- 8 Q. (BY MR. SCHWARZ) Right. So please, tell me
- 9 your understanding of Mr. Ward's reputation.
- 10 A. My understanding is that -- well, let me --
- 11 let me ask you to pin down a time.
- 12 Q. Let's start with today.
- 13 A. I think he generally has a -- has a good
- 14 reputation, certainly from my perspective.
- 15 Q. How about -- you've said you first met him --
- 16 I'm sorry -- in 2003?
- 17 A. Yes, sir
- 18 Q. How would you evaluate his reputation in 2003?
- 19 A. Well, when I -- when I first met him, I mean,
- 20 his -- the -- it was a community of one or two that --
- 21 well, I would say he had a good reputation at that time
- 22 and -- yes, I'd say he had a very good reputation at
- 23 that time. I would distinguish between before I knew
- 24 him and afterward. Before -- before I was introduced, I
- 25 didn't know him.

1 this case correct?

- 2 MR. PATTON: Object to form
- 3 A. I briefly reviewed a complaint, yes.
- 4 Q. (BY MR. SCHWARZ) Okay. Do you understand
- 5 that certain statements were made by the author of a
- 6 blog called the Patent Troll Tracker?
- 7 A. I know that that's -- could you restate the
- question?
- 9 Q. Fair enough
- 10 A. I'm sorry.
- 11 Q. Do you understand that certain comments were
- 12 made to which Mr. Ward has raised objection by an
- 13 anonymous blogger or a person who was then anonymous
- 14 called the Patent Troll Tracker?
- A. Yes, I understand that.
- 16 Q. Okay. Did you ever have occasion to read the
- 17 blog Patent Troll Tracker?
- 18 A. Yes.
- 19 Q. Okay. And do you recall when you first read
- 20 it?
- 21 A. It would have been, I believe, in 2007
- 22 sometime.
- 23 Q. Do you recall how you found out about the
- 24 Patent Troll Tracker blog?
- 25 A. One of my colleagues informed me about it.

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22 case? 23 A.

25 recall the coverage there?

Q. (BY MR. SCHWARZ) And was that the Fenner

Q. Okay. How about the Antor case? Do you

#### Chiavello, Robert H. 9/23/2009 11:10:00 AM

		29		31
1	Q. Do you recall who that was?	1 A. I	ton't have a clear recollection of him	
2	A. I believe it was Kirby Drake.	2 having sa	id anything about the Antor case, other than	
3	Q. Do you recall why he recommended that you read	3 just mayb	e reporting on - well, now that now that I	
4	it?	4 think abo	ut it, I think he had - he made some comments	
5	A. Well, it's a she.	5 about our	fact that the case was filed with one named	
6	Q. Oh, I'm sorry.	6 defendan	t and then multiple defendants were added at a	
7	A. In I believe it was. It was a blog that	7 subseque	ent time.	
8	was getting a lot of attention in the in the	8	And as I recollect, he accused us of doing	
9	community, and I had heard about heard about it and	9 somethin	g in violation of the rules with respect to	
10	asked her to show me how to find out what it was about	10 those ti	nat pleading. That's you know, it's been a	
11	Q. Okay. When you - you mentioned - you used	11 while sind	e I reviewed it, and I never did anything	
12	the word "community." Would you explain to us what you	12 about it a	fter that.	
13	mean by the by your use of that word?	13 Q. Ar	nd I believe you've already answered this,	
14	MR. PATTON: Objection, form.	14 but did yo	u complain to the Patent Troll Tracker about	
15	A. Welt, I would use it I'm sorry. The two	15 any of this	s coverage?	
16	communities I would mentioned before, the national	16	MR. PATTON: Object to form.	
17	patent bar and the and the Texas bar.	17 A. No	ı, sir.	
18	Q. (BY MR. SCHWARZ) Okay. Did you read the	18 Q. (B	Y MR. SCHWARZ) Are you aware that the	
19	Patent Troll Tracker often?	19 Patent Tr	oll Tracker blog has been discontinued?	
20	A. No, sir.	20 A. No	, I'm not aware that it's been discontinued.	
21	Q. Okay. Did you ever e-mail the Patent Troll	21 Q. O	say.	
22	Tracker or otherwise try to communicate with it?	22 A. W	ell, I should remand that. As I understand,	
23	A. No, sir.	23 he's no lo	nger blogging, but it's my understanding his	
24	Q. Okay. Did you ever recommend to others that	24 blog is stil	l available, that if you if you seek it	
25	they read the Patent Troli Tracker?	25 out on the	internet, you can find the blog.	
		30		32
1	I don't think I ever did that.	1 Q. An	d I probably should have asked this a while	
2	Q. Okay. Were any of the cases that you've been	•	ve been referring to we've been using the	
3	involved with ever discussed by the Patent Troll	3 word "blog	." Would you explain for the jury what	
4	Tracker?	4 what you i	mean by the term "blog,"	
5	A. I believe that I believe at least one of	5 A. We	II, I'm not sure I have any any meaning	
6	them has, maybe two of them.	6 for that ter	m, other than what I think is generally	
7	Q. And do you recall which cases?	7 understoo	d. It's a it's a website where an	
8	A. I believe one of the Fenner cases and I	8 individual	or group of individuals can share their	
9	believe one of the Antor cases.	9 views, pub	lish their views, make public statements about	
10	Q. Do you recall what the Patent Troll Tracker	10 one or mo	re topics of interest.	
11	said about the Fenner case?	11 Q. Ok	ay. Do you know who Raymond Niro is or	
12	<ul> <li>A. I don't remember the details other than it was</li> </ul>	12 Niro?		
13	a negative and misleading, if not false, comment about	13 A. I do	<b>).</b>	
14	the case. And my recollection was it was it was not	14 Q. An-	d would you tell the jury who he is?	
15	a - not a positive statement.	15 A. Mr.	Niro or Niro I think it's pronounced	
16	Q. You said it was misleading. Do you recall in	16 Niro is o	ne of the pillars of the national patent	
17	what way you considered it misleading?	17 bar.		
18	MR. PATTON: Object to form.	18 Q. Ok	ay.	
19	A. My recollection was he just misstated the	19 A. Or i	national intellectual property bar.	
20	facts.	20 Q. We	re you aware that he offered a reward to	

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21 anyone who could reveal the identity of the Patent Troll

Q. And how did you learn about that?

A. When it - when the Troll Tracker's identity

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		33		35
1	was revealed and it became there was some publicity	1	they use it as a derogatory term. My understanding is	
2	over it at that time, and Mr. Niro's reward was	2	that it's essentially used by anyone who doesn't like a	
3	mentioned in some of the articles I read. At least one	3	patent owner seeking to enforce his or her patents	
4	of the articles I read.	4	against them.	
5	(Exhibit Number 29 was marked.)	5	MR. PATTON: Could we take a two-minute	
6	Q. (BY MR. SCHWARZ) Do you recall I'll	. 6	break?	
7	represent to you this is Exhibit Number 29. It is a	7	MR. SCHWARZ: Sure. No problem.	
8	printout of part of the Patent Troll Tracker blog. And	8	THE VIDEOGRAPHER: We're off the record.	
9	do you recall by any chance, did you read any part of	9	it's 9:46 a.m.	-
10	this at the time it was published, in particular the	10	(Break was taken.)	
11	matter concerning Mr. Niro on the first page?	11	THE VIDEOGRAPHER: Back on the record,	
12	A. I don't recall ever ever seeing this	12	it's 9:50 a.m.	
13	before.	13	Q. (BY MR. SCHWARZ) Okay. You mentioned just a	
14	Q. Okay. You mentioned that the Patent Troll	14	few moments ago that you felt that the Patent Troll	
15	Tracker's identity was exposed. Do you know the name of	15	Tracker had an agenda. Would one way of describing that	
16	the Patent Troil Tracker?	16	agenda be that the Patent Troll Tracker advocated	
17	A. I don't recall it, no.	17	certain types of patent reform?	
18	Q. If I represented to you that his name was	18	MR. PATTON: Object to form.	
19	Richard Frenkel, would that refresh your recollection?	19	A. i don't know.	
20	A. That's that sounds like the name I've heard	20	Q. (BY MR. SCHWARZ) Okay. You mentioned that	
21	before, yes.	21	the Patent Troll Tracker was against persons who wanted	
22	Q. And do you recall when you learned that the	22	to enforce their patent rights. Could you expand on	
23	Patent Troll Tracker was Mr. Frenkel?	23	that answer?	
24	A. I don't recall the date, no, sir.	24	MR. PATTON: Object to form.	
25	Q. Do you know anything else about Mr. Frenkel?	25	A. I don't know in what sense. I mean	
		34		36
1	A I know that he's a lawyer and that he works	1	Q. (BY MR. SCHWARZ) I guess let me let me ask	
2	for Cisco.	2	a better question. Was - in your opinion, was the	
3	Q. Okay.	3	Patent Troll Tracker against all persons who wished to	
4	A Or worked for Cisco at a time.	4	enforce their patent rights?	
5	<ul> <li>Q. Would you describe for the jury at least your</li> </ul>	5	MR. PATTON: Object to form.	
6	understanding of what the Patent Troll Tracker blog was	6	<ul> <li>A. I think against it seemed to me against</li> </ul>	
7	about?	7	people that would enforce them against his client.	
8	MR. PATTON: Object to form.	8	Q. (BY MR. SCHWARZ) Okay.	
9	<ul> <li>A. Well, my understanding of it at the time was</li> </ul>	9	A. Or the client and those similarly situated	
10	it was a vehicle to, to put it bluntly, cast aspersions	10	with his client.	
11	on a category of patent owner and to - that it that	11	Q. Okay. And prior to the disclosure of the	
12	there was an agenda to that he had an agenda that was	12	Patent Troll Tracker's identity, how would you describe	
13	against people trying to enforce their patents.	13	the persons or entities about which the Patent Troll	
14	Q. (BY MR. SCHWARZ) You made reference to "a	14	Tracker had favorable views?	
15	category of patent owner." Could you explain for the	15	A. Based on what I had seen and heard, it was	
16	jury what you meant by that phrase?	16	apparent that he represented a large company a large	
17	A. Typically sole inventors, individuals who made	17	company or companies such as Cisco.	
18	inventions and obtained patents for their inventions	18	Q. Are you aware are you aware of the fact	
19	and, for one reason or another, were seeking to enforce	19	that one of the issues in Mr. Ward's case against Cisco	
20	their patents against infringers.	20	concerns the propriety of a clerk or deputy clerk of the	
21	Q. Okay. What is your understanding of the	21	United States District Court for the Eastern District of	

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24

25

22 Texas changing the dates on a complaint and docket sheet

MR. PATTON: Object to form.

to reflect a different date of filing?

A. Yes.

22 meaning of the term "patent troll"?

MR. PATTON: Object to form.

25 oppose its use by those who do use it because I think

A. I find it to be a very derogatory term, and I

2

3

5

# Chiavello, Robert H. 9/23/2009 11:10:00 AM

A. Yes, sir.

Q. (BY MR. SCHWARZ) In your almost 30 years

experience as a lawyer, have you ever been involved in a

case where the clerk of a court has changed the date of

a filing of a complaint?

6 MR. PATTON: Object to form.

Q. (BY MR. SCHWARZ) And what -- would you

describe the circumstances of that case.

10 A. Yeah, it's happened on a couple of occasions

11 where -- sometimes they fail to change the stamp at the

beginning of the day. Sometimes clerks make mistakes in

terms of -- you know, they put the wrong month on the

14 stamp. It typically happens when, you know, we change

15 months or dates.

16 And so we received, I'm thinking in two

17 instances, a complaint that had the wrong date on it

18 And it was brought to the attention of the clerk, and

19 the clerk fixed it.

importance?

would say yes.

11

12

13

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17

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22

20

Q. Okay. And do you recall how it was brought to

21 the attention of the clark?

22 A. In - in one instance, I know it was simply a

telephone call, and you know, and it was fixed. In the 23

Q. Okay. And would you explain for the jury why

other instance, I believe a messenger was sent or a 24

legal assistant was sent down to the clerk's office.

the date of a filing of a complaint can be of

MR. PATTON: Object to form.

A. Well, I mean, there are lots of -- lots of

reasons, but we all want ~ want to be accurate in what

Q. (BY MR. SCHWARZ) Well, in terms of a patent

we do in terms of the court system, I would think. I

case, subject matter jurisdiction wouldn't exist if a

MR. PATTON: Object to form.

A. You know, I don't know

statute of limitations may run, correct?

19 to federal court, there are deadlines for removal.

A. There are deadlines, yes, sir.

have significant consequences, correct?

complaint was filed before the patent issued, correct?

Q. (BY MR. SCHWARZ) Well, in other cases, the

A. That could be a -- certainly be an issue, yes,

Q. Okay. And in cases removed from state court

Q. Okay. And just in general, courts can impose

deadlines on parties to cases, and when you file

something can be -- if you miss a deadline, that can

MR. PATTON: Object to form.

A. Deadlines are important, yes.

Q. (BY MR. SCHWARZ) Do you regularly refer to

docket sheets for information about cases?

MR. PATTON: Object, form.

6 A. Yes. sir

Q. (BY MR. SCHWARZ) And it's important to be

я able to rely on a court's docket sheet, isn't it?

A. Absolutely, yes, sir.

10 Q. Okav

11 A. Though I will tell you there are often

inaccuracies on them, so no one would rely entirely on

13 the docket sheet

14 Q. And what else would you rely on?

A. Well, that's a -- that's one of the challenges

16 in the practice of law is that there's probably no one

17 thing one can rely on. It's a -- it's a group of --

18 it's a group of things.

19 Q. And could you describe some of the members of

20

21 A. Well, you would rely on your own file. You

22 would rely on the court's file. You would rely on --

23 occasionally rely on your opponent's file.

24 Q. And you're familiar with the ECF systems,

25 correct?

MR. PATTON: Object to form

2 A. Is that the electronic docketing system?

3 Q. (BY MR. SCHWARZ) Yeah, the electronic -- I

believe it stands for electronic case filing

A. Yes, I'm familiar with it, yes, sir.

Q. Have you ever filed something using an ECF

system?

A. I personally have never done that, no, sir.

Q. Have you had either an associate or a staff

10 member do it for you?

11 A. Yes, sir.

15

Q. Okay. And you have seen, I would -- let me

13 ask you this way: Have you ever seen a document that

has been filed through the ECF system?

A Yesh I think - ves

Q. And do those documents not have a banner at

the top containing some information about the filing?

18 MR. PATTON: Object to form.

19 A. They certainly do now, yes. They frequently

have a banner at the top of the document.

21 Q. (BY MR. SCHWARZ) Okay. If I wanted to find

22 out some information about a case that you're involved

23 with, at least a case in federal court, one of the first

places I'd look is the docket sheet prepared and

maintained by the clerk; wouldn't be the case?

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			Official Color (11. 0/20/2000)	11.10.00744
		41		43
1	MR. PATTON: Object to form.	1	Q. (BY MR. SCHWARZ) I mean or the filing?	
2	A. You could do that, yes.	2	A. I dan't think so.	
3	Q. (BY MR. SCHWARZ) Okay. Would you explain for	3	Q. Okay. So are you saying, in those cases, one	
4	the jury your understanding of the duties and	4	side simply unilaterally had the clerk change a date on	
5	responsibilities of a United States district clerk?	5	a document?	
6	MR. PATTON: Object, form,	. 6	A. I don't agree with the way you stated it, so	
7	A. I don't think I've ever really looked at it,	7	1	
8	but, from my experience, their responsibilities are to	. 8	Q. Then please are you saying that, in those	
9	maintain the files of the United States federal court	9	cases, one side had the clerk change the date on the	
10	for whichever district and division they are charged	10	document without informing the other side?	
-11	with that responsibility.	11	MR. PATTON: Object to form.	
12	Q. (BY MR. SCHWARZ) Would you say that it's the	12	A. Maybe I should tell you what happened.	
13	case that a clerk's duties are determined and assigned	13	Q. (BYMR. SCHWARZ) Fair enough.	
14	by the court for which he or she works?	14	A. The fact of the date on the document was	
15	MR. PATTON: Object to form.	15	pointed out to the clerk. The clerk realized the date	
16	A. I would think so.	16	was wrong and corrected the error.	
17	Q. (BY MR. SCHWARZ) Okay. In fact, I'll	17	Q. Okay.	
18	represent to you that 28 USC Section 956 states that	18	MR. SCHWARZ: What is this, 30? Let's go	
19	and I'm quoting The clerk of each court and his	19	ahead and do 31, too.	
20	deputies and assistants shall exercise the powers and	20	MR. PATTON: 1 have 30.	
21	perform the duties assigned to them by the court, end	21	MR, SCHWARZ: I'm about to give them to	
22	quote.	22	you. Hang on.	
23	A. Well, I was going to you mentioned the	23	MR. PATTON: Oh, okay.	
24	statute. I was going to say the clerk's duties are also	24	THE WITNESS: 30 and 31.	
25	probably provided by almost certainly provided by	25	(Exhibit Number 30 and 31 were marked.)	
4		42		44
1	statute, obviously, the constitution, rules of court, as	1	Q. (BY MR. SCHWARZ) I've just handed you	
2	well as the orders of the court.	2	documents that have been labeled Exhibits 30 and 31.	
3	Okay. And based on the statute that I just	3	Could you just describe them for the jury for us?	
4	quoted to you, would it be fair to say that a clerk	4	A. Well, 30 appears to be a copy of a docket	
5	isn't authorized to act beyond the scope of authority	5	sheet, and 31 appears to be a complaint.	
6	granted to him by the court?	6	Q. Okay. And looking at these two exhibits, can	
7	MR. PATTON: Object to form.	7	you determine what date the complaint that is Exhibit	
8	A. I think a clerk always has to work under	8	I believe it's 30 31 was filed?	
9	whatever authority he or she may have.	9	MR. PATTON: Object to form.	
10	Q. (BY MR. SCHWARZ) Okay.	10	A. The date that it was filed?	
11	A. I don't mean to suggest that that's the	11	Q. (BY MR. SCHWARZ) Right.	
12	only that that statute that you read is the only	12	Well, based on what's printed at the top of	
13	authority that they would operate under. I don't I	13	the document, it appears to have been filed on	
14	just don't know.	14	October 15, 2007.	
15	Q. Okay. I'd like to go back to those two	15	Q. And on the docket sheet, can you tell the jury	
16	instances you mentioned of clerks getting the date wrong	16	what date it appears the complaint was filed?	
17	on a filing.	17	MR. PATTON: Object to form.	
18	A. Right.	18	A. Well, it appears to be October 15, 2007.	
	- · ·			
19 20	Q. And you mentioned that in one case there was a phone call and another case there was I believe you	19 20	Q. (BY MR. SCHWARZ) Okay. Is there anything on either document that suggests that the complaint was	

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22

24

A. Correct.

24 discrepancy on the docket sheet?

Q. In those cases, were both parties aware of the

MR. PATTON: Object to form.

21 filed on October 16?

MR. PATTON: Are you talking about

MR. PATTON: You said the other document,

MR. SCHWARZ: 30 or 31.

		45
1	and I'm not sure which one of the two you're talking	set the courts set rules in terms of dates to
2	about.	2 respond. The statute sets rules on when you can
3	MR. SCHWARZ: Fair enough. I appreciate	3 respond. For example, a plaintiff has 20 days I'm
4	that. I want to be clear.	4 sorry. A defendant has 20 days to answer a complaint
5	Q. (BY MR. SCHWARZ) is there anything on on	5 once they're served with a complaint and summons. And
6	Exhibit 30 that would suggest that the complaint was	6 so those types of dates, as I say, there are court
7	filed on October 16?	7 orders that require you to do things by by certain
8	A. No.	8 dates, and those dates are important.
9	Q. And how about is there anything on Exhibit 31	9 Q. (BY MR. SCHWARZ) Okay. So in any given case,
10	that suggests that that document was filed on	10 there could be many, many important dates?
11	October 16?	11 A. Absolutely
12	A. No. And again, I haven't seen these documents	12 Q. And do you believe that it's incumbent on
13	before; and 31 is a six-page document, I guess, and I	parties to double-check all of those?
14	haven't read it. But just looking at the cover page and	14 MR. PATTON: Object to form.
15	the last page, I don't see anything that would suggest	15 A. Well, not all of them. But but frequently,
16	that it was filed on other than October 15, 2007.	16 it is important to double-check those, those dates, yes,
17	Q. In your view, would it be unreasonable for a	17 sir.
18	person viewing these documents to conclude that this	18 Q. (BY MR. SCHWARZ) And do you do that
19	lawsuit, ESN versus Cisco, was filed on October 15th?	19 frequently?
20	MR. PATTON: Object to form.	20 A. You know, not to quibble with your term
21	A. Well, there is a fact that would raise a	21 "frequently," but it happens with a high degree of
22	question in my mind, and that's Mr. Ward's notice of	22 regularity, yes, sir.
23	appearance on the 16th.	23 Q. Okay. So if if one called a clerk about
24	Q. (BY MR. SCHWARZ) And why does that raise a	24 Exhibits 30 and 31, how would the clerk determine that
25	question for you?	25 there was anything incorrect about what was displayed
		46

A. Because typically notices of appearance are filed at the same time as the complaint. Q. Is there any way -- in your experience with the ECF system, is there some way to kind of look behind what's on the -- on the face of the system, so to speak, to determine whether the dates listed there are correct? MR. PATTON: Object. A. Well, certainly, yes. MR. PATTON: Object to form. 10 Q. (BY MR. SCHWARZ) And how is that? 11 A. Call the clerk 12 Q. Okay. So do you think it's incumbent on persons to call the clerk and check with every filing that's made to make -- to confirm that the date that's 14 15 displayed on the ECF system is correct? A. I wouldn't say with every fiting, but with --17 with something that might be of importance where that -you mentioned earlier that dates are important. When

those -- if there's a filing where there's an important

Q. Okay. And what sorts of filing dates are

MR. PATTON: Object to form.

A. Well, you mentioned things like statute of

limitations, and you mentioned there are rules that

20

21

22

23

date, absolutely

important?

Case 3:09-mc-001

2 MR. PATTON: Object to form. A. You know, I really don't know all of the details and all of the procedures that clerks -- that the clerks of the various district courts follow. But I do understand that they have -- they do have procedures that are what I would view as backup systems and that they have a way of double-checking entries is what I 9 would call it. 10 (Momentary off-the-record discussion.) 11 (Exhibit Numbers 32 and 33 were marked.) 12 13 tabeled Exhibits 32 and 33? 16 to be a docket sheet. Q. Okay. And if you'd like the time to actually 18 19 the same complaint as Exhibit Number 31? 22 A. Yeah, I would just -- quickly scanning it, I mean, you know, there are -- there's a difference, of 24

Q. (BY MR. SCHWARZ) Would you please describe the documents that have been handed to you that are A. 32 appears to be a complaint, and 33 appears compare them word for word, feel free and we'll go off the record and you can do that. But does the complaint that is -- that has been labeled Exhibit 32 appear to be Mr. Schwarz, they do appear to be essentially the same. course, at the top.

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23 the -- with that blog, those blog entries, statements,

(Exhibits 34, 35, and 36 were marked.)

24 yes.

25

# Chiavello Robert H. 9/23/2009 11:10:00 AM

			Chiavello, Robert H. 9/23/2009 11:10	:00 AM
		49		51
1	Q. And would you describe what that difference	1	Q. (BY MR. SCHWARZ) Mr. Chiavello, I've handed	
2	! is?	2	you three documents that have been labeled Exhibits 34,	
3	A. The exhibit Exhibit 32 bears the date of	3	35, and 36, and I will represent to you that these three	
. 4	October 16, 2007, at the top.	4	documents are exhibits to Mr. Ward's amended complaint	
5	Q. And	5	in the case that we're here about today. That is not	
6	A. And on each page.	6	exactly explained, but if you look at the very top, the	
7	Q. And on Exhibit 33?	7	banner notes that it's Document 66-1, 66-2, and 66-3.	
8	A. Exhibit 33, you're saying in comparison to	8	And I'll represent to you that Document 66, to which	
9	Exhibit 30?	9	these are attachments, are Mr. Ward's amended complaint	
10	Q. Correct.	10	in the present tawsuit.	
11	A. Weil, first, there at least would appear to be	11	Have you seen any of these blogs before?	
12	three additional entries on the docket, and the date	12	A. You know, yes, I've well, I've seen papers	
13	let me say Exhibit 33 appears to have at least three	13	that would look like this. You know, again, I don't	
14	more entries than Exhibit 30, and the date filed for the	14	remember reading them in this form. You know, as I look	
15	complaint is October 16, 2007, on Exhibit 33.	15	at it, as I read this first these first couple of	
16	Q. Okay.	16	statements, I don't think I ever read this before,	
17	A. You know, you asked me a question about	17	frankly, because, in reading this, I'm really outraged	
18	Exhibit 30 and whether there was anything on the on	18	by what I've read, and I don't recall being outraged	
19	the docket that appeared that the date of October 15,	19	before. In particular, the comment about the Banana	
20	2007, might be wrong. And in looking at it, there's	20	Republic of East Texas I think is just absolutely	
21	there's the statement on in the what they call the	21	outrageous.	
22	docket text at the end of Docket Entry Number 1.	22	MR. PATTON: Could we maybe clear	
23	It says "entered October 16, 2007," so	23	something up here? You've given 34 and 35, and I would	
24	that if I had been looking at this docket, that would	24	assume that the the first blog is at the bottom of	
25	tell me there's there's something inconsistent, and	25	the page on 34. That's the 17th.	
		50		52
1	that would ~ that would raise a question in my mind as	1	MR. SCHWARZ: Yes, I agree with that.	
2	to what the whether which date which of the two	2	MR. PATTON: Okay. Sometimes people	
3	dates was the was the right date.	3	separate them out. It's a separate document. But you	
4	<ul> <li>Q. Fair enough. Would it be unreasonable for</li> </ul>	4	have the 17th and the 18th both on 34 and 35?	
5	someone comparing the two docket sheets and the two	5	MR. SCHWARZ: That is correct.	
6	complaints that I've handed you that collectively are	6	MR. PATTON: Okay.	
7	exhibits, I believe it's 30 through 33, would it be	7	MR. SCHWARZ: And as I represented to	
8	unreasonable to conclude that the filing date was	8	Mr. Chiavello, I'll simply say that this is how they've	
9	changed?	9	been filed with the amended complaint.	
10	MR. PATTON: Object to form.	10	MR. PATTON: Okay.	
11	A. The filing date was changed	11	Q. (BY MR. SCHWARZ) So I take it that you feel	
12	Q. (BY MR. SCHWARZ) Okay.	12	that the the reference to the Banana Republic of East	
13	A in one in one respect, yes.	13	Texas is a derogatory and untoward expression?	
14	Q. Okay. Are you familiar with the Patent Troll	14	A. Yes, sir.	
15	Tracker blogs at issue in this lawsuit?	15	Q. If you would compare – and you'll note that	
16	A. I don't understand your question.	16	that reference is made in a blog that is dated Thursday,	
17	Q. Okay.	17	October 18th, under the title "ESN convinces EDTX court	
18	A. You mean the specific blogs that made the	18	clerk to alter documents to try to manufacture subject	
19	made the statements that bring us all here today?	19	matter jurisdiction where none existed." Is that	
20	Q. Correct.	20	correct?	
21	Yes, I'm generally familiar with those	21	A. That's what it says, yes, sir.	
22	statements. Let me say I'm generally familiar with	22	Q. Okay, I'd ask you to look at the following	
23	the with that blood those blood entries at the			

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23 two exhibits, 35 and 36. And under the same Thursday,

24 October 18th entry with the same -- the same title, do

25 you see any reference to the Banana Republic of East

#### Chiavello, Robert H. 9/23/2009 11:10:00 AM

Texas? MR PATTON: Sorry to interrupt you 1 MR. PATTON: And this is what I'm trying 2 MR. SCHWARZ: No, that's okay. We want to clear up and want to make certain, because the top 3 everything to be clear about it. O. (BY MR. SCHWARZ) Like I said, while I don't one on 35 does have the Banana Republic on the first think there's any dispute about that, for today, I'm not THE WITNESS: Right going to be referring -- the dates are not going to be MR\_PATTON: But I think what you're significant other than what's posted on there; and if referring -- you're wanting to refer to is right they are, I'm sure Mr. Patton will correct me underneath it, which is the October 17th or it will be 9 But in any event, to get back to the the next one, which is dated the 18th, but was actually 10 question which led into this morass of clarifications. corrected on the 19th 11 if you would compare the October 18th, 2007, entry that MR. SCHWARZ: Okay. Perhaps we should go is on Exhibit 34 with that on Exhibit 36, which 12 off the record for a second just to clarify this. 13 Mr. Patton has just explained was edited, I believe you MR. PATTON: That's probably a good idea. 14 MR. SCHWARZ: Okay. MR. PATTON: That's correct. 15 THE VIDEOGRAPHER: We're off the record 16 Q. (BY MR. SCHWARZ) -- do you see that the at 10:17 a.m 17 reference to the Banana Republic of East Texas is no (Momentary off-the-record discussion.) 18 longer there? THE VIDEOGRAPHER: We're back on record 19 A. I see that omission, yes, sir, at 10:19 a.m. Please proceed 20 Q. Okav. If -- if I understand what you said Q. (BY MR. SCHWARZ) Mr. Chiavello, while we were 21 earlier, this is the first time you've actually read 22 off the record, Mr. Patton and I had a discussion and we 22 clarified these exhibits. There are some questions A. I believe -- I believe that's to be the case 23 about these exhibits. And I'll ask him to - I will try 24 Q. Okay. I'd ask you to look at the blog entry to put our understanding on the record, and I'll ask dated October 17th

> 54 56

Mr. Patton to correct me or expand upon it if he sees

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3 But I believe we've agreed that Exhibit 34

was -- Exhibit 34 and Exhibit 35 are identical copies.

And on each of them, there is, at the bottom of the

first page of Exhibit 34 and the bottom of the first

page of Exhibit 5 [sic], there is an October 17th, 2007,

blog entry. And above that is an October 18th, 2007,

blog entry. And those are identical.

10 Exhibit 36 has, on one page, a changed

version of the blog entry, which is on -- at the top of

the first page of Exhibits 34 and 35 12

13 MR. SCHWARZ: Is that -- is that our

understanding, Mr. Patton? 14

15 MR. PATTON: It is, except you've got to

understand that the October - Exhibit 36 was the

17 amended or edited version of the October 18th blog, and

while it shows October 18th on the face of Exhibit 36,

that blog was edited on the 19th

20 MR. SCHWARZ: While I don't believe there

21 is any dispute about that, I'd simply comment --

22 MR. PATTON: Right. I can promise you

23 there is no dispute about that

24 MR. SCHWARZ: Right. I don't believe

there's --

A On which -- on Exhibit 34? 1

Q. Yeah, on 34 or 35, because they're identical

And I'd ask you to read it, and then I'll have a very

good question for you.

A. I've read it, and in reading it, it jogged a

recollection. You asked me earlier today whether you

could file a lawsuit if a patent - before a patent

issues, and there's a reference here to GAF versus Etk.

I recall that case, and the answer is no you cannot

10 file a patent infringement suit before the patent

11 issues

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12 Q. Right. Thank you.

Having read the October 17th blog, is 13

there any mention of Mr. Ward in it? 14

A. I believe so, yes. In the last paragraph,

he's mentioned twice. 16

O. Okav. And in what context is he mentioned?

A. What do you mean by "in what context"? 18

Q. Well, how was he referenced in that last

20 paragraph?

21 A. Rudely, I would say

22 O. He's referenced twice, correct?

23 A Yes sir

24 Q. And are you saying that - stating that he is

local counsel is referencing him rudely?

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A.	The last sentence says, "Wonder how I don't
Johnn	y Ward will play there," question mark. I read

- that as a negative comment about Johnny Ward.
- Q. So do you think that that -- that comment
- would harm Mr. Ward's reputation?
- 6 A. It's a negative comment, yes, and negative
- comments can harm a persons reputation
- Q. I'd ask you to look at now the October 18th
- blog, either on Exhibit 34 or 35, again because they're
- 10 identical
- 11 A. Okay, I'm looking at 34,
- 12 Q That's fine
- 13 A Okay I've read it
- 14 Q. Is there any mention of Mr. Ward's name there?
- A. I don't I don't see one, no, sir,
- 16 Q. I'd ask you to look at the October 18th blog
- 17 on Exhibit 36.
- 18 A. I'm looking at it.
- 19 Q. If you wouldn't mind reading it.
- 20
- 21 Q. And is there any mention of Mr. Ward's name
- 22 there?
- 23 A. His name?
- 24 Q. Correct.
- 25 A. No, no, his name is not mentioned.

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MS. COLLINS: Objection, privileged.

- A. I will not name the clients.
- Q. (BY MR. SCHWARZ) Okav.
  - MR. SCHWARZ: Are you instructing your
- 6 client not to answer the question?
  - MS. COLLINS: Yes, sir
  - MR. SCHWARZ: Okay
  - Q. (8Y MR. SCHWARZ) Did these clients -- if --
- 10 did any of these clients say that they did not want to
- 11 retain Mr. Ward solely because of the Patent Troll
- Tracker blogs?
- 13 A. In all three instances, that was identified as
- 14 the reason, yes, sir.
- 15 Q. When you said "the reason," was it the only
- 16 reason?
- 17 A. To the best as I recall, that was the stated
- 19 Q. Okay. Did you try to convince them otherwise?
- 20
- 21 Q. Okay. And could I ask you first what it was
- 22 that they said was their reason for not wanting to
- retain Mr. Ward? 23
- 24 A. Well, again, I -- the specific communication
- 25 is privileged, and I don't remember the exact -- exact

- 2 there were clients or potential clients, and I believe

Q. Mr. Ward has testified that you told him that

- he's testified that -- that there were -- in his
- recollection, that this happened more than once, but in
- any event, that there was at least one client who -- who
- refused to hire Mr. Ward because of the patent troll --Patent Troll Tracker blogs. Is that true?
- A. Yes, sir.
- Q. And do you recall how many -- first, how many
- 10 clients or potential clients there were?
- 11 A. By my recollection, there were three
- 12
- Q. Okay. And who were those? Could I simply 13
- call them clients, for the sake of our discussion here?
- 15 A Yas sir
- 16 Q. Okay. And who were those clients?
  - MS. COLLINS: Objection, privileged.
- 18 A. Yeah, I'm not going to reveal the names.
- 19 Q. (BY MR. SCHWARZ) Okay. When did these --
- when did these events occur?
- 21 A. My recollection is it was at the end of 2007,
- 22 early part of 2008.
- 23 Q. Without naming the clients - let me back up
- 24 for a moment. Let's just make sure our record's clear.
- Would you please name those clients for

- words. But in all three instances, there was -- there
- was mention of these statements and the concern about
- Mr. Ward being somebody to a concern about his
- honesty and their willingness to have him act as their
- 5 attorney
- Q. Okay. And what did you tell them, to the
- extent that you can, to disabuse them of the notion that
- 8 there was a problem with Mr. Ward's integrity?
- A. Well, again, in all three cases, I was
- 10 outraged and tried to defend Mr. -- Mr. Ward's
- 11 integrity. But in those cases, I was unsuccessful.
- 12 Q. Okay. Did you say that the blogs were untrue?
- 13 A. Yes
- Q. Had you actually read them at the time?
- 15 A. No. I was familiar with them, though
- 16 Q. And how was it that you were familiar with
- 17
- 18 A. You know, Mr. Schwarz, in reading these, now
- 19 that I've read them a couple of times, the one on the
- 17th I may have read at the time. I don't think I read
- 21 the one on the 18th. I'm pretty confident I don't
- 22 recall having read the one on -- well, I just don't 23 remember the one on the 36 - Exhibit 36.
- 24 That Banana Republic comment really kind
- of sticks out at me, and as I say, I just don't recall

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having seen that before or the comment about Mr. Ward in	

- the -- in the one dated the 17th. So I just don't
- recall whether I read them or out
- Q. Do you know whether these clients had actually
- read the bloos?
- MR. PATTON: Object, form.
- A. You know, I believe they represented that they
- had ves sir
- Q. (BY MR. SCHWARZ) And if I recall your
- 10 testimony from just a few moments ago you believe all
- of this -- all three of these clients declined
- 12 Mr. Ward's representation either in late 2007 or early
- 13 2008, correct?
- A. Correct.
- Q. Okay. By early 2008, do you know what time 15
- 16 frame -- could you explain what time frame you have in
- 17
- 18 A. First quarter 2008.
- 19 Q. So the first three months of 2008?
- 20 A. That's correct
- 21 Q. Do you have -- can you recall with any greater
- 22 specificity when that might have been?
- A. I believe one was in December of 2007, and I
- believe the other two were either in December or
- January/February time frame.

- Troll Tracker in general
- MR. PATTON: Object to form.
- A. I believe the comments had been that they had
- been treated just as improperly as Mr. Ward had been
- treated. I think he had made negative statements about
- Fenner Investments
  - Q. (BY MR. SCHWARZ) Okay. So at least as to
- those clients, is it fair to say Mr. Ward's reputation
- is intact?
- 10 MR. PATTON: Object to form.
- 11 A. It's hard -- hard for me to say. I mean, they
- were aware that -- that there had been some negative 12
- 13 statements made about -- about him
  - Q. (BY MR. SCHWARZ) Since those blog posts, the
- 15 Patent Troll Tracker blog posts that we've discussed,
- has Mr. Ward's performance as a lawyer diminished in any
- 17 respect?
- 18 MR. PATTON: Object to form.
- 19 A. You mean his performance as advocating for
- 20 clients? I'm not sure I understand your --
- Q. (BY MR. SCHWARZ) Correct. 21
- A. I'm not aware of his performance changing.
- 23 other than it gets better as time goes on, I think.
- 24 Q. Okay. So he still works his cases
- effectively?

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- Q. Okay. Mr. Ward has testified that he is
- currently working on several cases with you and your
- firm; is that correct?
- A. Yes, sir.
- 5 Q. Okay. And what cases is he working on?
- A. I thought we went over this before, but
- it's -- he is co-counsel with us on a -- on a various
- number of the Antor cases, and he is co-counsel with us
- on at least one -- on the Fenner case, which we call
- 10
- 11 Q. Okay. And I apologize if I asked the question
- 12 twice
- 13 And did any of those clients retain
- 14 Mr. Ward after the -- after October of 2007?
- 15 A. I believe for sure the Fenner 3 case. I
- believe that that's the case.
- 17 Q. Do you know if the folks at Fenner knew about
- those blog posts at the time they retained Mr. Ward?
- A. I think I think they did.
- Q. Okay. Did they express any opinion about 20
- 21
- 22 A. They -- you mean about the specific posts
- 23 about Mr. Ward or about the -- about the Patent Troll
- 24 Tracker blog in general?
- Q. Fair question. Let's start with the Patent

- A. To my knowledge, yes.
  - Q. Okay. Has your opinion of Mr. Ward changed as
  - a result of the poll -- Patent Troll Tracker blog?
  - A. Yes

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- Q. And in what respect?
  - A. I had a high regard for him before. I have
- higher regard for him now, standing up to face Cisco in
- this matter. It takes an -- it's an act of courage to
- 9 take on a big company like Cisco.
- Q. Okay. You said earlier that there were some
- clients who -- who declined to hire Mr. Ward because of
- 12 the Patent Troll Tracker blogs, correct?
  - A. I said that, yes, sir.
- 14 Q. Okay. And I assume, based on our earlier
- discussion, that you had given those clients your
- typical high praise and expressed your admiration for
- 17 Mr. Ward; is that correct?
- A. Yes, sir.
- Q. And despite the fact that you, with 30 years
- 20 experience in IP law and a partner at easily one of the
- most prestigious firms ever I mean anywhere, they
- 22 chose to - apparently chose to believe the expressions
- 23 of an anonymous blogger over your recommendation?
- A. I guess you could say that, yes, sir,
- Q. Okay. Were those clients that we were

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- discussing, are they presently your clients?
- 2 A. Two of them are ves sir
  - Q. You stated a moment ago that you actually
- think more highly of Mr. Ward as a result of the events
- that started the Patent Troll Tracker's blogs, correct?
- A Yes sir

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- Q. Okay. Isn't it possible that Mr. Ward's
- a reputation actually has been enhanced because of the
- q controversy?
- 10 MR. PATTON: Object to form,
- 11 A. Well, again, I guess you have to be specific
- 12 as to who you are -- who you are referring to.
- Q. (BY MR. SCHWARZ) Well, has anyone spoken to 13
- 14 you saying that they respect Mr. Ward even more because
- of the events that have transpired since the Patent
- 16 Troil Tracker blocs have -- were posted?
- 17 A. I think one or more of my colleagues here at
- the firm have voiced similar expressions.
- 19 Q. Okav. And could you be a little bit more --
- 20 could you expand on that and tell me what sorts of
- things your colleagues have expressed to you?
- 22 A. Generally that they are impressed -- again
- 23 I'll use the word Mr. Ward's courage in standing up to
- Cisco and a regard for his willingness to try to remedy
- the evil that this Troll Tracker perpetuated against the

administration of justice in the federal system and in

the Eastern District of Texas, in particular, and stand

up for the many people who I believe were victimized by

- effective litigator, didn't he? 1
- 2 A. Well, I don't know about -- I mean -- I think
- it's his intent, from reading these statements that
- you've handed me, was just the opposite, that he had --
- he and Mr. Albritton had done something dishonest. I
- don't view people who do things dishonestly as being
- effective at all
- MR. SCHWARZ: Objection, nonresponsive
- Q. (BY MR. SCHWARZ) Has Mr. Ward told you that
- 10 he's received some very positive messages in response to
- the Patent Troil Tracker controversy?
- A. I don't recall 12
- 13 Q. Okay. Did he tell you that one message that
- 14 he received called him a hero?
- A. He did not tell me that no sir. 15
- 16 Q. Okay. Would that expression, referring to
- 17 someone as a hero, be considered a symptom of an injured
- reputation? 18
- 19 A. It would -- actually, I would think it's a
- symptom of an injured -- of an injury, yes, sir. Heroes 20
- 21 tend to be injured in the actions that they undertake.
- That's why we call them heroes.
- 23 Q. Do you -- would you describe for the jury the
- 24 damage that you believe has been done to Mr. Ward's
- reputation?

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- MR. PATTON: Object to form
  - A. I believe there are members of the 2
  - 3 community -- by that, the -- certainly the national
  - patent community, that believe that there were questions
  - about his ethics and integrity sufficient enough that
  - they would be unwilling to retain him as counsel. 6 Q. Okay. And we've discussed a few of those,

  - A Yes sir
  - 10 Q. Are you aware of any others?
  - 11 A. Not that I can recall.
  - 12 Do you have any other knowledge or information
  - about Mr. Ward's claim that Cisco injured his
  - reputation? 14
  - 15 A. Other than what we've discussed here today?
  - 16 Q. Correct.
  - 17 A. I don't -- again. I mean. I don't know what --
  - I may know some fact that -- and it may relate to his 18
  - 19 claim. I just don't know, you know, what specifically
  - 20 vou're asking.
  - 21 Q. Well, you've been designated as a fact witness
  - 22 as to his reputation, and I believe you answered those
  - 23 questions pretty fully, correct?
  - 24 A. I tried to
  - Q. Okay. And you've also been designated as a

the Troll Tracker And Mr. Ward and Mr. Albritton, by the 5 way, as well, I think it was an act of bravery on their part to take on this individual and Cisco to try and remedy the wrong that they perpetuated against -certainly against Mr. Ward and Mr. Albritton. 10 Q. Have you ever filed a patent infringement case, for want of a better expression, amended after 11 12 midnight, when/ particularly on the date of patent 13 issue? 14 15 Q. And why do you do that? 16 A. To avoid a defendant infringer from filing a declaratory judgment action against you, against the 18 patent owner 19

Q. And would you say that that is a sign of an 20 effective and aggressive litigator? 21 A. I would say it's an effective litigator. It's 22 certainly something you have to be concerned about, and 23 in some instances, it's necessary

Q. Okay. And so the Patent Troll Tracker, at

least in part, publicized the fact that Mr. Ward was an

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1	witness as to the injury to his reputation. And have	1	who I won't — won't mention, let me think here for a
2	you told us everything that you can think of today	2	second. The names just don't don't come to me at the
3	concerning the injury to Mr. Ward's reputation?	3	moment. I mean, I and I would not limit it, by the
4	A. Well, you know, obviously we did not discuss	4	way, to the national patent community. It was it
5	the privileged communications, and you know, there may	5	received commentary here in the Dallas area as well.
6	be some other facts that that I would recall if you	6	Lawyers at other law firms, in-house counsel.
7	would ask me questions that were directed at certain	7	Q. Can you identify any of them?
8	facts. I think your question is a hard one to answer.	8	A. I can't remember anybody specifically.
9	Q. And I appreciate I appreciate that fact.	9	Q. Okay. You said
10	On the other hand, I'm dealing with a designation that	. 10	A. I believe
11	simply says reputation and injury to reputation.	11	Q. I'm sorry.
12	A. Uh-huh.	12	A. I will tell you I believe there was one or
13	Q. Can you think of any other clients or	13	more presentations at the Dallas Bar, and I believe Bar
14	potential clients that Mr. Ward has not gotten in whole	14	Showalter referred to the to the Troll Tracker in
15	or in part because of the Patent Troll Tracker blogs?	15	a as somewhat of an authority on this topic, and he's
16	A. I am not specifically aware on a first-hand	16	an attorney at Baker Botts.
17	basis, but I definitely perceived in the community and	17	Q. Right. And
18	in the national community that there were concerns.	18	A. As I say, I just have a vague recollection of
19	And in fact, last week I attended the	19	those comments.
20	Intellectual Property Owners conference, and a speaker,	20	Q. That's fine. I appreciate that, You
21	whose name t do not recall, but she was discussing	21	mentioned that Mr. Showalter is at Baker Botts. Does h
22	patent venue or venue in patent cases, and she referred	22	have a good reputation in the legal community?

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A. Sure.

almost out of tape.

Q. I've just been handed a note saying we're

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to the Eastern District in what I would consider a

the Troil Tracker.

negative manner, which I attribute to the comments of

1	It was it is my perception that he	. 1	MR. SCHWARZ: Why don't we take just a
2	created this negative view of the Eastern District of	2 short br	eak.
3	Texas and the local as they would say, the local	3	THE VIDEOGRAPHER: And this ends Tape
4	counsel and the lawyers who practice in that district.	4 Number	1, Volume 1 of the Robert Chiavello deposition.
5	So I mean, it's out there. People sort of treat it as	5 Going o	ff record at 10:51 a.m.
6	an assumed fact that they don't get a fair shake in the	6	(Break was taken.)
7	Eastern District, which I find to be just absolutely	7	THE VIDEOGRAPHER: We're going back on
8	outrageous.	8 the reco	rd at 10:58 a.m. This marks Tape Number 2,
9	Q. Okay. You I believe you've already	9 Volume	1 on Robert Chiavello's deposition. Please
10	answered this, but you don't recall the name of the	10 proceed	
11	speaker at the IP conference?	11 Q. (	BY MR. SCHWARZ) Mr. Chiavello, before we
12	A. No, I don't remember her name. No, sir.	12 broke to	change the videotape, we were discussing
13	Q. Okay. And what would lead you to believe that	13 commen	ts by a number of people, including a woman whose
14	she had ever seen the Patent Troll Tracker blogs that	14 name yo	u can't recall who you heard at I believe you
15	we're talking about here?	15 said it wa	as an IP IP Owner's conference.
16	A. Well, what would lead me to believe is that,	16 A. It	s called the Intellectual Property Owners
17	in the community, the national patent community, it was	17 Annual N	feeting, and it was in Chicago. The it was
18	given quite a bit of prominent prominence and treated	18 the 14th	and 15th of September. She spoke on the 15th,
19	with some some authority. In a number of instances,	19 I recall.	
20	members of the national patent community would refer to	20 Q. O	kay.
21	the Patent Troll Tracker as almost authority for things	21 A. S	ne was the moderator, as I recall.
22	going on in the Eastern District and other places.	22 Q. O	kay. And you said that she made some
23	Q. Who would treat it as authority? Do you	23 reference	s to the Eastern District of Texas, and I just
24	recall?	24 want to k	ind of focus on on just what she said. Do
25	A. Aside from the clients that I've mentioned,	25 you recal	l, with any greater specificity than you've

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already described, what she said about the Eastern		1	head.	
District of Texas?		2	Q.	We've got six things to hang the ED Texas hat

- A. Yes, sir. She used it as -- she was giving a 3 on
- talk about the top ten districts where patent cases were filed, and she was discussing the reasons why cases were your last response, that the woman who gave this talk
- filed in the various districts. And she said the reason
- people filed in the Eastern District of Texas was to
- strike fear in the hearts of defendants.
- Q. And do you disagree with that?
- 10 A. Absolutely
- 11 Q. And in your opinion, why do people file in the
- 12 Eastern District of Texas?
- 13 A. There are a variety of reasons, and they've
- changed over time. I would say on one of the most
- 15 important reasons is the fact that the judges assign
- specific trial settings and stick to those trial
- 17 settings. That is a very important consideration.
- 18 Number two, the judges in the Eastern
- 19 District have significant experience with patent

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- 21 Number three, there are rules specifically
- 22 directed to patent matters in the Eastern District, and
- there's a body of law that's developed over time. So
- there's a high degree of predictability in terms of what
- rules will apply and how those rules will apply in

three factors -- well, the fourth factor I would

identify is the -- what we call the file-to-trial time

- And I'd like to get back, you mentioned in
- was a professor?
- A. I believe that's right. I believe that's
- correct
- Q. Do you believe she's a professor of law?
- A. Yes, sir.
- 11 Q. Okay. Have any recollection as to where?
- 12 A As to where?
- Q. As to where
- A. I don't. 14
- 15 Q. Okay. Did she mention by name the Patent
- 16 Troll Tracker?
- 17 A. No. sir
- Q. And by name, I should say I meant the name 18
- 19 "Patent Troll Tracker."
- 20 A. She did not.
  - Q. Did she mention the name "Mr. Frenkel"?
- 22
- 23 O Has -- have you giver heard negative views of
- 24 the Eastern District of Texas before?
- A Yes sir
- 74 particular circumstances Q. Had you heard them before the Patent Troll
  - I would say that those three -- those Tracker expressed his views about the Eastern District

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- of Texas?
- A. I don't know when he started
- Q. Well, let's just pick a date of October 2007 5
- A. I believe I had heard -- I believe I had heard
- negative comments before that date. I'm trying to think
- back. I believe I had, ves.
- Q. Okay. I mean, isn't it common for anyone
- who's at least on the losing side of a case to find
- fault everywhere but with themselves? 11
- 12 MR. PATTON: Object to form.
- A. Oh, that may be true, but that's not what 13
- 14 we're talking about. We're talking about people who
- have lawsuits filed against them, not necessarily who
- 16 have gone to -- gone to judgment
- 17 Q. (BY MR. SCHWARZ) Okay. So apart from the
- professor at the IP meeting that you discussed, you 18
- 19 mentioned earlier that -- you made reference to the
- 20 national community when I asked you about --
- 21 A. Yes, sir.
- 22 Q. - Mr. Ward's reputation. And could I ask you
- 23 to describe any other instances where you heard of
- 24 something or otherwise received information that
- 25 suggested that Mr. Ward's reputation had been -- had

would say, is it has the best electronic filing system

just, you know, what I can think of off the top of my

in the country, to my -- in my experience. So those are

A. Yes, sir.

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12 13

21 22 23

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been injured or diminished in any way?	1 A. I believe it was in Janua	ry or February of
A. You know, in as a result of your questions,	2 2008.	
I do recall another instance where we gave a	<ol> <li>Q. January or February of</li> </ol>	2008. Have you
presentation to a client and had recommended Mr. Ward	4 recommended Mr. Ward to be o	counsel for any other clients
and received a very harsh response. And it was very	5 since January or February of 20	0087
it was a troubling meeting because one of my colleagues	<ol> <li>A. I would be reasonably companies.</li> </ol>	onfident I'd have to
mentioned to me later that I was probably too aggressive	7 think about it, you know, for spe	ecific instances, but
in trying to defend him in that meeting.	8 I'm sure I have.	
Q. Did anyone in well, first, let's run	9 Q. Okay. Have you receive	ed any negative feedback
through this. Would you please identify the folks that	10 when you've made those recom	mendations?
you were speaking with?	11 A. Well, that's the I can't	remember any
A. I will not. It's a client of the firm.	12 specific instances since - since	the early part of
Q. Okay. And so you're invoking privilege?	13 2008, and so I just don't I just	don't recall any
A. Yas, sir.	14 particular instances.	
Q. Okay. You said there was a harsh response and	15 MR. SCHWARZ: No f	urther questions. I
that it was it was a troubling meeting. Did anyone	16 pass the witness.	
in that meeting make reference to the Patent Troll	17 MR. PATTON: I just h	ave a couple of
Tracker blog?	18 questions.	
A. As I'm recalling it now, yes, sir.	19 EXAMINATION	
Q. And could you tell us what was said about the	20 BY MR. PATTON:	
Patent Troil Tracker blog?	21 Q. In the instances that you	have described,
A. Again, without disclosing a privileged	22 Mr. Chiavello, when you were to	old these things about
communication, it was cited again as an authority for	23 Johnny Ward, did you form an ir	mpression about what they
a reason for not wanting Mr. Ward to be on the trial	24 meant?	

		,-
	Q. And I believe you said that you defended	1 Q. Was that a positive impression of Johnny Ward
:	Mr. Ward's reputation in that meeting?	2 or a negative impression?
;	A. I was I was, again, truly outraged by it.	<ol> <li>A. It was a negative impression.</li> </ol>
	Q. I take it Mr. Ward was not retained in that	4 Q. Okay. And if I understand your prior
	case?	5 testimony, none of these people agreed with you that
6	A. That's correct.	6 Johnny Ward should be hired?
7	Q. Okay, I just want to make sure. I don't	7 A. That's correct.
6	think we had covered that that small detail.	8 MR. PATTON: I'll pass the witness.
ç	So that makes a total of four clients who	9 MR. SCHWARZ: That's all I have for
1	have declined to retain Mr. Ward?	10 today. Thank you.
1	A. Yeah. And just to be specific, one of them is	11 THE WITNESS: Thank you.
1	not a client. It was a it was another lawyer who	12 THE VIDEOGRAPHER: And this concludes the
1	would who we were investigating co-counsel together.	13 video deposition of Robert Chiavello, consisting of two
1.	Q. Okay. But someone had come to you with the	14 tapes. We're now going off the record. The time is
13	intention of at least possibly retaining your services	15 11:10 a.m.
16	and those of Mr. Ward?	16 (Proceeding concluded.)
17	A. That's correct, yes, sir.	17
18	Q. Okay. Can you think of any other instances,	18 .
19	now that we've gone through those four, where anyone has	19
20	declined to retain Mr. Ward?	20
21	A. No, sir. There may have been some others, and	21
22	certainly if I can recall them, I'll tell you.	22
23	Q. Okay. Can you tell me when this last	23
24	conversation or meeting took place that you referred to	24
25	as a troubling meeting?	25

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1	CHANGES AND SIGNATURE		1 IN THE UNITED STATES DISTRICT COURT	
2	WITNESS: Robert Chiavello Jr. DATE: September 23, 2009		WESTERN DISTRICT OF ARKANSAS	
3	PAGE LINE CHANGE REASON	:	2 TEXARKANA DIVISION	
4	THE CHARGE NEADON	;	3 JOHN WARD, JR. )	
			)	
5		٠.	4 ) C.A. NO. 08-4022	
6			v. ) JURY TRIAL DEMANDED	
7		. :	5 ,	
8			CISCO SYSTEMS, INC. )	
9			§	
10		;		
11			9	
12				
		1	0 REPORTER'S CERTIFICATION	
13		. 1	*	
14		. 1		
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18		11		
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23		2:	•	
24		24		
25		25	· ·	
1	I, ROBERT H. CHIAVELLO, JR., have read the	1	That the amount of time used by each party at the	
_	foregoing deposition and hereby affix my signature that	2	deposition is as follows:	
2 3	same is true and correct, except as noted above.	3	MR. SCHWARZ1 hour, 51 minutes	
4		4	•	
	72.11	5		
5 6	ROBERT H. CHIAVELLO, JR.			
7		6	•	
8	THE STATE OF)	7	officer at the time said testimony was taken, the	
_	COUNTY OF)	8	following includes counsel for all parties of record:	
9	Before me,, on	9	FOR THE PLAINTIFF:	
10	this day personally appeared ROBERT H. CHIAVELLO, JR.,	10	Mr. Nicholas H. Patton	
	known to me (or proved to me under oath or through	11	FOR THE DEFENDANT:	
11	(description of identity	12	Mr. Kurt Schwarz	
2	card or other document)) to be the person whose name is subscribed to the foregoing instrument and acknowledged	13	FOR THE WITNESS:	
_	to me that they executed the same for the purposes and	14		
13	consideration therein expressed.	15		
	Given under my hand and seal of office this			
14 15	day of	16		
16		17	transcript and any copies of exhibits;	
_		18	I further certify that I am neither counsel for,	
7	NOTARY PUBLIC IN AND FOR	. 19	related to, nor employed by any of the parties or	
8	THE STATE OF COMMISSION EXPIRES:	20	attorneys in the action in which this proceeding was	
9	and the second s	. 21	taken, and further that I am not financially or	
20		22	otherwise interested in the outcome of the action.	
!1 !2		23	Certified to by me this day of	
23		24		
24			, 2009.	
25		25		

Chiavello, Robert H. 9/23/2009 11:10:00 AM

2 April Eichelberger 3 Texas CSR No. 7495 Expiration Date: December 31, 2009 HG Litigation, Firm No. 69 As certified partner for West Court Reporting Services 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25